# INTERPRETABLE ENSEMBLE LEARNING PREDICTS GLIOBLASTOMA SENSITIVITY TO NATURAL COMPOUNDS

A Thesis Submitted In Partial Fulfillment of the Requirements for the Degree of

# MASTER OF TECHNOLOGY in BIOINFORMATICS

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# CANDIDATE'S DECLARATION

I, SOMYA PARASHAR, hereby certify that the work which is being presented in the thesis entitled "Interpretable Ensemble Learning Predicts Glioblastoma Sensitivity to Natural Compounds" in partial fulfillment of the requirements for the award of the Degree of Master of Technology, submitted in the Department of Biotechnology, Delhi Technological University is an authentic record of my own work carried out during the period from January 2025 to May 2025 under the supervision of Prof. Pravir Kumar.

The matter presented in the thesis has not been submitted by me for the award of any other degree of this or any other Institute.

Candidate's Signature

This it to certify that the student has incorporated all corrections suggested by the examiner in the thesis and the statement made by the candidate is correct to the best of our knowledge.

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## CERTIFICATE BY THE SUPERVISOR

Certified that <u>Somya Parashar</u> (Roll No. 23/BIO/01) has carried out their search work presented in this thesis entitled <u>"Interpretable Ensemble Learning</u> <u>Predicts Glioblastoma Sensitivity to Natural Compounds</u>" for the award of <u>Master of Technology</u> from Department of Biotechnology, Delhi Technological University, Delhi, under my supervision. The thesis embodies results of original work, and studies are carried out by the student herself and the contents of the thesis do not form the basis for the award of any other degree to the candidate or to anybody else from this or any other University/Institution.

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## ABSTRACT

Glioblastoma is a belligerent heterogeneous type of brain tumor, inherently difficult to treat with a dismal prognosis. Conventional therapies are rendered ineffectual by various limitations associated with the blood-brain barrier (BBB), tumor microenvironment, and adaptability manifested by the tumors. This study developed an interpretable machine learning framework to predict drug sensitivity in GBM and identify potential new therapeutic candidates. An XGBoost regression model was trained on a curated dataset integrating drug response data (from GDSC with baseline transcriptomic profiles Drug features included 1024-bit Morgan fingerprints and 9 key physicochemical/ADME properties, while cell line features comprised 100 gene expression markers selected via Recursive Feature Elimination. The final model demonstrated strong predictive performance, achieving a mean  $R^2 \sim 0.833$  and a mean RMSE ~ 1.060 across five repeated train-test splits. SHAP analysis provided crucial insights into model predictions, identifying key drivers of model learning and the expression levels of GBM-relevant genes like ZEB2 and ABCB6. The model was subsequently used to screen a filtered subset of the COCONUT natural product database, identifying several compounds predicted to exhibit high potency. CNP0152293.3 was the top identified compound.

## LIST OF PUBLICATIONS

- 1. Journal publication in Ageing Research Reviews "E2 conjugating enzymes: A silent but crucial player in ubiquitin biology."
- 2. Journal publication in Ageing Research Reviews "Ubiquitin E3 ligases assisted technologies in protein degradation: Sharing pathways in neurodegenerative disorders and cancer"
- Poster Presentation in SNCI (Society for Neurochemistry, India) 2025, Delhi Chapter at Jamia Hamdard University on "Identifying Blood-Brain Barrier-Permeable Drug Candidates for PARP1 Targeting in Glioblastoma"

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# LIST OF SYMBOLS, ABBREVIATIONS AND NOMENCLATURE

2-HG	2-hydorxyglutarate
ADME	Absorption, Distribution, Metabolism, Excretion
AI	Artificial Intelligence
BBB	Blood Brain Barrier
CHI3L1	Chitinase-3-like Protein 1
COCONUT	Collection of Open Natural Products
COSMIC	Catalogue of Somatic Mutations in Cancer
EGFR	Epidermal Growth Factor Receptor
ERK	Extracellular signal Regulated Kinase
GABRA1	Gamma-aminobutyric acid type A receptor
GBM	Glioblastoma Multiforme
GBM	Gradient Boosting Machine
G-CIMP	Glioma CpG Island Methylator
GDSC	Genomics of Drug Sensitivity in Cancer
GSC	Glioblastoma Stem Cell
HGNC	HUGO Gene Nomenclature Committee
IDH1	Isocitrate Dehydrogenase 1
MAE	Mean Absolute Error
MAPK	Mitogen-Activated Protein Kinases
MGMT	O6-methyguanine-DNA methyltransferase
ML	Machine Learning
mTOR	Mechanistic Target of Rapamycin
MW	Molecular Weight

NF1	Neurofibromin 1
PANTHER	Protein Analysis Through Evolutionary Relationships
PDGFRA	Platelet Derived Growth Factor Alpha
PI3K	Phosphoinositidase 3-kinase
PTEN	Phosphatase and Tensin homolog deleted on chromosome 10
RB	Retinoblastoma
RFECV	Recursive Feature Elimination with Cross Validation
RMSE	Root Mean Square Deviation
RTK	Receptor Tyrosine Kinase
SHAP	Shapley Additive Explanations
TCGA	The Cancer Genome Atlas
TERT	Telomerase Reverse Transcriptase
TMZ	Temozolomide
TNF	Tumor Necrosis Factor
TPSA	Topological Polar Surface Area
XAI	Explainable Artificial Intelligence
XGBoost	Extreme Gradient Boosting

## **CHAPTER 1**

## INTRODUCTION

#### 1.1 Background

Glioblastoma Multiforme (GBM) or Glioblastoma is a belligerent brain neoplasm that exhibits malignant nature [1]. It originates from astrocytes, which are star-like glial cells of the central nervous system. [2]. WHO categorizes Glioblastoma as Grade IV, reflecting its tendency to progress swiftly and invasively in brain or spinal cord [3]. Glioblastoma primarily develop in cerebral hemispheres, that are responsible for multiple functions including reasoning, sensory processing and motor functions [4]. Occurrence of tumor in brain stem or spinal cord is relatively rare.

This malignancy can occur in anyone regardless of age but it is commonly reported in adults of age 45-75 years, with the median age of 64. It exhibits a marginally higher prevalence among men [5]. Glioblastoma can also occur in children; however, the pediatric cases present with different biological characteristics. Primary glioblastomas originate independently in ageing adults, secondary glioblastomas stem from lower-grade diffuse astrocytoma that have undergone malignant progression [6]. Glioblastoma is heterogenous and aggressive, with an inherent tendency of resistance to treatment and recur [7]. Standard treatments include surgical resection, radiotherapy, and chemotherapy, with average survival period about 12-15 months

post-diagnosis [8]. One-year survival rate is about 40% but this dwindles down to less than 10% as five-year survival rate. The blood brain barrier (BBB), the tumor microenvironment and intrinsic resistance mechanisms pose limits and impede effective therapy with conventional treatments [9]. Tumor heterogeneity is a likely a malefactor to developing chemo-resistance, particularly after extended exposure to conventional chemotherapeutics. Variability in cellular subpopulations, genetic mutations and signaling pathways also contribute to the differential drug responses, rendering the standard care of treatments ineffective over time. The dynamic nature of Glioblastoma requires development of adaptable and scalable approaches. This complexity necessitates the use of data-driven strategies such as in-silico modeling and machine learning to accelerate drug discovery and prioritize compounds for laboratory screening.

Computational frameworks offer the unique ability to integrate data from various sources, including high-throughput drug screening, transcriptome profiles of patientderived or established cell lines and molecular descriptors such as chemical fingerprints and pharmacokinetic properties. Machine Learning (ML) algorithms excel at recognizing non-linear associations that drive the drug response across cancer cells [10]. ML models have been shown to enable identification of novel compounds with high therapeutic potential, including those that have not yet been tested in specific disease conditions [11]. They have also been shown to identify existing drugs as repurposing candidates [12]. ML-based approaches are in the unique position to screen the enormous chemical space and reduce the dependency on empirical methods. Moreover, incorporation of interpretability allows for predictions that are biologically meaningful, such as determine and rank significant pharmacophore scaffolds, or molecular descriptors that contribute to the likelihood of model prediction [13]. Such insights facilitate a deeper understanding of drug-disease association, by highlighting pathways and mechanisms of resistance. Biologically interpretable results inspire confidence in drug development efforts and streamline the preclinical validation.

#### **1.2 Literature Review**

Glioblastoma is aggressive and highly heterogeneous, with multiples mechanisms contributing to its malignancy and resistance to treatment [7]. Molecularly, Glioblastoma has a complex landscape of genetic and epigenetic alterations. Based on such information, The Cancer Genome Atlas (TCGA) categorizes glioblastoma in 4 molecular subgroups [14]. Classical glioblastoma has chromosome 7 amplified with loss of chromosome 10; EGFR amplification or EGFRvIII mutation and exclusive disruption of RB pathway via deletion of the tumor suppressor gene CDKN2A while strong expression of Notch and Sonic hedgehog signaling [14]. Mesenchymal subtype correlates with the deletion of NF1 tumor suppressor located on chromosome 17, expression of CHI3L1 and MET, and enrichment of genes of TNF superfamily and NF-kB signaling like TRADD, RELB, and TNFRS1A [14]. Genes typically expressed in neurons NEFL, GABRA1, SYT1 and SLC12A5 are strongly upregulated in Neuronal glioblastoma, the least understood with limited studies [14]. Modifications in PDGFRA and mutations in IDH1, TP53 are commonly observed in the Proneural subtype, and interestingly, mutations in the *PIK3CA* have also been reported mutually exclusive of PDGFRA abnormalities [14]. Among the identified subtypes mesenchymal is the most aggressive and invasive and has been found to exhibit resistance to multiple therapies, leading to worse prognosis [15].

#### **1.2.1 Genetic and Epigenetic Disruptions in Glioblastoma**

Disruptions in several signaling pathways through various combinations of mutations, copy number variations and gene fusions drive glioblastoma development and progression. Key genetic disruptions include *EGFR* amplification, reported in 40-50% of primary glioblastoma, often accompanied by *EGFRvIII* mutation, *PDGFRA* amplification (13%) and *MET* receptor (4%) [16]. Mutations or deletions in the *PTEN* tumor suppressor gene (30-40%) result in unchecked activation of the PI3K-AKT cascade [17]. Mutated *TP53* is roughly reported in one-third glioblastoma cases,

prevalent in *IDH*-mutant or proneural subtypes [18]. Aberrations like +7/-10, related with the classical subtype is a characteristic of primary glioblastoma [19]. Point mutations present upstream of *TERT* occur in nearly 83% of primary glioblastoma cases, enabling the expression of catalytic subunit of telomerase [20]. Alteration of the RB pathway occurs directly by mutations, deletions, or epigenetic changes at the *RB* locus, and gene amplifications of *CDK4*, *CDK6* and *CCND2* have been reported [21]. Multi-faceted dysregulation of major pathways drives glioblastoma pathogenesis.

Epigenetic dysregulation also contributes to disease pathogenesis. *IDH1* mutation results in intracellular buildup of the oncometabolite 2-HG, causing a global hypermethylation phenotype of G-CIMP (Glioma CpG Island Methylator) that silences several genes like *RBP1* and *G0S2* [22]. MGMT promoter methylation occurs in ~45% of adult patients, suppressing DNA repair enzyme O6-methylgaunine-DNA methyltransferase and leads to increased sensitivity to Temozolomide [23]. In quiescent Glioblastoma Stem Cells (GSCs), H3K4me3 and H3K27me3 keep the genes in a transcriptionally poised state to rapidly reactivate proliferation and differentiation pathways upon receiving right signals [24]. KDM5B and KDM6A/B are overexpressed in quiescent GSCs, regulating the transition from quiescent to proliferative state by demethylation of H3K4 and H2K27, respectively [25]. KDM4C, demethylase for H3K9, induces c-Myc expression and inhibits apoptogenic tendencies of p53 [26]. UBE2V2 that regulates HK16 acetylation is also highly expressed in GBM marks similarity between GSCs and embryonic progenitor cells [27]. BPTF recognizes H3K4me3 and H6K16ac marks, supports GSC maintenance and self-renewal [28]. Chromatin remodeling enables GSCs to adopt a therapy-resistant phenotype by dynamic modification of histone marks. Tumor suppressor miRNAs like miR-7, miR-34a, miR-128 and OncomiRs miR-10B, miR-21, miR-93 regulate cell survival, proliferation and migration, apoptosis, invasion. angiogenesis, stemness. radioresistance and chemoresistance in glioblastoma [29]. lncRNAs CASC7/9, AGAP2-AS1, NEAT1, LINC1426, LINC01446, PART1, MNX1-AS1, DCST1-AS1, AC016045.3, HOTAIRM1, Inc-TALC, MALAT1 promote tumorigenesis, proliferation, invasion, angiogenesis and TMZ resistance in glioblastoma cell lines and tissues [30]. The genetic alterations drive tumor growth while the epigenetic changes reinforce malignant gene expression.

#### 1.2.2 Key Signaling Pathways in Glioblastoma Progression

Despite the complex nature of mutations in glioblastoma, it has been noted that three signaling pathways are dysregulated in majority of glioblastoma cases. Amplifications in EGFR, PDGFRA and MET constitutively activate RTK/PI3K/AKT/mTOR cascade, ensuing cellular proliferation, growth and survival [31]. Downstream of RTKs, mutational events in PI3KC or loss of PTEN activity further establish that the PI3K pathway is highly active in glioblastoma, enhancing cell motility and invasiveness [31]. The p53 tumor suppressor pathway is silent in glioblastoma. Mutations and deletions in the TP53 gene, and amplified MDM2, MDM4 genes that code of p53 degrading proteins ensure that DNA damage response, apoptosis and cellular senescence is compromised in glioblastoma [32]. Deletion of CDKN2A encoding MDM2 inhibitor, ARF, also blunts the p53 mediated cell-cycle arrest [33]. The Rb tumor suppressor pathway regulates the G1-S checkpoint in cell cycle [34]. In glioblastoma, CDKN2A deletion allows for overexpression of the CDK4 and CDK6 [35]. Disease pathogenesis requires a combination of proliferative signaling and loss of two tumor suppressor genes. Other pathways also contribute to glioblastoma development. Aberrant activation of developmental pathways like Notch, Wnt/βcatenin and Hedgehog occurs in glioblastoma cells and GSCs [36]. VEGF is also commonly upregulated and angiogenesis pathways contribute to tumor development [37]. The mesenchymal transformation correlates with the activation of NF-kB and STAT3 signaling, arising from NF1 or PTEN loss [38]. Multiple oncogenic activations with epigenetic dysregulation synergistically contribute to disease progression through dynamic reprogramming of gene expression.

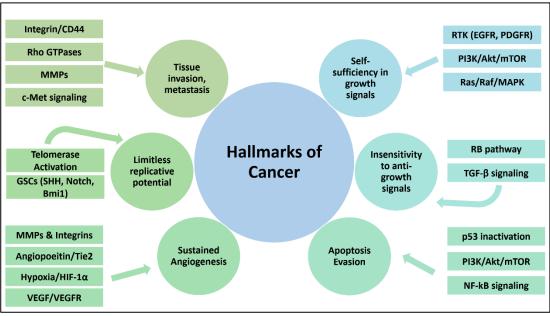


Fig 1.1 Dysregulated pathways in glioblastoma contributing to cancer hallmarks.

#### 1.2.3 Glioblastoma Cell Lines and Their Characteristics

Preclinical research on glioblastoma relies heavily on in vitro cell line models. A number of human glioblastoma cell lines have been established from patient tumors, propagated long-term in culture to recapitulate specific genetic, epigenetic and phenotypic tumor characteristics. Most frequently used cell lines for *in vitro* studies include U87, U251, LN-229, A172 and T98G. A literature survey revealed that three most used cell lines were U-87 (60%), U-251(41%), followed by T98G (26%) [39]. These cell lines are a popular choice for investigative studies due to their robust proliferative ability and the extensive baseline information available for them. The U87 (Uppsala 87 Malignant Glioma), established in 1968 in University of Uppsala, Sweden, forms large vascularized tumors [40], expressing mutant PTEN, PI3K and Akt and deletions in CDKN2A and ARF [41]. U251 cell line, established in 1973 at the same university displays high infiltrative and invasive growth pattern, mutant PTEN, upregulated PI3K and Akt, non-functional p53 and aberrantly expressed cell-cycle control proteins, retaining astrocytic lineage markers such as GFAP, S100β [40], [41]. T98G is the polyploid variant of T98, is anchorage-independent tumor line on account of the highly expressed ACTA2 gene which contributes to cell motility, mutant TP53

and *PTEN* [42], [43]. The LN-229 cells possess a methylated MGMT promoter, mutated TP53, homozygous deletions in the p16 and p14ARF, and undergo apoptosis induced by the Fas ligand [44].

Use of cell lines offers a convenient and reproducible platform for in-vitro analyses. Indefinite culturing under controlled conditions allows for high-throughput screening of drug candidates and genetic alterations. Due to human origin of these cell lines, findings can be often translated to human tumor biology. Cell lines have been instrumental in developing standard treatments, for e.g. TMZ [45]. However, cell lines do not fully express the heterogeneity and microenvironment of disease in vivo. Cell culture also lacks the three-dimensional architecture, hypoxic gradients, and interactions with immune cells, vasculature and stroma present in tumors. This may lead to discrepancies in studying drug response because the tumor microenvironment is known to induce protective stress response, or prevents the drug from reaching tumor cells [46]. Researchers often employ patient-derived xenografts and GSC neurospheres that likely preserve tumor phenotype [47]. Nevertheless, traditional cell lines like U87 and U251 remain standard in glioblastoma research — often used as stepping stone for generating hypothesis before moving into complex models.

#### 1.2.4 Standard Care of Treatment and Therapeutic Challenges

Currently, standard care regimens particularly for the IDH-wildtype Grade IV tumors follows the Stupp protocol, which consists of extensive surgical removal of the tumor, radiation therapy and concurrent TMZ administration [48]. Surgical resection aims to reduce tumor burden and is facilitated by techniques such as 5-ALA fluorescence guidance and intraoperative mapping, which helps preserve neurological function [49]. Radiotherapy typically involves fractionated dosing of 60 Gy over six weeks, while chemotherapy with TMZ is administered daily during radiotherapy and followed by six adjuvant cycles [48]. TMZ efficacy is closely associated with the methylation of the *MGMT* promoter, with methylated tumors demonstrating better therapeutics responses [50]. Additional therapies, namely Tumor Treating Fields (TTFs), have shown modest improvements in survival when combines with standard therapy but

remain limited by high cost and logistical challenges [51]. Despite the aggressive nature of treatment regimens, the prognosis of glioblastoma remains dismal, with average survival around 15 months and 7% rate of survival past 5 years. This depressing outlook is driven by several challenges — the high invasive nature of tumor, intra-tumor heterogeneity, and the presence of therapy-resistant GSCs.

Furthermore, BBB constitutes significant challenge to effective drug delivery, severely restricting therapy agents. BBB is a specialized endothelial barrier in cerebral capillaries that strictly regulates entry of molecules into the brain parenchyma [52]. Tight junctions between endothelial cells, along with pericytes and astrocytes, exclude nearly 98% of small molecule drugs from the brain under normal conditions [52]. In disease state, the BBB is partially disrupted; glioblastoma induced angiogenesis produces leaky, abnormal vessels and expression levels of transport and junction proteins are altered tumor vasculature [53]. BBB disruption in glioblastoma is highly heterogenous and often incomplete. Studies report that all glioblastoma patients harbor significant regions where the BBB remains intact [54]. BBB thus contributes to treatment resistance by limiting drug delivery. Most chemotherapeutic agents and targeted kinase inhibitors have poor BBB penetration [55]. TMZ, which is BBBpermeable, may not reach all tumor cells uniformly due to regional blood flow differences. Methods of circumventing BBB are currently being explored —osmotic or ultrasound-mediated BBB disruption can transiently open tight junctions, and design of BBB-penetrant drug analogues and nanoparticles [56], [57], [58]. Some early-phase trials such as focused ultrasound to open the BBB for chemotherapy have shown that repeated safe BBB modulation is feasible [59]. BBB's role in glioblastoma is two-fold. It contributes to glioblastoma progression by fostering a protective niche for tumor cells, and promoting selection of invasive cells that migrate into healthy brain, as well as acting as a central factor in therapy resistance by preventing uniform drug delivery.

Additionally, the tumor microenvironment also presents another therapeutic hindrance due to its complex and immunosuppressive nature, comprising of GSCs, microglia, macrophages, neutrophils, lymphocytes, and neuronal cells, interacting dynamically to promote tumor growth, progression, and resistance to therapy [60]. GSCs contribute to therapeutic resistance by secreting chemokines and factors promoting angiogenesis, that facilitate endothelial cell growth and attract macrophages, leading to immunosuppressive milieu [61]. These immunosuppressive characteristics hinder the efficacy of immune-based treatments, as glioblastoma's unique brain profiles and cellular heterogeneity limit the benefit of such therapies [62]. Interactions between the glioblastoma cells and tumor microenvironment can induce resistance to both chemotherapy and radiotherapy [63]. The astrocytes within the microenvironment assist in cell survival, promoting drug resistance and forming physical barriers that prevent therapeutic agents from reaching tumor cells [64]. Recurrence is nearly universal, and treatment options in the recurrent setting remain palliative, with no universally accepted standard of care. These barriers necessitate the urgent need for more targeted, penetrant, and adaptive therapeutic strategies that can address both the molecular complexity of glioblastoma and its protective microenvironment.

#### **1.2.5 Machine Learning in Cancer Research**

Computational models and machine learning are increasingly being investigated as powerful tools to decode tumor complexities, predict therapeutic response, and accelerate the discovery of more effective interventions, in oncology and in glioblastoma. This shift in paradigm of research comes from availability of biomedical data such as genomics, transcriptome profiles, methylation status, imaging of tumor sizes etc. from patient cohorts, as well as *in vitro* preclinical studies.

Artificial Intelligence (AI) is mainly an avenue devoted to studying and development of algorithms and systems that enforce machines to execute tasks that necessitate human-like intelligence [65]. AI is a computer science discipline rooted in mathematical theorems and statistical techniques to make predictions after learning from training dataset and evaluating on test data set. AI is used in automation of functions such as reasoning, problem-solving, decision-making, perception such as learning from data [66]. Machine Learning (ML) is an AI subset that concentrates on developing models and devising algorithms to explore and scrutinize data without being explicitly programmed [67].

Supervised Learning uses class labels to learn and predict those outcomes for new data and is broadly utilized for classification and regression endeavors in biomedical research such as oncology [68]. A supervised ML model might be trained to classify tumor biopsy samples as benign or malignant based on labeled training images, or to predict a patient's survival time from past patient data. Methods such as support vector machines and neural networks have accurately mapped inputs to outputs in tasks like tumor type classification and gene expression-based drug sensitivity prediction [69]. The success of success of supervised learning in cancer is evident in studies where classifiers learned to distinguish cancer subtypes of leukemia or predict treatment response with high accuracy [70]. Supervised methods require datasets with class labels to facilitate model learning [71]. Unsupervised Learning pursues patterns in data without class labels. Clustering and dimensionality reduction techniques can discover hidden patterns without pre-defined labels. In oncology, unsupervised learning approaches have been employed to describe novel patient subgroups and tumor subtypes [14]. Principal Component Analysis (PCA) and hierarchical clustering have also helped visualize highly dimensional genomic data and uncover features that differentiate patient subpopulations [72]. These methods generate hypotheses and insights that supervised methods might miss, though linking clusters back to clinical outcomes requires rigorous analysis.

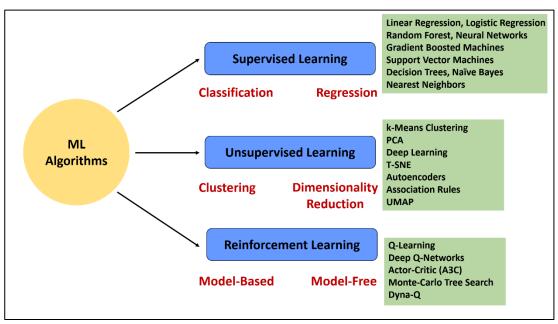


Fig 1.2 Types of Machine learning algorithms

Supervised ML uses many algorithms, as per the problem requirement. These include linear regression, logistic regression, decision trees, SVMs, k-nearest neighbors, naïve Bayes, random forest [71].

Linear regression is used to predict a continuous dependent variable outcome based on one or more input features that are independent [73]. A linear relationship is presumed between response and predictor variables, and the outcome is modeled as a weighted sum of the input features and a bias term. The optimal coefficients are determined by minimizing the cost function, which is typically the mean squared error (MSE) — so that there is best fit for the observed data. It is widely used in biomedical research to model dose-response relationships and prognostic score estimation [74], [75].

Logistic regression is a classification algorithm that models the probabilities of a binary or multi-class categorical outcome as a function of input features. Sigmoid function is applied to map prediction to [0,1], representing class probabilities, and maximum likelihood function is used to train the model [76]. It finds use in clinical outcome prediction, biomarker-based disease classification, and epidemiological modeling due to its interpretability and statistical robustness [77], [78].

SVMs served tasks of both classification and regression by identifying an optimal hyperplane that maximizes the margins between different classes in a high-dimensional space [79]. Kernel functions such as polynomial, radial, are used to model complex non-linear relationships due to their ability to handle sparse data and robustness to overfitting [80]. They are particularly convenient for high-dimensional datasets like gene expression profiles [81].

The k-nearest neighbor algorithm is a non-parametric, instance-based learning method that classifies or regresses a data point based on the label of its k closest neighbor [82]. Euclidean distance is the metric for measuring distance and this algorithm does not require a training phase — decisions are made at the time of inference, which can be computationally intensive. It has been used in cancer subtype classification and phenotype clustering, but it is sensitive to data scaling and irrelevant features [83], [84].

Naïve Bayes is a probabilistic classifier based on Bayes' Theorem and it assumes independence between input features [85]. It estimates the posterior ability of each class and selects the one with the highest probability. It is speedy, simple and effective in multi-class classification problems such as tumor-type predictions and microbiome-based disease classification [86], [87].

A decision tree is a non-parametric supervised learning algorithm that partitions the feature space into a hierarchy of decision rules based on input features [88]. Each internal node represents a decision based on a feature threshold, and each leaf node represents an output label or value [89]. Tree construction occurs through recursive binary splitting to minimize impurity measures *e.g.*, Gini index for classification and MSE for regression. Decision Trees offer the advantage of being interpretable, can suitably model non-linear associations, making them valuable for transparent decision making required in clinical settings [90].

Ensemble learning methods combine multiple models to produce a robust predictor. Outputs of diverse learners are aggregated to achieve higher accuracy and generalization [91]. Ensemble models have been applied to tasks like gene expressionbases prognosis, prediction and radiomic image analysis, frequently outperforming large models. An ensemble allows for integration of predictions from separate models, such analyzing imaging and genomics to improve the overall accuracy in predicting a patient's outcome [92], [93]. Common methods include random forests and gradient boosting machines. Random Forest is an ensemble learning algorithm that constructs a large number of decision tress during training and outputs the mode or mean for classification and regression, respectively [94]. Each tree is trained on a bootstrapped subgroup of the features when nodes are split, that enhances model diversity and reduce overfitting [94]. Random forests are highly robust and interpretable to an extent owing to feature importance, and are widely used in biomarker discovery, clinical prognosis, and treatment response modeling [95], [96], [97]. In a recent review of ML models for glioblastoma survival, random forest was the single most popular algorithm among researchers [98]. Gradient Boosting builds a strong predictor by combining multiple weak learners, typically decision tress, in a sequential manner [99]. Unlike bagging techniques used in random forest, which trains trees independently on bootstrapped datasets, gradient boosting fits each new model to the residual errors of the combined ensemble so far [100]. At each iteration, a specific loss function is minimized using gradient descent approach. This iterative correction of residuals leads to high model accuracy and flexibility, making gradient boosting well suited for genomics and clinical prediction tasks. Gradient boosting supports custom loss functions and regularization techniques, for e.g., learning rate, tree depth limits, shrinkage, which enhance its robustness and prevent overfitting. It can be implemented using multiple algorithms. Gradient Boosting Machine (GBM) is the classical implementation, introduced by Friedman, where each tree is added in a stage-wise manner to correct the errors of the ensemble so far [101]. LightGBM is developed by Microsoft and improves training efficiency and memory usage by utilizing histogrambased binning and leaf-wise growth strategy, allowing handling of large and highly dimensional datasets [102]. CatBoost, developed by Yandex, handles categorical features natively without preprocessing and uses symmetric trees for better generalization [103]. XGBoost or Extreme Gradient Boosting is actually an optimized version of GBM that includes regularization, sparsity awareness, and parallelized tree construction [104]. It is widely used in biomedical ML tasks due to its speed, scalability and superior performance.

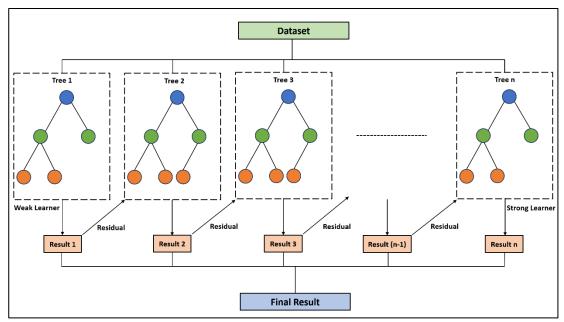


Fig 1.3 Structure of XGBoost algorithm

Reinforcement Learning revolves around rewarding and penalizing actions in an environment and adjusting behavior accordingly, to improve decision making over time and maximize cumulative reward. In oncology, it has been applied to treatment pathway optimization [105], adaptive dosing of anti-cancer drugs [106], and adaptive radiotherapy for better clinical decision support [107].

As the clinical space grows exponentially, application of ML is poised to become a regular feature before undertaking any preclinical or animal model studies. For trust and confidence in predictions made by the ML models, it is necessary that these models inspire confidence in their outcomes and are interpretable. An interpretable ML model is one whose predictions are readily understood by humans, the simplest example of which is the decision trees, with their clear if-then rule-based logic. Unlike black-box neural networks, interpretable models provide transparency, which is important for acceptance in clinical settings due to safety concerns. Absence of explainability can introduce safety concerns and erode trust in ML recommendations.

To address this, researchers either design inherently interpretable models or apply explainability techniques to complex models. Explainable AI (XAI) studies such approaches that enable model working to human comprehension and reasoning [108]. It contributes to meaningful deployment of framework that base their decisions on relevant and justifiable features. SHAP, abbreviated for Shapley Additive exPlanations, attributes model predictions to individual features by computing Shapley values, that represent the average impact on model predictability [109]. Permutationbased feature importance assesses the feature significance by computing performance loss upon feature randomization [110]. LIME, or Local Interpretable Model-agnostic Explanations, give a local justification by considering a subset of data when approximating explanations for model inputs and outputs [111]. Grad-CAM is a local method use for explain convolutional neural networks commonly used for image classification, by producing heatmaps highlighting the image regions most important for model prediction [112]. The interest in XAI methods in oncology is on the rise. A recent study predicting glioblastoma patient outcomes chose a simple classification tree over a more complex model specifically for its high interpretability, noting that tree's decision path could be readily understood and validated [113]. This is a growing area of research where interpretable or explainable ML allows for data-driven insights to be translated into practice with transparency and accountability.

#### 1.2.6 ML Approaches in Glioblastoma

ML has become a focal apparatus in oncology, enabling the discovery of hidden pattern in biomedical data to improve cancer diagnosis, prognosis and treatment selection. Methods like ANNs and decision trees were applied to cancer diagnosis as early as in the 1980s [114]. Over the past decades, increasing computing power and the explosion of genomic and imaging data has propelled ML into widespread use. Now ML techniques are used across all facets of oncology, from risk assessment and early detection to outcome prediction and therapy planning [115]. Well-designed ML models can outperform traditional approaches; one AI system achieved 99% accuracy in recognizing breast cancer metastases in pathology images in contrast to 81% by pathologists [116]. Such examples illustrate the potential of ML to assist in clinical decision making with improved speed and accuracy.

Medical image analysis is a very prominent field where ML models are deployed. Deep Learning, although a black-box model, can analyze radiological images and digitized histopathological slides to detect tumors and classify malignancies with great accuracy [117], [118]. CNNs have been shown to outperform multiple human pathologists in classifying tumor histology, highlighting the value of ML in diagnostics [119]. A landmark study by the TCGA employed unsupervised learning to cluster glioblastoma tumors into molecular subtypes using gene expression data [14]. This discovery has deepened biological understanding and suggested tailored treatment strategies. ML models have also been used for predictive oncology, by integrating multi-omics data to predict the likelihood of recurrence or survival, helping to stratify patients by risk in breast cancer.

In glioblastoma specifically, researchers are exploring ML models in multiple ways to manage the disease. In a study using the deep-feedforward ANNs, survival in glioblastoma was predicted with 90% accuracy using multimodal neuroimaging data [120]. Multivariate decision tree models were built to develop image-based

biomarkers, owing to regional genetic diversity in tumor segments leading to associations between copy number variations (CNV) and localized imaging features [121]. Many models have been built to predict IDH1 mutations using MR-based radiomics data through algorithms like random forest [122] and gradient tree boosting [123]. SVM-based model have been applied to delineate regions of necrotic tissue in patients being treated with radiation and chemotherapy [124]. PTEN mutation status could be predicted using deep learning radiomics [125] and SVM [126]. The tool GBMDriver is built using three different algorithms Adaboost, SVM and XGBoost for classifying glioblastoma mutations as disease-driving or neutral [127]. An integrated model, developed using the tumor infiltrating lncRNAs, identified patients that would benefit the most from immunotherapy [128]. Multiple predictive models for glioblastoma patients survival exist [129], [130], [131], [132], [133]. Predictions of MGMT methylation through genetic algorithms [134] and deep learning-based approaches [135] have elaborated the much-needed spotlight on epigenetic features governing disease progression. However, in the scope of novel compounds or drug discovery, fewer ML models exist that either target singular proteins like FAK [136] or target single cellular type like C6, U251 and U87 without interpretability [137].

While these studies are promising, glioblastoma has relatively fewer cell lines and patient-derived cultures. This leads to overfitting or low confidence in the model. Transfer learning and multi-cancer datasets have been used to mitigate this issue but the assumption that other cancer data can inform glioblastoma predictions persists [138]. Multiple models with high accuracies are black-boxes and hence do not readily explain the reason behind a prediction made. This clashes with the clinical context where biological rationale is imperative to consider the prediction worthwhile. The integration of SHAP in ML models for oncology is limited to risk assessment [139], diagnosis [140], survival prediction [141], treatment recommendation [142], and to some extent classification [143].

Currently no models exist that integrate drug discovery efforts with explainable modules of ML. There remains a notable lack of ML models that integrate chemical features of drugs with molecular profiles of glioblastoma cell lines in an interpretable framework. There are no published studies that provide explainable drug sensitivity prediction models that allow researchers to trace back predictions to actionable biological or chemical features. Moreover, explainable ML techniques such as SHAP or LIME have not been systematically applied to jointly analyze drug descriptors and genomic features in the context of glioblastoma therapeutic efforts. This gap severely limits the translation of *in silico* predictions into experimental designs, hindering efforts to prioritize candidate compounds. Addressing this shortcoming could provide a scalable, cost-effective framework for rational drug discovery using natural or understudied compounds.

#### **1.3 Objective**

To develop an interpretable ML framework capable of predicting drug sensitivity in glioblastoma cell lines, measured as the half-maximal inhibitory concentration  $IC_{50}$ , validate the predictive performance using statistical metrics, propose putative targets and map the predicted targets to appropriate pathways using pathway enrichment analysis.

## **CHAPTER 2**

## **METHODS**

#### **2.1 Dataset Selection**

A comprehensive drug sensitivity dataset was curated by integrating pharmacological response data from both the GDSC1 and GDSC2 releases of the Genomics of Drug Sensitivity in Cancer (GDSC) database employing a 29 glioblastoma cell line panel [144]. GDSC1 was initially considered for its broader compound coverage. However, to enhance data quality and precision, overlapping drug-cell line measurements from GDSC2 were preferentially used to replace corresponding entries from GDSC1. GDSC2 implements improved experimental protocols, including acoustic compound dispensing (Echo555) for greater accuracy and CellTiter-Glo luminescence-based viability assays for enhanced sensitivity.

Both GDSC1 and GSDC2 datasets utilize a consistent computational pipeline for IC50 calculation. It involves fitting a sigmoidal dose-response model via non-linear regression using the gdscIC50 R package and subsequently log-transformation of IC50 values in  $\mu$ M [145]. This standardized downstream processing allows for direct replacement of GDSC1 IC50 values with more precise and reproducible value for identical drug-cell line pairs, allowing for a harmonized dataset.

Transcriptome profiles were generated by processing the raw Affymetric Human Genome U219 array data, ArrayExpress accession E-MTAB-3610 for these cell lines using the Robust Multi-array Average (RMA) normalization procedure using the Bioconductor R package. Cell line identity between pharmacological and genomic features was confirmed using cell line metadata from COSMIC. These integrated features were used to develop the ML matrices for drug-sensitivity model.

#### 2.2 Feature Generation and Selection

To prepare the data for ML, distinct feature sets were generated for both drugs and the cell lines. Subsequently, a feature selection strategy was employed to identify the most predictive molecular features.

#### 2.2.1 Drug Feature Generation

Canonical SMILES strings for each compound were programmatically retrieved using their names via the PubChem database (using the pubchempy Python library) [146]. Compounds which were returned with no SMILES were manually checked in other databases like LINCS data portal [147] and Therapeutic Target Database [148]. Compounds for which SMILES could not be obtained were excluded from further analysis. A total of 435 unique compounds were used for further investigation.

For each compound, Morgan fingerprints were generated using the RDKit cheminformatics toolkit [149]. These fingerprints were calculated with a radius of 2 and hashed into a 1024-bit vector. Each bit in this vector reflects the inclusion or absence of a particular circular chemical substructures within the molecule.

The SwissADME web server (http://www.swissadme.ch/index.php) was utilized to compute a total of 9 physicochemical and ADME (Absorption, Distribution, Metabolism, Elimination) related properties were calculated [150]. These included Molecular Weight (MW), number of rotatable bonds, number of H-bond donors, number of H-bond acceptors, Topological Polar Surface Area (TPSA), Consensus Log P *i.e.* lipophilicity, ESOL Log S *i.e.* predicted aqueous solubility, BBB-permeability predicted as Yes/No, encoded as 1/0, and the number of Lipinski rule violations [151].

#### 2.2.2 Cell Line Feature Generation

Baseline gene expression data for 29 glioblastoma cell lines, derived from RMAnormalized Affymetrix Human Genome U219 arrays was used as cell line features. Probeset IDs from the microarray data were systematically converted to official HUGO Gene Nomenclature Committee (HGNC) gene symbols using the hgu219.db Bioconductor annotation package in R. In instances where multiple probes were mapped to singular gene symbol, the median expression value was taken as the representative expression level for that gene in each cell line. This resulted in an initial expression matrix of approximately 19,434 unique genes.

#### 2.3 Assembly of Paired Feature Matrix (X) and Target Vector (Y)

The drug features and cell line gene expression features were combined with the logtransformed IC50 values to create the final dataset. Each row in the dataset corresponded to a specific drug-cell line experiment. The feature vector (X) for each row was constructed by concatenating the drug's feature vector (Morgan fingerprints with physicochemical and ADME properties) with the complete baseline gene expression profile of the corresponding cell line. The target variable in each row was the experimental log(IC<sub>50</sub>) value for the given drug-cell line pair. This assembly created a dataset of samples, each distributed by features.

#### 2.4 Gene Feature Selection using RFECV

Given the high dimensionality of the transcriptome, Recursive Feature Elimination with Cross Validation (RFECV) was utilized to select an optimal subset of the most predictive gene features, while retaining an drug-derived features. An XGBoost Regressor model was used as the estimator within the RFECV framework, configured with GPU acceleration. Key parameter for this estimator included n\_eestimator=100, learning\_rate=0.1, and max\_depth=6. RFECV iteratively assessed the feature importances, and removed the least important gene features in steps of 1000 genes. This process was guided by 5-fold cross validation, optimizing for the negative MSE. An optimal subset of 100 gene expression features that maximized cross-validated predictive performance was identified. These 100 selected genes, along with all drug features, were used for training the final predictive model.

#### **2.5 Model Training and Evaluation**

A definitive predictive model was trained utilizing the optimized feature set. The full dataset, comprising 11,588 drug-cell line pair samples was utilized. The feature vector for each sample consisted for the 33 drug-derived features combined with the 100 gene expression features selected by RFECV, resulting in a total of 1133 features per sample. An XGBoost regressor algorithm was employed for this final model, configured for GPU acceleration with key hyperparameters.

To robustly assess performance and generalizability, a repeated train-test split strategy was implemented. The data was randomly partitioned into training (80%) and testing (20%) set five independent times, each with a different random seed. The model was trained on each training fold and its predictions evaluated against the corresponding unseen fold test. Performance was quantified using Root Mean Squared Error (RMSE), R-squared (R<sup>2</sup>), Pearson correlation coefficient, Spearman rank correlation, and Mean Absolute Error (MAE), with the final reported metrics being the mean and standard deviation across these five repeats.

#### 2.6 Model Interpretation using SHAP Analysis

To obtain insights of the trained XGBoost model and identify key feature contributions, SHAP analysis was conducted. The shap.TreeExplainer, specifically designed for tree-based ensemble models, was applied to the final XGBoost model trained in the last repeat of the evaluation phase. SHAP values were computed for a representative subset of samples from the corresponding test set to determine the effect of each feature on individual predictions. Global feature importance was assessed by calculating the mean SHAP value for every feature across all explained samples, visualized using summary bar plots and beeswarm plots. The beeswarm plots additionally illustrated the distribution and direction of feature effects relative to their actual values. To further understand the association between specific feature values and their influence on the predicted  $log(IC_{50})$ , SHAP dependence plots were generated for the top-ranking features, also revealing potential interaction effects between

features by coloring points based on a second, automatically selected interacting feature.

#### 2.7 Drug Group Analysis for Key Fingerprint Bits

To elucidate the potential chemical or mechanism-based significance of the most influential drug fingerprint bits identified through SHAP analysis, a group-based pathway analysis was performed. For each high-impact fingerprint, all drugs from the initial training set of 435 compounds were identified. These drug lists were then cross-referenced with their own primary targets and targeted pathway available in GDSC1 and GDSC2 datasets. The frequency of target pathways within each drug group was then tabulated to identify commonly targeted pathways, thereby allowing inference of the likely chemical class represented by the important fingerprint bit.

#### 2.8 In Silico Screening of COCONUT Compound Library

To identify potentially novel anti-glioblastoma compounds, the trained XGBoost model was used to screen the COCONUT (Collection of Open Natural Products) database [152].

Compounds were extracted from the COCONUT database. For each compound, the canonical SMILES string was obtained. A multi-step filtering process was applied to refine this initial library. Only those compounds annotated at level 5 were considered. Other filters on drug features were applied after studying their feature contributions to the model learning through SHAP analysis, such as MW < 800, TPSA between 100 and 200 Å<sup>2</sup>, H-bond donors 3-5, H-bond acceptors < 10, Rotatable bonds < 10. This filtering resulted in 290 compounds whose pharmacokinetic properties were obtained through the Swiss-ADME web browser. Morgan fingerprints of the selected compounds were generated using RDKit. A representative glioblastoma gene expression profile was created by averaging the RMA-normalized gene expression of selected 100 genes across all 29 cell lines in the training dataset. A single vector representing the average glioblastoma transcriptomic profile was thus generated.

For each COCONUT compound, the feature vector was concatenated with the fixed average gene expression vector, and this combined feature vector was fed into the trained XGBoost model to obtain a predicted  $\log(IC_{50})$  value. The screened compounds were subsequently ranked based on their predicted values, with the lower values indicating higher predicted potency. Putative targets of the top 10 compounds were predicted through the SuperPred web server [153]. The 14 unique putative target genes were then subject to enrichment analysis through the ShinyGO v0.82 PANTHER as the pathway database and FDR cutoff of 0.05 using Uniprot IDs [154], [155].

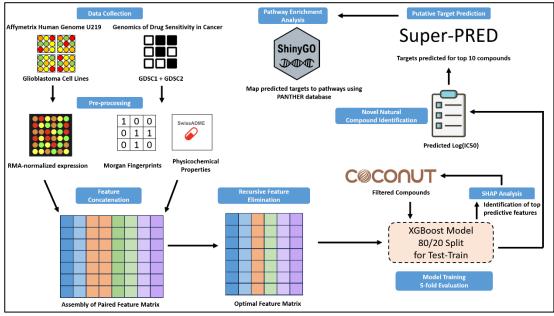


Fig 2.1. Methodology

## **CHAPTER 3**

#### RESULTS

# **3.1 Predictive model based on XGBoost demonstrates robust performance in glioblastoma drug sensitivity prediction**

An XGBoost regression model was developed to predict drug sensitivity *i.e.*  $log(IC_{50})$  in glioblastoma cell lines using integrated drug chemical features and cell line transcriptome data. RFECV identified an optimal subset of 100 gene expression features that when combined with all 1033 drug features, yielded the best performance.

The model performance was rigorously evaluated with 5 repeats of an 80/20 split. Across these repeats, the model demonstrated strong predictive accuracy and robustness, as noted in Table 1.

	<b>X</b> 7 <b>1</b>
Evaluation Metric	Value
RMSE	$1.0600 \pm 0.0225$
$\mathbb{R}^2$	$0.8332 \pm 0.0083$
Pearson Correlation Coefficient	$0.9133 \pm 0.0047$
Spearman Rank Correlation	$0.8766 \pm 0.0063$
MAE	$0.8101 \pm 0.0155$

**Table 1. Model Evaluation Metrics** 

RMSE indicates that the model's predicted log(IC<sub>50</sub>) typically deviates by a factor of  $1.0600 \pm 0.0225$  from the experimental values. The variance in drug sensitivity is around  $0.8332 \pm 0.0083$ . Furthermore, a high degree of correlation was observed between predicted and actual sensitivities, as shown by the Pearson correlation coefficient of  $0.9133 \pm 0.0047$  0063 (p < 0.001 for all repeats) and Spearman rank correlation of  $0.8766 \pm 0.0063$  (p < 0.001 for all repeats). The Mean Absolute Error was  $0.8101 \pm 0.0155$ . These metrics indicate that the model can accurately predict log(IC<sub>50</sub>) and explain over 83% of the variance in drug sensitivity.

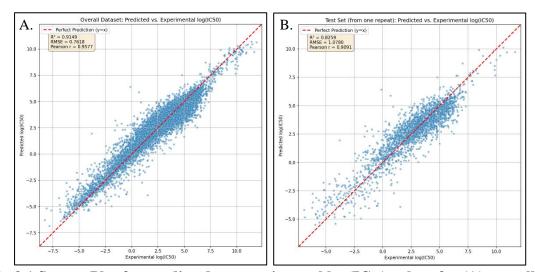


Fig 3.1 Scatter Plot for predicted vs experimental log(IC50) values for (A) overall dataset. (B) test set from single repeat

Scatter plots were generated to visualize the predictive performance. Evaluation of the model's fit for the entire dataset used for developing the train/test split, comprising the 11,588 drug-cell lines, is seen in Fig 3.1(A). The plot demonstrates an excellent fit overall, with data points tightly distributed along the y=x line. The performance metrics calculated were R<sup>2</sup> of 0.9149, RMSE of 0.7168 and Pearson's correlation coefficient of 0.9577. These metrics are likely influenced by the inclusion of training data points, and they confirm the model's capacity to learn the underlying relationships. The model's ability to generalize unseen data was visualized using a representative test set, comprising 20% of the data randomly selected from one of the five evaluation repeats in Fig 3.1(B). The data points cluster closely around the y=x

line and the model achieved an  $R^2$  value of 0.8259, RMSE od 1.0780 and Pearson's correlation coefficient of 0.9091, further delineating the strong linear agreement between the predicted and observed sensitivities on unseen data. These values are also close to the final metrics of the 5-fold validated model.

Combined, these scatter plots illustrate the model's strong predictive capabilities, both in terms of fit to the overall data and its ability to generalize effectively to test samples.

#### 3.2 SHAP analysis unveils key determinants of predicted drug sensitivity

Global SHAP analysis revealed that drug physicochemical properties, distinct chemical substructures and specific gene expression levels were all significant contributors.

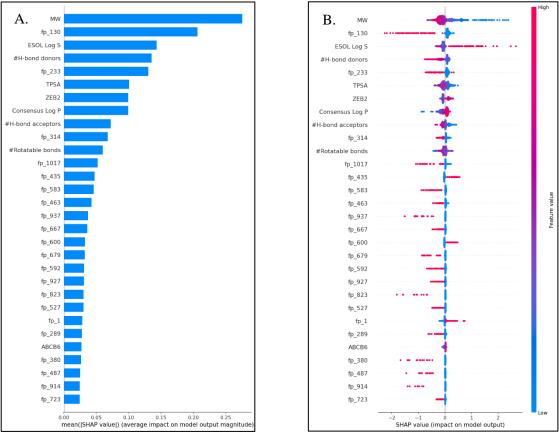


Fig 3.2(A). SHAP summary plot of top 30 features contributing to model. (B) SHAP beeswarm plot of top 30 features.

Molecular weight is the most impactful feature, followed by the drug fingerprint fp\_130, ESOL Log S (solubility), H-bond donors, drug fingerprint fp\_233, TPSA, gene ZEB2, Consensus Log P (lipophilicity), H-bond acceptors and Rotatable Bonds. SHAP dependence plots elucidated the manner in influence of these top features.

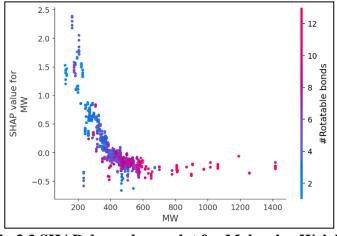
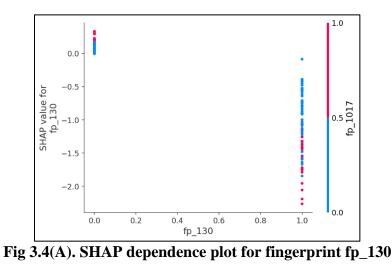


Fig 3.3 SHAP dependence plot for Molecular Weight

For MW, there is a clear downward trend in SHAP values as molecular weight increases, particularly up to 700 Da. Low MW, less than 400 Da is associated with positive SHAP values, strongly pushing the model to predict higher  $log(IC_{50})$ . These molecules tend to be less flexible. Medium MW (400-600 Da) cluster around zero and show less influence on model predictability. Higher MW is associated with negative SHAP values, pushing the model to predict lower  $log(IC_{50})$ . These molecules are predominantly the ones with higher number of rotatable bonds. The model likely associates higher MW with better intracellular engagement or target interaction properties in glioblastoma cell lines. Low MW are likely to non-specific or susceptible to efflux.



SHAP analysis highlighted fingerprint fp\_130 as a potent predictor of drug sensitivity.

SHAP analysis highlighted fingerprint fp\_130 as a potent predictor of drug sensitivity. Its presence (fp\_130 =1) in Fig 3.4(A) is associated with strongly negative SHAP values, guiding the model to predict low  $\log(IC_{50})$  values or sensitivity. This effect is further amplified when fingerprint fp\_1017 is also present, indicating a synergistic effect between chemical features.

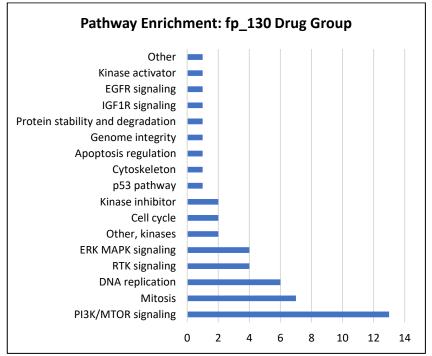


Fig 3.4(B). Pathway Distribution for drugs containing fingerprint bit fp\_130

A group analysis was conducted to identify the drugs containing this fingerprint. 49 drugs and their targeted pathways (Fig 3.4(B)) reveals a striking enrichment for compounds targeting the PI3K/mTOR signaling pathway. Other represented pathways include Mitosis, DNA replication, RTK signaling, ERK/MAPK signaling. The model has strongly learned that drugs possessing this feature are highly effective likely due to their impact on PI3K/mTOR survival pathway or cell division process.

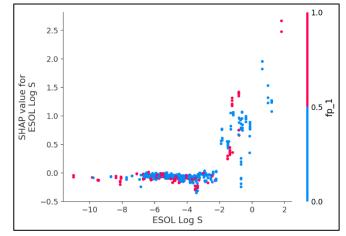


Fig 3.5. SHAP dependence plot for ESOL Log S (solubility)

ESOL Log S stands for Estimated Solubility on logarithmic scale. Low solubility *i.e.* ESOL Log S < -3, the SHAP values are aggregated around or slightly below zero indicating poor aqueous solubility has minimal effect on predicted log(IC<sub>50</sub>). Moderate to high solubility shows an upward trend toward SHAP values, reaching +2, indicating that higher solubility is associated with higher prediction of log(IC<sub>50</sub>). The model associates higher predicted aqueous solubility with increased predicted resistance. This effect is more pronounced in compounds lacking the fp\_1 substructure. Poorly soluble drugs show neutral or slightly-sensitive associated SHAP contributions. It may be so that high solubility compounds may be more prone to efflux-mediated clearance, leading to apparent resistance, due to high transporter expression.

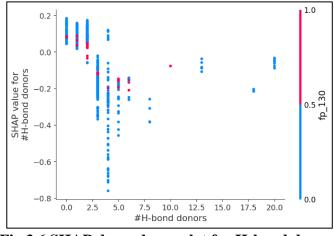


Fig 3.6 SHAP dependence plot for H-bond donors

There is a non-linear trend for H-bond donors. Low donors (0-2) are associated with SHAP values clustering around zero or slightly positive, generally predicting a slight resistance or minimal impact. Moderate donors (3-5\_ show most strongly negative SHAP values down to -0.8. But as the number of donors increases beyond 5, the SHAP values tend to move back towards zero or less negative. While fp\_130 itself is a strong predictor of sensitivity, its interaction with H-bond donors is most pronounced when fp\_130 is absent. It could possibly mean that there is a need for an optimal number of H-bond donors is more necessary in drugs where this substructure is not present. The model has learned a specific optimal range for H-bond donors (4-5) that predicts drug sensitivity. This is in line with Lipinski's rule of 5.

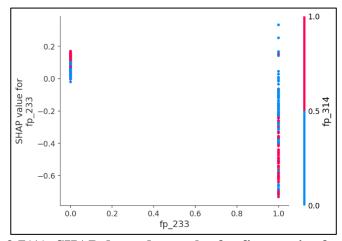


Fig 3.7(A). SHAP dependence plot for fingerprint fp\_233

SHAP analysis in Fig. 3.7(A) highlighted fingerprint fp\_233 as another strong predictor of drug sensitivity. Its presence (fp\_233 =1) is associated with strongly negative SHAP values, guiding the model to predict low log(IC<sub>50</sub>) values or sensitivity. This effect might be amplified when is further amplified when fingerprint fp\_314 is also present, but on its own fp\_233 remains a strong drug sensitivity predictor.

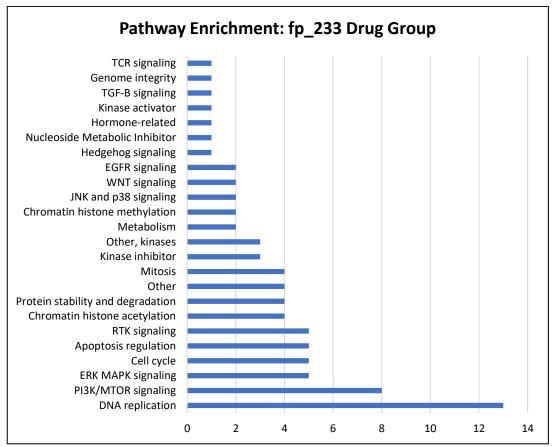


Fig 3.7(B). Pathway Distribution for drugs containing fingerprint bit fp\_233

A group analysis was conducted to identify the drugs containing this fingerprint. 80 drugs and their targeted pathways in Fig. 3.7(B) reveals a striking enrichment for compounds targeting the DNA replication, PI3K/mTOR signaling pathway, ERK/MAPK signaling, cell cycle, apoptosis regulation and RTK signaling. Other represented pathways include chromatin histone acetylation and methylation, mitosis, metabolism, WNT signaling, JNK and p38 signaling, and EGFR signaling. The model

has strongly learned that drugs possessing this feature are highly effective likely due to their impact on DNA replication or PI3K/mTOR pathway.

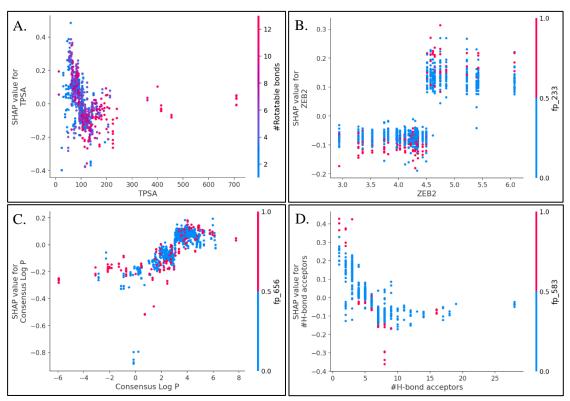


Fig 3.8. SHAP dependence plots for (A) TPSA (B) ZEB2 (C) Consensus Log P (D) H-bond acceptor

TPSA is another strong feature contributing to model learning and prediction capabilities as shown in Fig 3.8(A). Higher TPSA, greater 100 Å<sup>2</sup> is a significant predictor of drug sensitivity *i.e.* lower log(IC<sub>50</sub>), potentiated by the presence of molecular flexibility as shown through interaction with higher number of rotatable H-bonds. The plot of *ZEB2* in Fig 3.8(B) shows that lower gene expression is contributes to predicted sensitivity, while a higher gene expression contributes to predicted resistance. *ZEB2* is a transcriptional repressor involved in epithelial-mesenchymal transition and stemness [156]. So, it aligns with the notion that high expression predicts for resistance. Lipophilicity in Fig 3.8(C) shows that high hydrophilicity (Log P < 0) is strongly associated with predicted sensitivity, potentially modulated by the presence

of the drug fingerprint fp\_656. There is a strong inverse relationship between the Hbond acceptors and the SHAP values as illustrated in Fig 3.8(D). Moderate number of H-bond acceptors (5-10) exhibit negative SHAP values, pointing to higher sensitivity. This effect is partially influenced by the presence of the bit fp\_583.

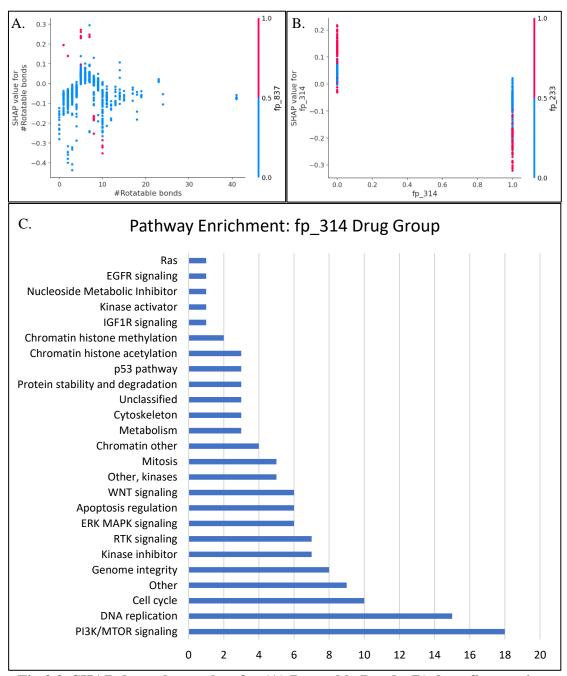


Fig 3.9. SHAP dependence plots for (A) Rotatable Bonds (B) drug fingerprint fp\_314 (C) Pathway Distribution for drugs containing fingerprint bit fp\_314.

The contribution of Rotatable Bonds to model prediction is significant as illustrated in Fig 3.9(A). Less than 10 rotatable bonds point to drug sensitivity, or lower  $log(IC_{50})$  values. Presence of fp\_817 does not have a huge impact on this contribution. Presence of the substructure fp\_314 strongly contributes to negative SHAP values, and drug sensitivity as shown in Fig 3.9(B). This effect works synergistically with the fingerprint fp\_233 discussed earlier in the text. Drug group analysis identified 131 drugs with this fingerprint in Fig 3.9(C), enriched for targeting pathways like PI3K/mTOR signaling, cell cycle, genome integrity, RTK signaling, apoptosis regulation among many other.

# **3.3 In silico screening of COCONUT database identifies novel natural product candidates with high predicted potency**

The XGBoost model was used to perform an *in-silico* screen against the COCONUT natural product database. The library was filtered for compounds with the highest annotation level 5. Additional filters such as MW < 800, TPSA between 100 and 200 Å<sup>2</sup>, H-bond donors 3-5, H-bond acceptors < 10, Rotatable bonds < 10, Log P < 2 were applied to further reduce the chemical space for search. A total of 290 compounds were finally filtered. The solubility and BBB-permeability were retrieved through the Swiss ADME web browser, since these parameters are not provided in the COCONUT database. The predicted log(IC<sub>50</sub>) values of the top 10 compounds from the trained model are noted in Table 2, along with their highest probability( > 90%) predicted targets from SuperPred.

 Table 2. Top 10 COCONUT compounds screened using trained model and their predicted sensitivity

Compound	Predicted	Predicted IC <sub>50</sub>	Predicted Targets		
	Log(IC50)	(in nM)			
CNP0152293.3	-4.019322395	17.96513407	CTSD, COX-1, TIF1-α,		
			MAOA		
CNP0347714.1	-3.898481131	20.27267963	CTSD, COX-1, TIF- $\alpha$ ,		
			MAOA, APE1, ADAM10		
CNP0347714.2	-3.898481131	20.27267963	CTSD, COX-1, TIF- $\alpha$ ,		
			MAOA, APE1, ADAM10		
CNP0353870.1	-3.831660509	21.67359653	APE1, CTSD, NTRK3,		
			TIF1-α		
CNP0196054.2	-3.698587179	24.75848107	TDP1, COX-1, CTSD,		
			MAOA, TIF1- α, APE1		
CNP0324164.1	-3.432158709	32.31710221	HSP90β, STAT3		
CNP0142637.2	-3.103508234	44.89143615	CTSD, COX-1, TDP1,		
			APE1, TIF1- α		
CNP0343540.1	-3.031371355	48.24942564	APE1, HIF1- $\alpha$ , CTSD,		
			LSD1, PDGFRA		
CNP0091821.1	-2.884468317	55.88449447	CTSD, APE1, ADAM10,		
			CK2		
CNP0223869.2	-2.832907915	58.84149856	ERK2, APE1, HIF1- $\alpha$ ,		
			TDP1, CTSD, TIF1- α		

The pathway enrichment analysis of the 14 unique putative targets through ShinyGO with the PANTHER database revealed significant enrichment for pathways highly relevant to glioblastoma in Fig. 3.10.

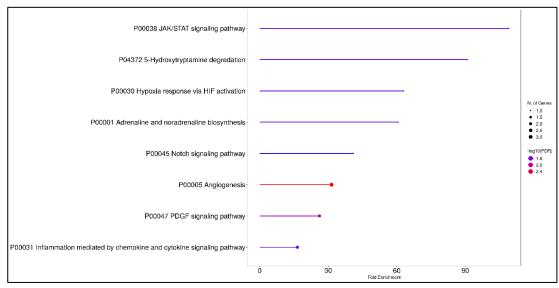


Fig 3.10 Pathway enrichment plot for predicted targets of the top 10 COCONUT compounds

Angiogenesis was the top hit with a fold enrichment of 31.4 and -log10(FDR) of 2.4. The presence of 3 genes from predicted targets highlights the importance of these findings. Angiogenesis is essential for glioblastoma growth and vascularity, so it is likely that several of the top predicted compounds may exert their anti-cancer activity by inhibiting formation of new blood vessels. The PDGF signaling pathway also showed significant fold enrichment 26.1 and statistical significance ( -log<sub>10</sub>(FDR) of nearly 2.0-2.2. The involvement of 2 targets could be a novel avenue of potentially new anti-glioblastoma drugs through the disruption of the PDGFR signaling axis, that is well established driver of glioblastoma proliferation. The enrichment of the inflammation mediated by chemokine and cytokine signaling pathway points towards the model identifying compounds that may modulate tumor microenvironment or inflammatory responses generated by microglia and macrophages. 16-fold enrichment and possibly two target genes allude towards a possible therapeutic avenue. Pathways

such as JAK/STAT signaling, Hypoxia response via HIF activation, 5-Hydroxytryptamine degradation, Adrenaline and noradrenaline biosynthesis also demonstrated high fold enrichment and statistically significant FDR vales. It is possible that the top drug candidates may also affect cellular signaling required for proliferation and survival, stress responses common in the tumor milieu and neurotransmitter pathways that may influence glioblastoma cells. Notch signaling pathway had a lower statistical significance, but a high fold enrichment of 40.8, and is highly evidenced in glioblastoma stemness and resistance. This analysis suggests that the top 10 compounds are likely to interfere with key biological processes essential for glioblastoma progression.

Pathway	Pathway Genes	Fold Enrichment	FDR	Genes	
JAK/STAT signaling pathway	15	108.9571	0.032881	1	STAT3
5- Hydroxytryptamine degredation	18	90.79762	0.032881	1	MAOA
Hypoxia response via HIF activation	26	62.85989	0.03514	1	HIF1A
Adrenaline and noradrenaline biosynthesis	27	60.53175	0.03514	1	MAOA
Notch signaling pathway	40	40.85893	0.045385	1	ADAM10
Angiogenesis	156	31.42995	0.001606	3	STAT3, HIF1A, PDGFRA
PDGF signaling pathway	125	26.14971	0.019357	2	STAT3, PDGFRA
Inflammation mediated by chemokine and cytokine signaling pathway	198	16.50866	0.031657	2	STAT3, PTGS1

**TABLE 3.** Pathway Enrichment Analysis of Predicted Targets

## **CHAPTER 4**

### **DISCUSSION**

The efforts to propose novel compounds as potential drug candidates, or repurpose existing drugs to altogether different indications are often narrowed to single targets and fail to consider the gene expression context. Many of them also restrict themselves to classification tasks such as predicting whether a compound will be sensitive or resistant, accompanied by a lack of interpretability when the pipeline assumes a machine learning framework, be it neural networks or deep learning strategies. Feature selection plays an essential and deterministic role for model performance.

In this work, I have incorporated gene expression features, along with drug Morgan fingerprints, and drug features like MW, lipophilicity, H-bond donors, H-bond acceptors, rotatable bonds, solubility, and BBB-permeability along with Lipinski's violations. The model trained on XGBoost regressor had a strong performance, rigorously evaluated using 5-fold validation, with RMSE of  $1.0600 \pm 0.0225$  and R<sup>2</sup> of  $0.8332 \pm 0.0083$ , with a strong correlation between experimental and predicted values of log(IC<sub>50</sub>). SHAP analysis revealed that MW had the most significant contribution to model learning, followed by the drug fingerprint fp\_130, solubility, H-bond donor, TPSA and so on. Multiple drug fingerprints that contributed to model associations were enriched in drug targeting pathways like PI3K/mTOR, DNA replication, cell cycle, MAPK signaling, apoptosis regulation and WNT signaling majorly. Few drugs targeted the chromatin histone acetylation and methylation,

genome integrity, EGFR signaling, IGF1R signaling, metabolism and even the nucleoside metabolic inhibitors.

Two genes of interest were also highlighted in the SHAP summary plot. *ZEB2* is Zinc finger E-box Binding homeobox 2, overexpressed in glioblastoma, and knockout of which inhibits cell and tumorigenesis [157]. Suppression of ZEB2 induces apoptosis in glioblastoma cell lines, indicating that overexpression of this gene has oncogenic role [158]. The other gene of interest, though not as strongly contributing to the model learning is *ABCB6*, ATP binding cassette subfamily B member 6 that functions as transport protein for porphyrin transport, drug resistance and protection against stress [159]. ABCB6 expression is also strongly correlated with histological tumor grade, with significant upregulation in glioblastoma cell lines [160]. This may contribute to drug efflux leading to higher resistance.

Out of the proposed novel compounds, CNP0152293.3 or 10-Dehydrobaccatin V ranked the highest. It belongs to the diterpenoids super class and its predicted targets include CTSD, COX-1, TIF1-α, MAOA. The top 5 compounds belong to the super class diterpenoids and alkaloids (CNP0353870.1). Diterpenoids like Triptolide, Crocetin and Phytol is well established, from inducing senescence phenotype in cancer cells to inhibition of cancer survival genes [161]. Alkaloids like Vincristine, Vinblastine, Campothecin, Paclitaxel and Docetaxel have a long history of investigation as anti-cancer agents in multiple malignancies [162]. The COCONUT compounds have been predicted to target multiple proteins. Cathepsin D (CTSD) is closely associated with clinical malignancy and is overexpressed in radioresistant cells [163]. Cyclooxygenase-1 (COX-1) also known as PTGS1, is constitutively expressed in brain tissue and overexpressed in glioblastoma cells, inhibition of which abrogates tumor cell migration [164]. Transcription intermediary factor  $1-\alpha$  (TIF1- $\alpha$ ), also known as TRIM24, is an oncogenic coactivator of STAT3 in glioblastoma and enhances EGFR-driven tumorigenesis [165]. Monoamine oxidase A (MAOA) oxidizes monoamine neurotransmitter, leading to reactive oxygen species that drive cancer [166]. Inhibition of these in TMZ-resistant cells can reduce tumor progression. APE1 or APEX1 is the major apurinic/apyrimidinic endonuclease of the base excision repair

pathway to mitigate DNA damage, that guides drug tolerance in glioblastoma, if suppressed [167]. It is also correlated with glioblastoma recurrence and increased immunosuppressive tumor microenvironment [168]. The metalloproteinase ADAM10 is highly expressed in GSCs, and inhibition can lead to phenotypes that are more amenable to therapy [169]. NTRK3 fusions have been reported in glioblastomas that potentially drive the tumor, along with NTRK1/2 fusions [170]. Tyrosyl-DNA phosphodiesterase 1 (TDP1) is a potential biomarker along with topoisomerase 1 in glioblastoma for irinotecan treatment [171]. STAT3, part of major JAK-STAT signaling pathway, is overexpressed in glioblastoma tissues and is required for proliferation and potency of GSCs [172]. HSP90 is upregulated, influences stemness, drives up glucose consumption in glioblastoma cells [173]. ERK2 is a protein kinase of the MAPK pathway. It has been reported that ERK suppression is correlated with autophagy activation and tumor suppression [174]. Lysine specific demethylase 1 (LSD1) can cooperate with histone deacetylase inhibitors to regulate cell death in glioblastoma cell lines [175]. Inhibition of LSD1 also induces senescence in glioblastoma cells [176]. Hypoxia-inducible factor- $1\alpha$  (HIF- $1\alpha$ ) mediates maintenance of GSCs under hypoxic conditions through Notch signaling[177]. Platelet-derived growth factor receptor alpha (PDGFRA) amplification is a poor prognostic marker for IDH wild-type glioblastoma [177]. The enrichment of targets within pathways like angiogenesis and PDGF signaling aligns with known glioblastoma progression mechanisms and provides further rationale for the potential efficacy of these compounds. This analysis of predicted targets for the top computationally screened compounds further supports the biological relevance of the patterns learned by the model.

It should be noted that this model provides a stepping stone, a preliminary step towards robust drug discovery paradigms. Further *in silico* studies such as molecular docking, simulations followed by *in vitro* assays to elucidate their mechanism of action would propel greater impact on the community researching glioblastoma.

# **CHAPTER 5**

## CONCLUSIONS, FUTURE SCOPE AND SOCIAL IMPACT

#### **5.1 Conclusions**

This study successfully developed and validated a robust ML framework, centered on an XGBoost model, for predicting drug sensitivity in a panel of 29 glioblastoma cell lines. By integrating log transformed IC50 values for 435 unique compounds with transcriptomic profiles derived from RMA-normalized ArrayExpress E-MTAB-3610 data, a high-performance model was trained. This model utilized a carefully selected feature set, comprising 100 key gene expression markers identified through RFECV, alongside drug specific chemical and physicochemical features. 5-fold evaluation demonstrated stable predictive power, achieving average R2 of approximately 0.833 and an RMSE of approximately 1.060, indicating that the model effectively captured key determinants of drug response in glioblastoma context.

A significant contribution of this work is the deep model interpretability achieved through SHAP analysis, that enable identification of specific genes (*ZEB2, ABCB6*), drug physicochemical properties and distinct chemical substructures most strongly associated with predicted sensitivity or resistance. High ZEB2 expression consistently predicted resistance, aligning with its role in epithelial-mesenchymal transition, while specific fingerprint bits were linked through group pathway analysis to drugs targeting critical oncogenic pathways like PI3K/mTOR signaling and DNA replication. This

also lent a biological relevance of the learned feature-response relationship The utility of this work was further illustrated by screening a filtered subset of the COCONUT natural product database, identifying several novel compounds with highly potent predicted IC50 values against an average glioblastoma cell line, warranting further investigation as potential anti-cancer therapeutics.

#### **5.2 Future Scope**

In the future, it may become necessary to incorporate additional omic layers such as somatic mutation profiles, copy number variations, DNA methylation patterns, or even proteomics data to provide a more comprehensive portrait of molecular mechanisms in glioblastoma. There are many glioblastoma cohort datasets available on the TCGA and GDC Data Portal hosted on the National Cancer Institute. Availability of cohort histopathological data and imaging could lend a new angle to the study. Of course, integration of more sophisticated drug representations through graph neural networks or convolutional neural networks may be explored to understand chemical determinants of drugs and make the pipeline multi-layered. Molecular docking, simulation and experimental approaches form the next rung of the ladder in combatting glioblastoma. Experimental validation of the top-ranked natural products would involve *in vitro* testing in glioblastoma cell lines, to investigate their anti-cancer activity and determine experimental IC50 values.

#### 5.3 Social Impact

Glioblastoma remains the most devastating brain cancer with multiple barriers to successful treatments and a grim prognosis. The immense cost and high attrition rates accompanying traditional drug development necessitate innovative approaches. The ML framework developed in this study offers a computationally efficient and scalable strategy to navigate the vast chemical space of natural products and prioritize candidate compounds for glioblastoma. These models can accelerate the early stages of discovering novel therapeutics.

Natural products have historically been a rich source of anti-cancer compounds, yet their systematic exploration is often hampered by their structural complexity and the challenge of isolating and testing them at scale. This work demonstrates a path to rationally identify promising natural product candidates, potentially uncovering novel scaffolds and mechanism against glioblastoma. The emphasis on model interpretability through SHAP analysis promotes transparency and allows for deeper biological understanding of predicted drug responses. Explainability is crucial for building trust in AI-driven decision-making within biomedical research and can guide experimental work for new potential leads. This research commits to the broader field of precision oncology, aiming to tailor therapeutic strategies to specific molecular characteristics. While focused on preclinical models, the principals and potential lead compounds identified here could contribute to the development of new therapies that ultimately improve clinical outcomes and enhance the overall well-being of individuals diagnosed with this disease.

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# LIST OF PUBLICATIONS

 Journal publication in Ageing Research Reviews "E2 conjugating enzymes: A silent but crucial player in ubiquitin biology."

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