# Deep Text Classification and Retrieval for Text Documents using Deep Learning Techniques

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# **MASTER OF TECHNOLOGY**

in Software Engineering by Vaibhav Kansal (2K22/SWE/21)

Under the Supervision of Dr. Abhilasha Sharma (Assistant Professor, SE, DTU)



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#### CANDIDATE DECLARATION

I VAIBHAV KANSAL (2K22/SWE/21) hereby certify that the work which is being presented in the thesis entitled "Deep Text Classification and Retrieval for Text Documents using Deep Learning Techniques" in partial fulfillment of the requirements for the award of the Degree of Master of Technology submitted in the Department of Software Engineering, Delhi Technological University in an authentic record of my work carried out during the period from August 2022 to May 2024 under the supervision of Dr. Abhilasha Sharma.

The matter presented in the thesis has not been submitted by me for the award of any other degree of this or any other Institute.

Vaibhav Kansal

This is to certify that the student has incorporated all the corrections suggested by the examiner in the thesis and the statement made by the candidate is correct to the best of our knowledge.

Alli laches Shavenl

Signature of Supervisor(s)



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#### CERTIFICATE BY THE SUPERVISOR

Certified that Vaibhav Kansal (2K22/SWE/21) has carried out their project work presented in this thesis entitled "Deep Text Classification and Retrieval for Text Documents using Deep Learning Techniques" for the award of Master of Technology from the Department of Software Engineering. Delhi Technological University, Delhi under my supervision. The thesis embodies results of original work, and studies are carried out by the student himself and the contents of the thesis do not form the basis for the award of any other degree to the candidate or to anybody else from this or any other University/Institution.

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#### Deep Text Classification and Retrieval for Text Documents using Deep Learning Techniques Vaibhav Kansal

#### ABSTRACT

Document Retrieval (DR) is pivotal in unlocking valuable insights from the evergrowing volume of medical literature. However, precise knowledge extraction from complicated clinical notes, discharge summaries, and research papers is still difficult. However, capturing these distinct dimensions of medical discourse and the complex interdependencies between entities with the currently used approaches becomes difficult. The classifications of Recurrent Neural Networks (RNNs), Convolutional Neural Networks (CNNs), and Convolutional Recurrent Neural Networks (CRNNs) for use in document retrieval are thoroughly discussed in this review paper. The study offers a comprehensive assessment of the individual models' performance by summarizing the conclusions from over forty studies that relate to the individual models' efficacy. It explores the potential outcomes of the group strategies in enhancing document retrieval accuracy. The yield of this research crystalizes the broad canvas encompassing the diverse features of CNNs, RNNs, and the ensemble technique CRNNs which are used to detect the complexities present in healthcare documents thereby presenting an analysis of their appropriateness included in various retrieval tasks and document types. The findings have imperative guidance for the researchers and practitioners looking to improve the retrieval system that documents have in healthcare to improve healthcare professionals' decision-making processes and promote access to vital medical information.

This work solves these shortcomings by introducing a convolutional recurrent neural network (CRNN) framework that merges the advantages of CNNs and RNNs to achieve very high accuracy in medical document extraction tasks. In the CRNN model, the spatial feature extraction capacity of CNNs is integrated with the sequential learning capabilities of RNNs to successfully find medical entities and understand their complex interrelationships, which leads to high-performance document classification. As shown in the case of CORD-19, our CRNN model significantly outperforms individual CNN and RNN models on its entity recognition and relationship extraction tasks (98.93% accuracy), demonstrating that using CRNN in medical documents can yield much better results, thus increasing opportunities for informed clinical decisions, advanced drug discovery, and improved public health interventions.

Keywords: Document retrieval, CNN, RNN, CRNN, CORD-19.

## TABLE OF CONTENT

Title	Page No.
Acknowledgment	ii
Candidate's Declaration	iii
Certificate	iv
Abstract	v
Table of Contents	vi
List of Table(s)	viii
List of Figure(s)	ix
List of Abbreviation(s)	x
CHAPTER 1: INTRODUCTION	1-3
1.1 Overview	1
1.2 Motivation	2
1.3 Problem Statement	2
1.4 Precise Working	2
1.5 Thesis Outline	3
CHAPTER 2: LITERATURE SURVEY	4-17
CHAPTER 3: METHODOLOGY	18-29
3.1 Document Retrieval	18
3.2 Dataset	19
3.3 Software Requirements	20
3.3.1 Python Programming	20
3.3.2 Anaconda Distribution and Anaconda Navigator	20
3.3.3 Jupyter Notebook	21
3.4 Necessary libraries	22
3.4.1 NumPy	22
3.4.2 Pandas	22
3.4.3 Matplotlib	22
3.5 Data Cleaning	22
3.6 Pre-Processing Stage	23
3.6.1 Document Parsing	23
3.6.2 Lexical Analysis	23

3.6.3 Stemming and Lemmatization	24
3.6.4 Word Embedding	24
3.7 Dimensionality Reduction	24
3.7.1 Feature Selection	25
3.7.2 Feature Extraction	26
3.8 Doc2vec	27
3.9 Clustering Stage	27
3.9.1 K-Means Clustering	28
3.9.2 How the K-Means algorithm works	28
CHAPTER 4: DEEP LEARNING AND ENSEMBLE	30-34
MODEL	
4.1 Convolutional Neural Network	31
4.2 Recurrent Neural Network	32
4.3 Convolutional Recurrent Neural Network	32
4.4 Natural Language Processing	33
4.5 Long-Short-Term Memory	34
4.6 Gated Recurrent Unit	34
CHAPTER 5: DATASETS	35-36
5.1 Similar Datasets	35
<b>CHAPTER 6: RESULTS AND DISCUSSION</b>	37-38
<b>CHAPTER 7: CONCLUSION, FUTURE SCOPE AND</b>	39-40
SOCIAL IMPACT	
REFERENCES	41-45
LIST OF PUBLICATIONS	46-47

## LIST OF TABLE(S)

2.1:	Summarized review of literature papers	4
6.1:	Performance of Neural models	37

## LIST OF FIGURE(S)

1.1	Document Retrieval Process	1
3.1	Document Retrieval System	19
3.2	CORD-19 dataset	19
3.3	Anaconda distribution	21
3.4	Jupyter notebook	21
3.5	Embedding model	24
3.6	Dimensionality reduction technique	25
3.7	PCA	26
3.8	Learning model of DOC2VEC	27
3.9	K-Means clustering	29
4.1	Different types of deep learning models	30
4.2	Working of CNN	31
4.3	Recurrent Neural Network	32
4.4	Natural Language Processing	33
4.5	Gated Recurrent Unit	34
6.1	Comparison of Evaluation Measure	38
6.2	Accuracy of different models	38

# LIST OF ABBREVIATION(S)

DR	Document Retrieval
NLP	Natural Language Processing
RNN	Recurrent Neural Network
PCA	Principal Component Analysis
CNN	Convolutional Neural Network
GUI	Graphical User Interface
AI	Artificial Intelligence
ML	Machine Learning
DNN	Deep Neural Network
OCR	Optical Character Recognition
NER	Named Entity Recognition
BOW	Bag of Words
TF-IDF	Term Frequency-Inverse Document Frequency
LDA	Linear Discriminant Analysis
GDA	Gaussian Discriminant Analysis
DBOW	Distributed Bag of Words
DM	Distributed Memory
DL	Deep Learning
CRNN	Convolutional Recurrent Neural Network
GPU	Graphics Processing Unit
MLP	Multilayer Perceptron
Re-LU	Rectified Linear Unit
GRU	Gated Recurrent Unit

LSTM Long Short-Term Memory

#### **CHAPTER 1**

#### **INTRODUCTION**

This work focuses on developing a deep reinforcement learning model for medical document retrieval (DR). This study also demonstrated that, in practice, integrating numerous learning models should be multifaceted, and that flawless integration of a range of models can be therapeutically beneficial. Improved document searching and retrieval improves knowledge and allows for more tailored approaches to therapy, proper diagnosis, and hence better patient care and prognosis.

#### **1.1 OVERVIEW**

Document retrieval (DR) is one of the profoundly important ideas in medical care that can be utilized for empowering admittance to data from huge texts [1]. It concerns the technologies that can be utilized for looking and extracting the most important and helpful data from the unstructured information with respect to clinical accounts cases, and so on. During the COVID-19 pandemic, it has become crucial to efficiently extract necessary medical data from an ever-growing CORD-19 dataset [2]. This step is important for guiding clinical decisions, ensuring successful drug discovery, and serving as the underlying foundation of public health interventions. The typical manual technique of processing complex medical documents may become problematic, but utilization of NLP techniques seems to be a promising solution in this respect by automating the above procedure.

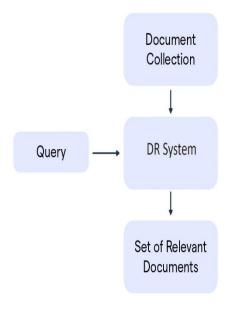


Fig.1.1 Document Retrieval Process

Consequently, the advancements in neural network models, especially the ones known as CNNs [3] and RNNs [4], have enabled them to extract information from reports as well as provide explanations. When it comes to assessing the relationship between words in a text, CNN is the favored method. On the other hand, RNN is better at processing sequential data. This, therefore, makes it suitable for the identification of names and terms used in interpreting relationships in medical texts. When using these neural network models in combination with NLP, the model can meet this researchbased challenge of complexity and quantity inherent in medical documents. Research in this area has already shown that traditional CNNs and RNNs can extract information from medical documents.

#### **1.2 MOTIVATION**

To effectively manage digital archives, new technologies such as deep learning must be included for efficient document retrieval and data location tracking. Because traditional retrieval algorithms prioritize outcomes over text comprehension and contextual interpretation, they frequently provide incorrect outcomes. Furthermore, traditional approaches have various disadvantages, such as a lack of memory elements, hidden state, and so on, which causes them to provide inaccurate outcomes. This process is being altered by deep learning approaches, particularly when paired with natural language processing (NLP). Sentiment and other language complexity are all part of the NLP framework, which employs RNN, CNN, and other deep learning algorithms to detect complicated patterns. Thus, the data becomes more exact and useful.

Moreover, deep learning facilitates the immediate extraction of important features from the data, doing away with the tedious human feature-creation process and opening the door to more efficient and adaptable retrieval techniques. When access to relevant information is critical, deep learning-based document retrieval is a powerful tool that helps users quickly and reliably sort through massive volumes of data and extract meaningful information.

#### **1.3 PROBLEM STATEMENT**

The project's goal is to address knowledge gaps by developing effective clustering, classification, and deep learning models, which will improve document retrieval based on user demands. We must decide which model will be provided to our search engine so that it can provide the most relevant document in the future. It is the most significant issue we must address in our project. This increases the retrieval of the CORD-19 dataset [2] as well as the categorization of relevant scholarly publications. Although large datasets are employed, current models have not completely leveraged their possibilities to ensure improved information retrieval. The objective is to bridge this gap by developing new algorithms for precise document categorization, which will enable rapid retrieval of important data.

#### **1.4 PRECISE WORKING**

To categorize a text, the following steps will be performed:

- A deep neural network model and several classifications will be used to train a dataset of around three lakh query samples.
  - 1. First, PCA is used for data cleaning and dimensionality reduction.
  - 2. Next, the Doc2Vec method is used to extract the document.
  - 3. After this data is clustered using the k-means algorithm.

- 4. Lastly, we will feed the neural network model our dataset and test it by getting pertinent documents.
- The measurement of accuracy will be done with several neural models.

#### **1.5 THESIS OUTLINE**

To find out what has already been done in this specific domain which is covered in the upcoming chapter we have carried out a literature survey. Next, we described our project's workflow and methodology in Chapter 3. In Chapter 4, we have explored many deep learning algorithms and ensemble models to determine which model yields the best outcomes when applied to our dataset. The same task can be done on several datasets that have been mentioned in Chapter 5. Chapter 6 presents a series of calculations and comparisons that were made to determine the optimal accuracy. Ultimately, our project comes to an end with the scope and related future work that could be completed for further enhancements.

## **CHAPTER 2**

## LITERATURE SURVEY

This section demonstrates a thorough literature review conducted earlier on numerous classification and retrieval techniques for different systems that are now in use.

No.	Ref	Methodology	Conclusion	Dataset
				Used
1.	[5]	The study looks into text classification using a hybrid CNN-LSTM model with TF-IDF. To represent documents, they first preprocess text input and calculate TF-IDF scores. LSTM network captures sequential relationship. When linked to old- style approaches, the model performs better in text classification tasks after integrating these variables for classification.	The authors provide a CNN-LSTM and TF- IDF-based text categorization model. While CNN concentrate on gathering local information, TF-IDF may specifically extract the most significant textual aspects, while LSTM can gather general information. By combining these three, they ran trials on datasets of short and long texts, respectively.	THUCNews, Taobao Review
2.	[6]	By presenting an exclusive Text-CNN classifier with a freshly constructed CE- MSERs detector, the authors of this study have produced a new scene text detection method. It makes use of binary text/non-text data, character class, text region mask, and other highly-supervised text information. They frame the Text-CNN training problem as a	The study concludes that scene text detection problems are well handled by the Text- Attentional Convolutional Neural Network (TACNN). TACNN performs better than conventional techniques in accurately detecting text in complicated settings by integrating both textual and visual information through attention mechanisms. TACNN's	ICDAR 2011

T 1 1 0 1 0	• 1	•	<u> </u>	1	
Table 2.1: Summ	arized.	review	ot.	liferature	nanerg
10010 2.1.5011111	IaIIZCU		UL.	morature	papers
					1 1

multi-tasklearningpotential for real-worldproblemthatscene text identificationsuccessfullycombinesapplications is showcase	
multi-level supervisory interactions.multi-level supervisory byexperimental findings that indicate its superiority in terms of detection accuracy and robustnessCNN that can reliably distinguish ambiguous textfluctuations in text appearancefluctuations and backdropbackdrop heavily on informative multi-level supervision.fluctuationsinterxt multi-level supervision.	
3.       [7]       An enhanced Bi-LSTM-CNN technique for news text classification is presented in this presented in this preprocess news texts and display them as token sequences. Then, they add residual connections and attention techniques to improve the conventional Bi-LSTM-CNN model.       In this research, the Bi-LSTM-CNN model uses       THUC	'News
4.[8]The article presents the CNN-BiLSTM model for sentiment analysis at the document level. They represent documents as token sequences after preprocessing them. Lastly, the model outperforms convention techniques by combining these features for sentiment categorization at the document level.The creators of this exploration introduce the joining of convolutional and bidirectional recurrent neural networks for report-document-level sentiment analysis with Doc2vec Embedding. Since it exploits both the CNN element extraction abilities and the BiLSTM's ability to get familiar with the drawn- out bi-directional conditions of the text, the consolidated CNN- BiLSTM model performs above and beyond huge texts.The creators of this exploration introduce the joining of and bidirectional recurrent neural networks for report-document-level sentiment analysis with Doc2vec Embedding. Since it exploits both the CNN element extraction abilities and the BiLSTM model performs above and beyond huge texts.French Article	
5. [9] In this research, a In this publication, TCM,	arks

		1	1	[]
		based approach to		
		medical text	network approach for	
		classification is	medicinal manuscript	
		presented. They use a	•	
		neural network	segmenting the	
		architecture created	document and then	
		especially for this task		
		and preprocess medical	segments into the	
		texts. The efficiency of	document	
		the suggested strategy	representation, the	
		in medical text	approach creates	
		classification tasks is	sentence representations	
		demonstrated through	of sentences. It	
		experimental	incorporates the	
		evaluation.	attention mechanism,	
			BIGRU, and the word-	
			level convolutional	
			layer.	
6.	[10]	Using a deep dense	The study concludes	MT Data
	_	LSTM-CNN	that extractive text	Samples
		framework, the	summarization for	-
		research provides an	biomedical transcripts	
		extractive text method	-	
			facilitated by the deep	
		transcripts. Next, they	• •	
		employ a blend of		
		Convolutional Neural	•	
		Networks (CNNs) and		
		Long Short-Term		
		Memory (LSTM) to	•	
		•	sequential and resident	
		-	features. The suggested	
		utilize a dense layer at		
		the end to provide	existing methods, as	
		summary sentences	demonstrated by the	
		Sammary Sentences	experimental findings.	
7.	[11]	The method described		COUNTER,
		in this study for	to draw attention to	NPUU and
		document-level text	Urdu, a language with	naïve
		categorization is a	limited resources, by	Documents
		convolutional neural	creating and making	Documents
		network (CNN) with a	available a sizable,	
		single layer and several	intricate, and versatile	
		filter sizes. These filters	text collection. The	
		are tools for extracting	SMFCNN performs	
		features. The model	well in classifying	
			small, medium, and	
		operates on document- level text classification	large-size datasets on	
		tasks across several	the Urdu TC problem.	
		datasets, learning layers	Although determining	

		C 1		
		of characteristics and classifying texts based on these qualities, which produces excellent performance.	the SMFCNN's optimized parameters takes a lot of time and resources, the classifier performs better as a result.	
8.	[12]	This research offers a novel technique for text classification that combines CNNs with topic-based word embeddings. Using topic information, they first create word embeddings, and then they use CNNs to identify local patterns in the text. Experiments described in the study show that this combination strategy delivers enhanced performance in text classification tasks and effectively learns representations of texts.	With word embeddings developed by Skip- gram, an amazing approach for learning subject-based semantic word embeddings for message categorization with CNNs, which was introduced in this release, scientists achieved incredibly ruthless results. While Skip-gram concentrates on setting data from neighboring word windows, the suggested Topic-based Skip-gram incorporates semantic information from records as well.	MEDLINE Citations Public Dataset
9.	[13]	This work most likely defined goals gathered relevant data, pre- processed it, and extracted features with rich metadata. They trained models, chose deep learning architectures, and assessed performance in comparison to baselines. Most likely, analysis ensued, evaluating the effect of deep learning on semantically rich representations for document classification.	The INFUSE dataset in the funding sector is used to demonstrate an ontology-based document categorization system in this paper. It integrates pertinent terminology into semantically rich text representations that are obtained from ontologies. Deep learning classifiers are used to accurately assign categories to documents by using semantic representations as input.	INFUSE
10.	[14]	In this paper, the authors suggested a deep neural network- based methodology for	They conclude that theirdeep neuralnetwork-basedmethodsuccessfullybrings	Medical Diagnosis Dataset

		1		ı
	[15]	onlinemedicaldiagnosisdataextractionandnamedentityrecognition.Theymostlikelytrained the deep neuralnetworkmodel,assesseditseffectiveness, and pre-processedthedata.Their strategy probablyentailedusingnetwork'scapacity toidentifycomplexpatternsin the data torecognizeandextractnamedaccurately.	online medical diagnosis data and recognizes them. The approach performs well and has potential uses in enhancing the precision and efficiency of medical data analysis and decision-making procedures.	
11.	[15]	The authors suggested using deep neural networks to automatically summarise documents. They probably trained the neural network model, assessed the quality of the summaries, and pre- processed the papers. Deep neural network technology made it possible to extract intricate relationships from the text, which aided in the creation of clear and useful summaries. The efficacy of the strategy was probably evaluated by contrast with other available techniques.	This study introduces the DNN to find the sentence semantic space to extract sentences with meaningful semantics and limit information duplication. Both qualitative analysis show that this deep framework can produce encouraging outcomes.	DUC2006, DUC2007
12.	[16]	available techniques.The use of wordembeddings developedon closed-domaincorpora in the paperimproves the extractionof biomedicalinformation. First, theyuse Word2Vec to trainword embeddings using	The authors of this publication provided instructions on how to create an unannotated text corpus for the Italian biomedical domain. They used it to produce several biomedical WE exhibits	PubMed and PMC Articles

		domain-specific datasets. They then adjust these embeddings to the domain by fine-tuning them on a specific biomedical corpus. Lastly, they employ the refined embeddings in information extraction tasks and show better results than with general-purpose embeddings.	and then integrated it as the information layer for a B-NER DL engineering. By comparing the displays of these WEs with the behaviors of WE demonstrations made on broad area corpora, it was therefore possible to illustrate the convenience of WEs prepared on the biomedical shut space corpus when employed	
10	[17]		as the contribution of the B-NER DL design	<u>c1</u> :
13.	[17]	This evaluation presents a deep mind network-based method for Named Element Acknowledgment (NER) in Chinese clinical texts. They employ a Bidirectional Long Short Term Memory (Bi-LSTM) network with a Restrictive Irregular Field (CRF) layer to capture name information. The model is ready on described clinical text data in order to recognize and organize named objects appropriately.	of unsupervised feature	Chinese Clinical Corpora
14.	[18]	This article, Cde-iiith's method for SemEval- 2016 Task 12—focuses on applying machine learning to extract temporal information from clinical documents. They use elements from syntactic and semantic analysis to create Conditional Random Fields (CRFs). They also use other	In this publication, they present their work on the Clinical TemEval task from the SemEval 2016 challenge. To accomplish the challenge's obstacles, researchers employed two different strategies: the first is based on CRF and SVM, and the second makes use of deep neural networks.	Cancer Patient Record

15.	[10]	resources, such as medical ontologies, to increase the precision of temporal information extraction.	The outcomes demonstrate that both strategies perform about the same on the challenges offered to train and test datasets. In this study, a deep	Roksit's web
13.	[19]	The technique for classifying web pages using recurrent neural networks (RNNs) is proposed in this paper. They use an RNN architecture to encrypt the sequences of tokens created by preprocessing web page content. A softmax layer receives the encoded representations to do classification. Based on experimental results, web pages can be accurately classified using the RNN-based technique	In this study, a deep learning-based system has been created to classify web pages. The system that was designed took advantage of the data that Roksit provided. A webpage is categorized using the metadata that is present on it. The title, description, and keywords meta tags are employed. The crawler module created for this study is responsible for gathering this data. The tests were conducted using a deep-learning architecture based on RNNs.	classification
16.	[20]	This work presents a development of making a message summing up a model that coordinates the extractive and the abstract methodology. To upgrade the nature of the rundowns delivered, they utilize document context vectors on the records and outlines, and they depend on Recurrent Neural Networks (RNNs) in the creation of the synopses. Starting there, it targets furnishing the peruser with a compact and clear comprehension of the substance of the first message by	The proposed system of using both extractive and abstractive rundown strategies essentially works on the nature of message outline as is obviously clear from the end part of the paper. It gives an outline of the topics covered in the first papers and it plans succinct and valuable synopses. As displayed in the trial results, the proposed technique outflanks regular outline procedures, and the outcomes likewise uncover its conceivable extent of use in different NLP undertakings.	eBay description

		introducing its		
		significant ideas and		
		key data.		
17.	[21]	This article offers an approach to catching	The performance analysis of this proposed	PDF Dataset
		classification as a	strategy from the tests	
		model that is prepared	uncovers that their work	
		to utilize recurrent	is plausible and	
		Neural Networks	compelling on a few	
		(RNNs) combined with		
		Natural Language	better outcomes looked	
		Processing (NLP). In	at than a portion of the	
		the first place, with the	current procedures.	
		assistance of NLP, they	After making a few	
		separate highlights	-	
		from the articles, and		
		accordingly, apply the		
		RNN system to	typical exactness of the	
		characterize the	proposed procedure.	
		articles. This RNN	The examination	
		model considers the	utilized three sorts of	
		grouping of similitudes		
		of the texts and	test the framework;	
		consequently more	discoveries show that	
		accurate classification	the document object	
		of texts instead of	5	
		expressions should be	framework utilizes a	
		possible. The	recurrent neural	
		appropriateness of the	network to manage the	
		suggested technique	-	
		has been demonstrated	peculiarity during multi-	
		through tests, which are	mark order more	
		consequently	productively than the	
		characterized by	other classification	
		document jobs.	algorithms.	
18.	[22]	This research adds to	The authors of this work	SOC Dataset
		planning an attention-	describe a DIQA	
		based Recurrent Neural	technique based on	
		Network (RNN) model	attention-based RNN.	
		for the Document	This technique uses a	
		Picture Quality	convolutional layer to	
		Assessment. They first		
		transform the record	spatial peek to choose a	
		pictures into machine-	prominent area of the	
		processable structures	document image. The	
		through preprocessing	locator module is	
		of the archives. Then, at	trained using a	
		that point, to find	reinforcement learning	
		noticeable locales in the	technique, and other	
		picture, they utilize an	network components are	

		RNN model that applies attention components. As laid out in the assessment cycle, the attention component empowers dynamic highlighting of huge picture regions that empower work on the model's presentation in precisely surveying the	trained using stochastic gradient descent. After that, they create a brand- new reward system and confirm its efficacy.	
		nature of archive pictures.		
19.	[23]	The technique for extracting key phrases from Twitter via Deep Recurrent Neural Networks (RNNs) is presented in this paper. Tweets are pre- processed and represented as token sequences. Subsequently, a deep RNN architecture is utilized to accurately identify key phrases by capturing contextual information and semantic linkages inside the tweet messages. The experimental results show the efficiency of the suggested method for obtaining pertinent key phrases from Twitter data.	To complete the key- phrase extraction challenge, researchers in this paper suggested a novel deep recurrent neural network (RNN) model that combines keywords and context information. The task of generating key phrases and ranking keywords can be handled simultaneously by the suggested model. Its two hidden layers which categorise key phrases and differentiate between keywords combine to form the final objective function. They used a dataset filtered from ten million tweets that had been crawled to test the suggested approach.	Twitter Dataset
20.	[24]	In this paper, a deep sentence embedding	To describe the long- range context	-
		technique based on Long Short-Term Memory (LSTM) networks is presented. To obtain semantic information, they first preprocess text data and use LSTM networks to encode phrases.	information and integrate the important information of a sentence in a single semantic vector, the authors presented a model based on long short-term memory. The authors go on to	

				I
		Subsequently, they utilize a ranking loss function to optimize language embeddings for information retrieval tasks during model training. The results of the experimental study demonstrate how well the suggested method works to produce meaningful language embeddings, which enhances information retrieval applications.	semantic vector changes with time and only incorporates relevant data from fresh input. Input gates, which identify and attenuate unnecessary information, have made this possible. They suggested and used user click-through data from a for-profit web search service to train the model with a minimal supervision signal due to the overall lack of available human- annotated data.	
21.	[25]	The technique for extracting key phrases from Bengali documents using LSTM recurrent neural networks (RNNs) is presented in this paper. First, they preprocess Bengali text and store it as token sequences. The effectiveness of the LSTM-based method for extracting Bengali document key phrases is demonstrated through experimental evaluation.	Rather thanusing theconventionalrankingmethod,the	Bangla Dataset
22.	[26]	The approach for multilingual material retrieval with help of deep learning techniques is proposed in this paper. At first, they use word embeddings to represent text data that has been pre-processed from several languages.	potential phrases.Using deep learning, a multilingualinformationretrievalsystem wascreated.Resultsfrommultilingualsearcheshave anaccuracy of91.19%.Thelearningmodelandsimilarityscorewereusedtogetthese	Tourism Dataset

		They then use a deep learning architecture to train language- independent depiction of documents, maybe using a (CNN) or RNN	findings. Results from bilingual searches have a 70% accuracy rate. This value is the sole product of the cosine similarity score. Therefore, the method of retrieving information by deep learning is better.	
23.	[27]	An integrated model for information retrieval based on neural networks is presented in this research. The model efficiently ranks and retrieves relevant pages by integrating many retrieval strategies, such as semantic similarity measures and keyword matching. The effectiveness of the suggested integrated model in information retrieval tasks is demonstrated by experimental evaluation.	In this work, an integrated approach to CIA management was presented. The experimental findings demonstrated that the suggested RMSprop outperformed the earlier AdaGrad and Adam algorithms in terms of performance. Compared to the current methods, the suggested algorithm has numerous advantages in terms of accuracy, speed, detecting comparable words, etc. RMS prop is utilized to increase our model's accuracy and speed. Word similarity is determined using LSTM.	Proposed Corpus
24.	[28]	In this review, another technique in light of RNN for extraction of table fields in business records is presented. Before separating highlights, they pre- process the archives and convert the pictures of reports into text. Then, they utilize the RNN construction to lay out conditions and investigate grouping examples of the archive text. The prepared	They suggest an RNN strategy for table field extraction in business papers, which remarkably works on the earlier work, as deduced in the paper. Applying RNN to the assignment, the upsides of table fields are effectively recognized integrating the succession of the text input. As per the consequences of the trials, the utilization of	Business Document Dataset

	1		ſ	
		assignment of the	the RNN-based method	
		model is to remember	gives improved	
		them and concentrate	outcomes than	
		on their fields with high	conventional procedure,	
		accuracy.	while offering the	
			course of this innovation	
			which is fit for	
			upgrading the report	
			examination.	
25.	[29]	The use of visual word	This research proposes a	Mongolin
-01	[=>]	vectors and recurrent	novel representation	-
		neural networks can be	strategy for word	Documents
		utilized to characterize	images. One way to	Documents
		word visuals during the	learn embedding vectors	
		-	•	
		time spent	is by using a set of visual	
		distinguishing their	words. For any word	
		matching occasions.	image, the average of	
		They are then utilized	the associated visual	
		in the informational	embedding vectors can	
		index to find	be used to represent it.	
		consecutive examples	On the other hand, RNN	
		of the watchword	models the character	
		implanting in RNN	sequences in word	
		structures. The	pictures. Thus, in this	
		appraisal results	approach, word pictures	
		demonstrate that our	are converted into fixed-	
		process is	length embedding	
		fundamentally effective	vectors. Lastly, the two	
		in the time it takes to	types of embedding	
		plan relevant	vectors stated above are	
		catchphrases from old	combined to represent	
		archival photos.	all word pictures.	
26.	[30]	A multi-scale CRNN	In this study, the	Chinese
		model for Chinese	researchers propose a	Medical
		papery medical	multi-scale CRNN for	Document
		document recognition	Chinese medical	Dataset
		is proposed in this	document identification	
		paper. The model	after a thorough	
		successfully recognizes	examination.	
		text in medical	The suggested model is	
		documents by	capable of combining	
		incorporating features	and successfully	
		from several scales.	extracting multi-scale	
		The multi-scale CRNN	characteristics from	
		model outperforms	various convolutional	
		other models in	layers. According to	
		experimental validation	experimental results,	
		regarding Chinese	the suggested approach	
		medical document	performs better on	
		recognition tasks.	-	
L	l		1	II

			CMDD than the widely	
	50.13		used approaches.	· · · · ·
27.	[31]	The technique for text	This study suggests a	
		line segmentation in	novel technique for TLS	Devanagari
		ancient Indian	in photographs of old	Documents
		handwritten writings	handwritten documents	
		using Faster R-CNN is	written in Devanagari	
		presented in this	script. The suggested	
		research. Initially, they	approach, which is	
		utilize the Quicker R-	based on grouping	
		CNN model to	methodology and	
		preprocess archive	projection profile,	
		picture and concentrate	addresses several issues	
		district suggestions.	with current TLS	
		They then work on	systems. The indorsed	
		these ideas to	technique can precisely	
		unequivocally draw	recognize text lines even	
		text lines. Finally, they	in situations when the	
		employ present	reports are blurred, have	
		handling strategies to	dark patches on them, or	
		improve the nature of	have skewness and	
		segmentation.	interline covering.	
28.	[32]	A technique for table	The authors of this work	Medical
		examination and data	develop a method for	Laboratory
		abstraction from	information extraction	Reports
		clinical lab information	from reports from	
		is proposed in this	medical laboratories.	
		exploration. they begin	They segment and	
		by practicing image	recognize texts using	
		processing techniques	efficient algorithms, as	
		to preprocess record	per the document's	
		pictures and	structure. To be more	
		concentrate tables. The	precise, they identify	
		suggested approach	multi-scale lines that are	
		shows its adequacy in	utilized to segment and	
		overseeing organized	des-kew table regions.	
		information in clinical	They can comprehend	
		archives by	the table structure more	
		accomplishing exact	fully thanks to our	
		table examination and	system's top-down	
		data extraction from	pipeline for text	
		clinical research center	detection than they can	
		outcomes.	with bottom-up	
			techniques.	
29.	[33]	The method for text	This investigation offers	Chinese
		discovery and	a sophisticated method	Medical
		recognition in photos of	for word recognition	Documents
		medical laboratory	and recognition from	Dataset
		transactions is proposed	images of clinical	
		in this paper. They start	-	
		transactions is proposed	images of clinical	Dataset

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	by applying methods like morphological operations and linked component analysis to preprocess photos and extract text sections. The model performs better than conventional techniques after being taught end-to-end to precisely identify and	outcomes. First, given an image of a clinical lab report, a finder that generates a number of leaping boxes with messages is trained using a fix-based preparation technique. Next, a link structure is fed into a recognizer, which uses the jumping enclosed sections of the	
	detect text in photos of	source image as	
	medical laboratory	information sources to	
	reports.	interpret messages.	
30. [34]	An improved	In this study, the author	SVTP,
50. [54]	Convolutional Recurrent Neural Network (CRNN) for scene text recognition is presented in this paper. They start by extracting text regions from scene photos and preprocessing them. Then, to better capture textual information and increase recognition accuracy, they modify the CRNN architecture by adding extra layers and attention processes.	In this study, the author suggests an improved CRNN for scene text acknowledgment. Six public datasets are used to evaluate the enhanced CNN model for word arrangement recognition in images, which was trained on fictitious datasets. This paper's primary contribution is the enhancement of the original CRNN network and the introduction of a novel neural network- based approach to text recognition.	CUTE
31. [35]	CRNN architecture for document classification is presented in this research. They first preprocess text data and represent it using token sequences. Next, they use recurrent layers to capture sequential dependencies and convolutional layers to extract	This paper proposes a Convolutional Recurrent Neural	DBPedia

#### CHAPTER 3

#### METHODOLOGY

This chapter describes the research design, including the reason for the chosen strategies and their relation to the study's objective. It describes the sample procedures, data-gathering instruments, and analytical tools utilized to answer the study objective.

#### **3.1 Document Retrieval**

One of the most crucial concepts in healthcare for granting access to critical data from large text collections is document retrieval (DR) [1]. Several Search engines like Google, and Bing are highly reliant on on document retrieval algorithms so that they get the most relevant documents from large datasets. It describes the use of automated techniques to find and pick the most pertinent data from unstructured medical documents, like research papers, discharge summaries, and clinical notes. To manage this new and continually amassing clinical information, clinical information mining to remove significant well-being information has become significant, particularly during the Coronavirus pandemic [2]. This phase is essential for directing clinical judgments, guaranteeing effective medication research, and acting as the cornerstone of public health initiatives.

The complicated medical records that are acquired by conventional means might be difficult to handle. In order to address the problem, we combine the previously described approach with the help of natural language processing techniques, which seem particularly effective in this regard. As a result, they can now extract information and provide explanations from reports thanks to developments in neural network models, particularly CNNs [3] and RNNs [4]. When analyzing word relationships within a text, CNN is the preferred network, while RNN performs better when handling sequential data.

Document retrieval in healthcare research comprises the systematic process of gathering relevant information from different sources to solve specific research inquiries. Researchers start by unveiling their data requirements, which could be questions about treatment effectiveness to questions about disease patterns.

Once the initial search results are obtained, researchers filter and evaluate the retrieved documents based on relevance, credibility, and quality. After retrieving the important documents researchers extract important information from the retrieved documents and synthesize them to address the research objectives. This synthesis process may include statistical analysis, qualitative coding, or thematic synthesis, depending on the nature of the research.

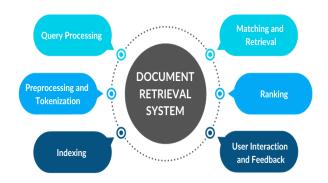


Fig.3.1 Document Retrieval System [53]

#### 3.2 Dataset

Because of the Coronavirus pestilence, the White House and numerous eminent logical gatherings fostered the Coronavirus Open Logical Dataset (CORD 19) [2]. North of 400,000 over a million examination distributions on Coronavirus, SARS-CoV-2, and related COVID-19 containing CORD 19 are full-text accessible. This dataset, which is freely accessible to the world, is consequently made accessible to the worldwide examination local area so they can apply the latest normal language handling and other simulated intelligence exploration to dynamic illnesses, possibly prompting the disclosure of advancements that could be useful to in the battle against this disease. The interest in these strategies is ascending because of the fast speed increase of new COVID writing, and the clinical exploration local area is finding it challenging to stay aware of it.

The White House and an alliance of driving exploration establishments are pushing the world's computerized reasoning specialists to foster text and information mining instruments that will assist the clinical local area with tackling significant logical issues. The biggest machine-meaningful Covid writing assortment presently accessible for information mining is the CORD 19 [2] dataset.

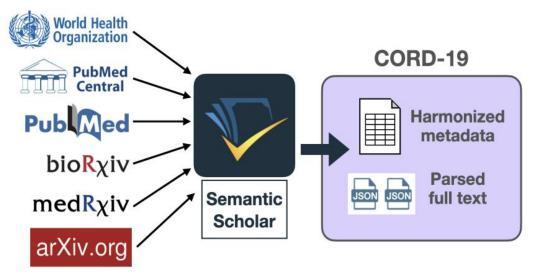


Fig.3.2 CORD-19 dataset [54]

This furnishes the global man-made intelligence research local area with the amazing chance to apply text and information mining apparatuses to interface experiences across and find answers for issues inside this substance, supporting the continuous Coronavirus reaction tasks around the world. The clinical local area finds it more challenging to keep awake to date with the developing criticalness of these medicines due to the Covid writing's quick turn of events.

#### 3.3 Software Requirements

Python programming is required to construct this model, together with Jupyter Notebook and Anaconda Navigator tools.

#### 3.3.1 Python Programming

Python is a broadly material, reasonable, and significant-level programming language. Python was planned by Guido van Rossum and first made accessible in 1991. Its plan reasoning accentuates code clarity and huge utilization of whitespace. Its thing-based idea and phonetic headways are intended to help computer programmers create reliable, adequate code for both little and enormous-scope projects. Python utilizes trash assortment and dynamic creation.

It is viable with a few programming dialects, including object-arranged, utilitarian, and organized (particularly procedural). The ABC language was supplanted with Python in the last part of the 1980s. With the arrival of Python 2.0 in 2000, highlights like references including in the trash assortment framework and rundown understandings were incorporated. 2008 saw the arrival of Python 3.0, a critical update to the language that isn't completely reverse viable and requires changes for most Python 2 projects to work appropriately on Python 3.

#### 3.3.2 Anaconda Distribution and Anaconda Navigator

Anaconda is an open-source dissemination of the logical processing programming languages R and Python. Bundles for information science that are viable with Windows, Linux, and macOS are remembered for the delivery made to make bundle the executives and arrangement more straightforward.

The Anaconda distribution dispersion accompanies a work area graphical UI (GUI) Anaconda Navigator, which empowers clients to oversee conda bundles, conditions, and diverts as well as sending off applications without requiring order line input. Navigator can search for bundles in a nearby Anaconda repository or on Anaconda Cloud. It can likewise introduce and refresh bundles in a climate. Linux, macOS, and Windows can all utilize it. Anaconda Distribution is an extensive tool for Python and R programming, especially appropriate for information science, AI, and huge-scope information handling. It incorporates a wide cluster of pre-introduced bundles, conda for bundle and environment management, and similarity with the Jupyter Scratch-pad. Anaconda Navigator, a graphical point of interaction, improves on bundling the executives, climate generation, and admittance to instruments like Jupyter Lab, Spyder, and Versus Code. It gives an easy-to-understand method for overseeing and sending off applications, increasing the transparency and efficiency of intricate information science job procedures.

### **ANACONDA.**NAVIGATOR

	Applications on base (root)	~ Channels		Refre
Environments	\$	•	٥	•
Learning	<b>E</b>	Ŭ.	lab	Jupyter
Community	Datalore	IBM Watson Studio Cloud	JupyterLab	Notebook
communicy			⊅ 0.35.3	↗ 5.7.8
	Online Data Analysis Tool with smart coding assistance by JetBrains. Edit and run your Python notebooks in the cloud and share them with your team.	IBM Watson Studio Cloud provides you the tools to analyze and visualize data, to cleanse and shape data, to create and train machine learning models. Prepare data and build models, using open source data science tools or visual modeling.	An extensible environment for interactive and reproducible computing, based on the Jupyter Notebook and Architecture.	Web-based, interactive computing notebook environment. Edit and run human-readable docs while describing the data analysis.
	Launch	Launch	Launch	Launch
MFOCUS CODE - BETTER SCIENCE	۵	٥	۵	\$
Donate	IP(y):	*		<b>99</b>
7. 690. 900.00	Qt Console	Spyder	Glueviz	Orange 3
upport the OSS Community	⊅ 4.4.3	⊅ 3.3.2	1.0.0	3.26.0
Documentation	PyQt GUI that supports inline figures, proper multiline editing with syntax highlighting, graphical calltips, and more.	Scientific PYthon Development EnviRonment. Powerful Python IDE with advanced editing, interactive testing, debugging and introspection features	Multidimensional data visualization across files. Explore relationships within and among related datasets.	Component based data mining framework. Data visualization and data analysis for novice and expert. Interactive workflows with a large toolbox.
Anaconda Blog	Launch	Launch	Install	Install

Fig.3.3 Anaconda distribution [55]

#### 3.3.3 Jupyter Notebook

We might make and impart archives to live code, math, designs, and story text utilizing the open-source web instrument Jupyter Notepad. Information change and cleaning, factual displaying, mathematical reproduction, information perception, and numerous different applications are among the purposes.

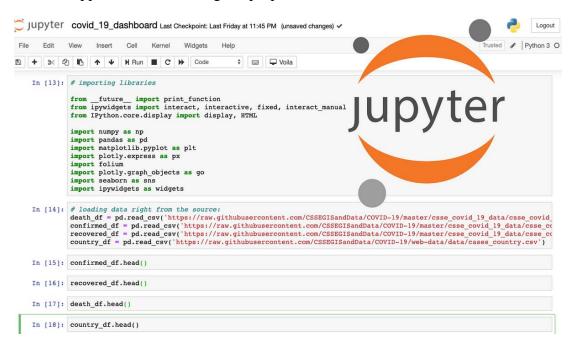


Fig.3.4 Jupyter notebook [56]

#### 3.4 Necessary Libraries

#### 3.4.1 NumPy

NumPy [36] is a common tool used by scholars, experts, and algorithm inventors in machine learning. NumPy is a programming language that is based on Python, which is widely used. It has several operations and functions, as well as multidimensional arrays, which are the basic data structures for scientific computing. It helps to store the array efficiently and helps to save a lot of space. The Python community has created libraries based on NumPy, including the machine learning package sci-kit-learn (Sklearn).

#### 3.4.2 Pandas

Python developers may use pandas [37], a collection of high-level data structure designs and tools, to do robust information research. The main goal of pandas is to facilitate our ability to locate information fast.

#### 3.4.3 Matplotlib

Matplotlib [38] is a Python 2D charting tool that produces production-excellence graphics. It is a graph plotting toolkit designed for visualization purposes. It is a tool that may be interactive or non-interactive, and it allows a variety of picture storage formats. Matplotlib works perfectly with other Python libraries like NumPy and Pandas, allowing users to effortlessly view data kept in arrays, matrices, and data frames. It supports a broad series of plot forms, including bars, pie charts, histograms, and lines. Furthermore, it is extremely versatile, user-friendly, and customizable.

#### 3.5 Data Cleaning

Data cleaning is essential to discovery and abolishing any duplicate, irrelevant, or missing data. It is necessary because if we train our model with error data, it will not be properly trained and will not predict results with high frequency.

When it comes to data preparation, information purification is a critical stage that contributes to information quality assurance. It is critical that the fundamental information on the discovery be great. If the material is not cleansed to remove errors, exceptions, missing data, or irregularities, it is absolutely possible to make an error or even mislead about the results of an inquiry. Finally, with clear information, it is easier to display and identify instances since computation is most beneficial when provided with exact, high-quality data that is free of errors. Together, clear data helps in outcome translation and the maturation of execution ideas.

#### Stages to do to clean up data:

- 1. Removing Unwanted Discernments: Determine whether the insights are unimportant, and then delete them to reduce dimensionality. This cycle includes analyzing extra records, repeated entries, and fields that do not contribute to the investigation.
- 2. Correcting structural errors: Identify any faults with the information's architecture, such as factor kinds, variable names, information designs, or any combination of these that may exist in the current dataset. The final advantage will be the existence of consistent arrangements, modified names, and a worked-out structure for information depiction. Tackling primary issues

upgrades the dependability of the data which is useful for investigating and deciphering.

- 3. Taking care of Undesirable Anomalies: Circle or pick the perceptions that are far away from the mean or the normal of the dataset. Depending upon the unique situation, in which outliers impact the examination, it is prescribed to change or kill them. Discarding outliers is considered a drawback since it reduces the accuracy and power of data processing.
- 4. Overseeing Missing Information: There are different conceivable outcomes on how to deal with missing information, for example, for example, utilizing more perplexing techniques like ascription or barring inadequate and missing records and measurable attribution. Purifying the information is especially essential in any given dataset as well as in diagnosing issues in the examination.

#### **3.6 Pre-Processing Stages**

In this we understand the different phases of pre-processing and how it works.

#### **3.6.1 Document Parsing**

The extraction of organized information from unstructured materials presents a troublesome interaction known as document parsing. Unstructured records are those that contain incorporate significant information but don't adhere to the predefined system, like solicitations or structures. By looking at the substance of the report, tracking down relevant data, and sorting it out into an organization that can be utilized, document parsing is the way to get this information.

Once the data structure has been defined and the type of information to be extracted has been determined, then we need to compile all the documents to be extracted into one location. The next actions that must be performed are as follows:

- 1. Text Extraction: Our archives may be in several formats, such as Word, PDF, or HTML. The first step is to convert these record kinds into text documents that computers can read. Although optical character recognition (OCR) is typically used for this, there are other ways of text extraction available.
- 2. Tokenization: The message is divided into "tokens," which are essentially words or subwords, so that the machine can investigate the data.
- 3. Named Entity Recognition (NER): Likewise alluded to as natural language processing (NLP), this method recognizes and categorizes items in a text, such as names, dates, quantities, and locations. A syntactic analysis is then conducted to better understand the text's linguistic construction.
- 4. Organisation: This addresses inadequacies, contradicting text, and disturbance in video recordings. After the data has been cleaned up, it is grouped into tables using key-esteem matches or another structured format.

#### 3.6.2 Lexical Analysis

A crucial stage in NLP is lexical analysis, sometimes known as scanning. The lexical analyzer, also known as a lexer or scanner, reads the source code character by character in programming languages to classify the characters into tokens, which are the smallest units of code that have meaning. These tokens usually belong to one of the following categories: operators (arithmetic, logical, relational), punctuation (commas, semicolons, braces), keywords (reserved words with predefined meanings like if, while, return), and constants (like integers, doubles, characters, and strings).

#### 3.6.3 Stemming and Lemmatization

By removing the word's affixes, stemming creates the base word from the inflected term. A predetermined set of regulations governs the dropping of these affixes. It should be mentioned that stemmers may or may not produce base words with semantic meaning. Compared to lemmatizers, stemmers are less computationally expensive and speedier. Lemmatization is the process of combining similar words' inflected forms. In this manner, we can access any word's fundamental shape that has inherent meaning. The Lemma is the term for this basis.

#### 3.6.4 Word Embedding

One method for representing words and documents is Word Embedding. A word is represented in a lower-dimensional space by a numerical vector input known as word embedding or word vector. It permits words to have comparable representations when they have similar meanings. Word embeddings are a way to take textual properties and turn them into machine-learning features that may be used with textual data. They make an effort to maintain semantic and syntactic information. The word count of a sentence is the basis for techniques like Bag of Words (BOW), Count Vectorizer, and TF-IDF; syntactical or semantic information is not saved. The amount of vocabulary elements determines the size of the vector in these methods. If the majority of the elements are 0, we can have a sparse matrix.

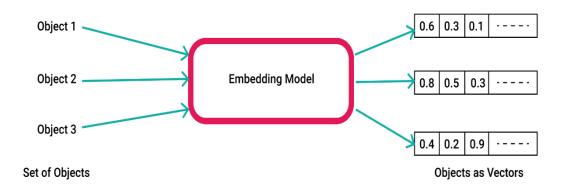


Fig. 3.5 Embedding model [57]

Large input vectors will result in an enormous number of weights, increasing the amount of computation needed for training. Word Embeddings provide an answer to these issues. But we use a neural approach to perform word embedding and the famous technique used to perform word embedding is DOC2Vec. It simply converts each word of the sentence into a vector representation. The underlying idea of using this is words that consist of the same meaning have the same vector representation.

#### **3.7 Dimensionality Reduction**

Reducing the number of items in a dataset while preserving the majority of the associated data is the aim of dimensionality reduction. One important area where high-layered information is handled is machine learning. The well-known problem in machine learning known as the "curse of dimensionality" states that a model's performance decreases with increasing element count. This is due to the fact that a

model's complexity increases with the number of attributes it contains. This leads to a lack of proper training, which makes it more difficult to come up with a workable solution because our model is often confused by trying to comprehend an infinite number of variables. data. The two essential strategies for diminishing dimensionality are feature extraction and feature selection.

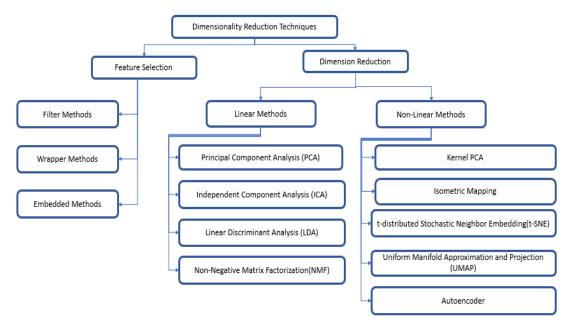


Fig.3.6 Dimensionality reduction technique [58]

#### 3.7.1 Feature Selection

Feature Selection is the cycle that assists us with concluding which highlights are pivotal for the model. The groundwork of all AI methods is highlight designing, which comprises fundamentally two stages: feature extraction and feature selection. Processes for feature selection and extraction might have a similar objective, however they are very unmistakable from each other. The main contrast between the two is that feature extraction produces new elements, though feature selection centers around picking a subset of the underlying component assortment.

Algorithms for feature selection fall into three broad categories: filter techniques, wrapper methods, and embedding methods.

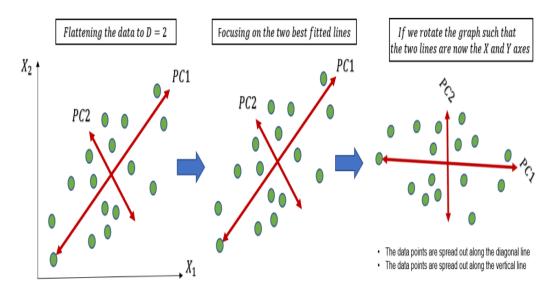
- 1. Filter Methods: Usually, these techniques are applied during the pre-processing phase. Regardless of whether machine learning algorithms are used, these methods choose features from the dataset. Although these techniques are excellent at eliminating redundant, correlated, and duplicate features, multicollinearity cannot be eliminated by them.
- 2. Wrapper Methods: It is also called greedy algorithms, and use an iterative process to train the algorithm using a subset of features. Features are added and removed according to the findings drawn from training the preceding model. The primary benefit of wrapper methods over filter methods is that they offer the best possible collection of features for model training, which improves accuracy compared to filter methods but comes at a higher computational cost.
- 3. Embedded method: The feature selection algorithm in embedded methods has its built-in feature selection methods because it is integrated into the learning process. The disadvantages of filter and wrapper techniques are met by

embedded methods, which combine their benefits. These techniques take into account a variety of features in addition to being quicker and more accurate than filter techniques.

#### 3.7.2 Feature Extraction

Feature extraction is the technique in which the original features are combined or changed to generate new features. The feature extraction approach reduces dimensionality in data by selecting a subset of characteristics that provide similar information. This involves placing multiple locations with too many dimensions on a map with fewer dimensions. With it, we can solve the problem of overfitting. There are three significant kinds of element extraction procedures that we by and large use specifically Principal Component Analysis (PCA), Linear Discriminant Analysis (LDA), and Gaussian Discriminant Analysis (GDA).

 Principal Component Analysis (PCA): It reduces the dimensionality of a data set by finding a new set of variables that are smaller than the original set of variables while retaining the majority of the information that characterizes the sample, and such variables are useful for data regression and classification. PCA is a solo straight dimensionality Reduction method used to resolve the issue of overfitting. PCA is an AI approach generally used to foster expectation models and conduct exploratory information investigations





2. Linear Discriminant Analysis (LDA): It is a prominent multidimensionality reduction approach for supervised classification applications. It is also referred to as Normal Discriminant Analysis or Discriminant Function Analysis. It aims to maximize the separation of class with minimal variation. Its objective is to find a linear feature combination that maximally separates classes, resulting in a clear separation between them. LDA assumes that each document is created via a probabilistic process involving a collection of themes. It is assumed that papers are made up of a mixture of several themes, with each topic distinguished by its distribution over words. For example, we should efficiently categorize the two classes provided.

3. Gaussian Discriminant Analysis (GDA): It is a machine learning approach, that is used to solve classification issues. It is a Linear Discriminant Analysis (LDA) approach that does not impose strict equivalence on the covariance matrices across classes. GDA assumes that the properties of each class follow a Gaussian (normal) distribution. This assumption greatly simplifies both modeling and parameter estimates. To make GDA work, each class's mean and covariance matrix are computed assuming that the data in each class follows a Gaussian (normal) distribution.

#### **3.8 Doc2 Vec**

The Doc2Vec technique, based on neural networks, is used to learn the distributed representation of documents. The unsupervised learning technique allows us to map documents from a high-dimensional space to a fixed-length vector inside the input document space. The fundamental concept behind DOC2Vec is that words that are near to one other have a similar vector representation. Vector learning algorithms assign comparable texts to neighboring regions in the vector space. Thus, we may compare documents based on their vector representations, which allows us to perform tasks like document categorization, grouping, and similarity analysis.

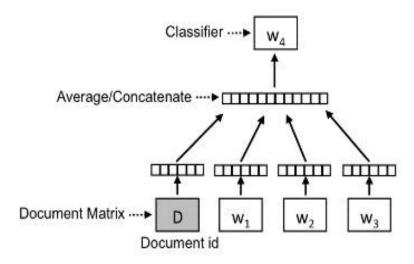


Fig. 3.8 Learning model of DOC2VEC [60]

The Doc2Vec approach has two primary variants. One is a distributed bag of words (DBOW) and the other is distributed memory (DM). The Distributed Memory model is a modification of the Doc2Vec model that expands on the well-known Word2Vec model. Distributed Memory works by learning a fixed-length vector representation depending on the context of each unique piece of text input (sentence, paragraph, or page).

DBOW, or Distributed Bag of Words, is a simplified version of the Doc2Vec technique that emphasizes word distribution within texts rather than word meaning. It ignores word order and records the frequency of each word.

## 3.9 Clustering Stage

Cluster analysis, or clustering, is the method of positioning data points into groups according to how similar they are to one another. The goal of clustering is to create homogeneous groups of data points from a diverse dataset. The points with the highest similarity score are then grouped after the similarity is assessed using a metric such as Euclidean distance, Cosine similarity, Manhattan distance, etc.

To group related data points, there are generally two methods of clustering that can be done:

- 1. Hard Clustering: Every data point in this kind of clustering is either fully or partially a part of a cluster. Let's take an example where we need to cluster four data points into two clusters. Thus, every data point will be associated with either Cluster 1 or Cluster 2.
- 2. Soft Clustering: This kind of clustering assesses the chance or possibility that a given data point will be in a particular cluster rather than placing each point in a separate cluster. Let's take an example where we need to cluster four data points into two clusters. Thus, we shall assess the likelihood that a given data point will be included in one or both clusters. All of the data points are used to compute this probability.

### 3.9.1 K-Means Clustering

The most common way of preparing a calculation to work on unlabelled, unclassified information without human oversight is known as unsupervised machine learning. K is the number of groups in the bunching method. At first, the group centroid is doled out aimlessly. Then, information focuses are doled out to groups relying upon their separation from the centroid. After relegating each highlight to a group, a new bunch of centroids is made. The methodology is persistently more than once until a reasonable bunch is found. We expect that the quantity of bunches is fixed and that each point is relegated to a gathering.

There are conditions where K is ineffectively portrayed, in which case we ought to contemplate the best worth of K. K Means is the best gathering approach for data that is particularly segregated. It isn't suitable to utilize this social event when data centers are over. When diverged from other packing computations, K Means is quicker. It gives a tight connection between information things. K Means bunches don't give precise data about the group's quality. Various bunches might be framed because of introductory group centroid portions. The K Means technique is comparatively commotion delicate.

### 3.9.2 How the K-Means algorithm works?

We are furnished with a dataset of items with specific credits and values for those elements. Work includes classifying these things into gatherings. We will play out this work utilizing the K-means approach, an unsupervised learning apparatus. The letter "K" in the calculation's name addresses the ideal number of gatherings or groups into which we need to arrange our things. The strategy makes k groupings or similitude bunches of things. We use Euclidean distance to figure out which information focuses have a place wherein bunch.

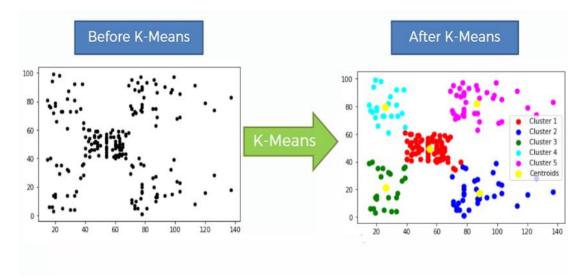


Fig.3.9 K-Means clustering [61]

The algorithm functions as follows:

- 1. At first, k focuses are randomly allotted and these k focuses are otherwise called group centroids or means.
- 2. Everything is gathered by the closest mean, and the directions of the mean which are the midpoints of the multitude of things ordered in that group hitherto are refreshed.
- 3. After a foreordained number of emphases, we again play out a similar cycle until we get our groups.

# **CHAPTER 4**

## **DEEP LEARNING AND ENSEMBLE MODEL**

Computational models containing a few handling layers can accomplish portrayals of information with several levels of abstraction through deep learning [39]. It ought to be underscored that these methodologies have currently essentially affected the cutting edge in a few fields, including drug improvement and hereditary qualities, voice acknowledgment and visual item ID, and article recognition. Deep learning handles various issues by portraying the backpropagation guideline, which presents alterations to the machine's inside loads in view of the portrayal marched in each layer as opposed to the portrayal strutted in each layer previously. Recurrent networks offer understanding into successive information, like voice and text, yet profound convolutional nets have made significant advances in picture, video, and sound handling.

It has been demonstrated to be exceptionally compelling at recognizing complex designs in high-layered information, making it helpful in an extensive variety of logical, business, and legislative settings. It has outflanked other AI procedures in foreseeing and dissecting particle smasher information, reconstructing brain circuits, and anticipating the impacts of changes in non-coding DNA on quality articulation and illness, as well as breaking records in picture and discourse acknowledgment.

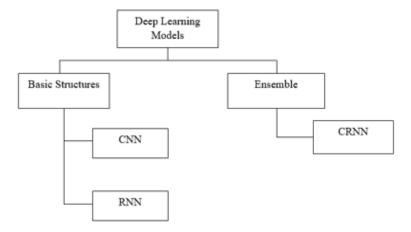


Fig.4.1 Different types of deep learning models

Progressive outcomes have been attained by DL models in NLP application domains. Ensemble deep learning models and Basic organized deep learning models can be used to group all deep learning models for document information retrieval. The term "Structure DL models" refers to deep learning models with their individual basic deep learning structures, such as CNN, RNN, and DNN. Ensemble DL models are those kinds of models that are constructed by integrating fundamental deep learning models, such as RMDL which helps to improve performance. The following is a brief explanation of those DL methods. These techniques provide a range of approaches to deal with difficult data problems and improve model performance, which characterizes the deep learning environment.

## 4.1 Convolutional Neural Network

Convolutional neural networks [40] apply a non-straight activation function as the result of a convolutional activity before pooling the information and characterizing it utilizing a full association layer. The channel, normally referred to as the kernel function is the focal part of a convolutional interaction. It travels through the first framework from left to right and from start to finish to complete element extraction. The estimation of the kernel function in regular language handling is many times equivalent to the width of the first network, and it just slides in the upper and lower headings, guaranteeing the trustworthiness of the word as the most minimal granularity in the language. There are two sorts of padding techniques utilized in the kernel function sliding cycle: valid padding and zero padding.

With its several layers, including embedding, convolutional, and pooling layers, CNN [41] offers the best feature extraction techniques. As the input layer, the embedding layer transforms every word from the pre-processed data into an embedding vector with embedding coordinates. The coordinates of the Convolution layer are decreased by the Pooling Layer. After receiving two-dimensional illustrations of words or features, the Flatten Layer transforms them into vector format that the Fully Connected Layer can use. One or more neural layers that employ activation functions and dropout rates to learn the model are referred to as the fully connected layer or dense layer. The model prediction is done using the Output Layer, whose activation function is SoftMax and whose nodes are equal to the dataset's class frequency.

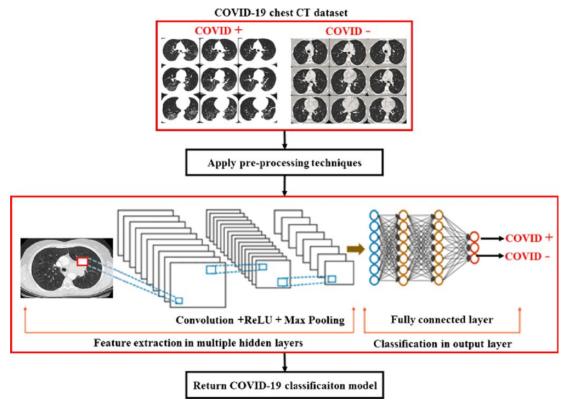


Fig.4.2 Working of CNN [62]

#### **4.2 Recurrent Neural Network**

When both the input and the output contain sequential structures, the order-to-order problem can be resolved using recurrent neural networks (RNN). Implicit relations typically exist between the structures. Nevertheless, the standard RNN model finds it challenging to thoroughly examine the relationships between the sequences [42]. Sequential data is a great fit for RNNs, which are essential for text mining and classification. They perform well when handling sequential data because they give preceding parts greater weights. RNNs are useful for several applications like image categorization because they can store temporal dependencies, which enables more sophisticated semantic analysis [43]. One of the most important features of the RNN paradigm is the way it collects the data from previous nodes, which helps to increase the semantic analysis of a given dataset. The input layer of the RNNs model is the implanting layer, which translates each word in the pre-processed data into embedding vectors with embedding coordinates. In addition to the dense layer, RNN architectures like GRU or LSTM are utilized to train the model.

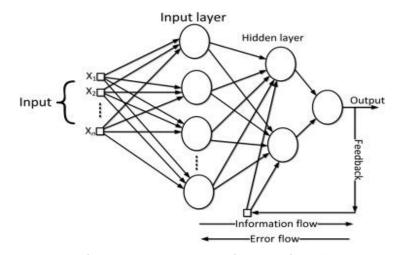


Fig.4.3 Recurrent Neural Network [63]

#### 4.3 Convolutional Recurrent Neural Network

CRNN is an amalgamation of CNN and RNN, which is a novel framework for document extraction, that enhances the capability and efficacy by using the advantages of both models. CNNs help in detecting local patterns whereas RNNs focus on context [44] therefore, a hybrid of these two types of neural networks allows the development of exact spatial and temporal connections more effectively thereby improving document extraction accuracy. CNN mainly uses a convolutional layer to capture hierarchical features along with that RNN captures sequential dependencies which we can help to capture both the local and global context of text. This involves detecting complicated document structures because of RNN's "sequential handling" and CNN's ability to acquire "hierarchical visual signs [45]. It allows the model to focus on the crucial sections of the given dataset from which information needs to be retrieved. CNN is an already trained model that is built on the (ImageNet dataset) and RNN is based on the (Language Corpora) and helps to capture typical patterns and features for a given application. This helps to accelerate that consists of lesser "labeled data". This property of CRNN is very useful for applications like scene text recognition, which

requires knowing both the spatial arrangement of characters and the sequential flow of language.

Here's how CRNNs work:

- 1. Input: A CRNN accepts a sequence of data as input, such as audio samples or pictures.
- 2. Convolutional Layers: Convolutional layers are fed the input sequence to extract features from the input. These layers work especially well with image-based inputs and resemble those found in CNNs.
- 3. Recurrent Layers: One or more recurrent layers, which are especially useful for processing sequential data, are fed the output of a convolutional layer. Layers that are repeated preserve a concealed state that contains details about earlier entries in the sequence.
- 4. Relationships between recurrent and convolutional layers: Typically, a convolutional layer's output is sampled before being fed into a recurrent layer. In doing so, the computational complexity of the network is decreased without sacrificing crucial input properties.
- 5. Output: After passing through the fully linked final layer, the result of the former iterative layer generates a forecast for the input sequence. This forecast may take the form of a string of letters, words, or other task-relevant outputs.

### 4.4 Natural Language Processing

Full-text databases are growing quickly, and this has led to advances in natural language processing (NLP) technology. People working in NLP have suggested that NLP could be practically applied to text retrieval, mainly used for indexing, but maybe also for somewhat related tasks, such as file 'abstracting' or extracting; it could be used for user display or database formation, and it could be used at both shallow and deep content levels. The retrieval itself comes in a variety of forms, such as one-time searching, filtering, or routing; it is used in a variety of information and text refining activities, like labeling for different goals; and the content it covers, for instance, extends into hypertext.

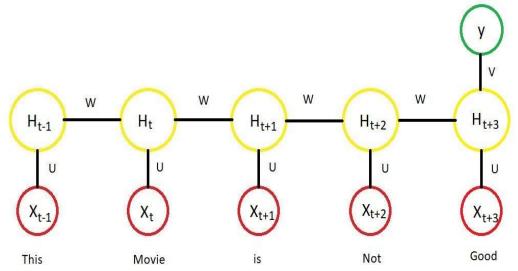


Fig.4.4 Natural Language Processing [64]

It is argued that linguistically driven analysis, and therefore natural language processing (NLP), is necessary not only for information extraction but even for more

basic tasks like document retrieval given the present demanding environment in which large amounts of machine-readable content are becoming available.

Additionally, NLP might be required to create meaningful connections between different types of data and tasks within the information-selection task family by offering concept representations that could be used for related goals (like data and document queries) and to provide the user with more palatable information (like a display of important document concepts).

### 4.5 Long-Short-Term Memory

Contrasted with a standard RNN, Long Short-Term Memory (LSTM) [46] is a unique sort of RNN that jelly long haul dependence all the more effectively. This is particularly useful in settling the vanishing gradient issue. While LSTM and RNN share a chain-like construction, LSTM utilizes many entryways to painstakingly control how much data is allowed into every hub state.

## 4.6 Gated Recurrent Unit

The GRU is an architecture used in RNNs to handle extended-term relationships in consecutive data [47]. The GRU has gating mechanisms that GRUs are particularly useful in document extraction, where understanding context and relationships in sequential data is crucial.

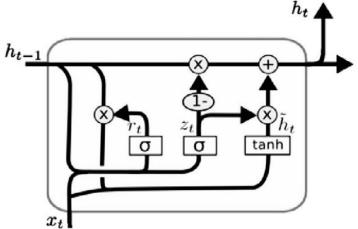


Fig.4.5 Gated Recurrent Unit [65]

They can selectively retain and discard information. GRUs feature two critical gates: the reset gate as well as the update gate. These gates function as intelligent filters, determining which information should be maintained from before and which is important to the subsequent phase. The reset gate decides what to discard, whereas the latest gate enables the selective transmission of important information.

# DATASETS

The COVID-19 pandemic prompted the White House and a group of eminent scientific institutions to establish the COVID-19 Open Scientific Dataset (CORD-19) [2]. More than 400,000 scholarly articles about COVID-19, SARS-CoV-2, and related coronaviruses are included in CORD-19 are fully text-accessible. This openly accessible dataset is made accessible to the global research communal so that they can utilize the latest advancements in natural language processing and other AI techniques to provide novel understandings that will support the ongoing fight against this infectious virus. The demand for these methods is rising due to the quick acceleration of new coronavirus literature, and the medical research community is finding it problematic to keep up with it.

These exploration establishments are pushing the world's artificial intelligent consciousness specialists to propel manuscript and information mining apparatuses that will uphold clinical foundations to take care of significant logical issues. The biggest machine-meaningful COVID writing assortment at present existing for information mining is the CORD- 19 [2] dataset. This furnishes the global artificial intelligence research local area with the event to apply text and information mining instruments to associate experiences across and find answers for issues inside this substance, supporting the continuous Coronavirus reaction activities around the world.

#### **5.1 Similar Datasets**

- 1. LitCovid [48]: The 2019 new coronavirus is the subject of several recently published PubMed papers that make up the LitCovid dataset. With over 23,000 items in total and about 2,000 new ones published each week, the dataset provides an extensive tool for researchers to stay informed about the COVID-19 pandemic.
- 2. Chest X-ray [49]: There are 30,805 unique patients' X-ray images (112,120) with ailment tags included in this NIH Chest X-ray Dataset. The disease classifications from the associated radiological reports were text-mined by the authors using Natural Language Processing to produce these labels. The labels are intended to be appropriate for weakly-supervised learning and to be more than 90% correct.
- 3. CONCORD [50]: An extensive collection of numerical claims taken from scholarly articles published on COVID-19-related research is available as an open-source dataset called the COVID-19 Numerical Claims Open Research Dataset (CONCORD). About 203k numerical claims relevant to COVID-19 are contained in CONCORD; these claims were taken from over 57,000 scientific research articles that were issued between January 2020 and May 2022. For further investigation, they used the raw dataset from the CORD-19 repository.

- 4. COVIDx CXR-4[51]: Researchers created the publicly available benchmark dataset COVIDx CXR-4, which consists of 30,882 CXR pictures from 17,026 patient cases. To enhance the dataset, more images might be contributed in the future. They are using this dataset to test and improve our COVID-19 identification models from CXR pictures.
- 5. COVIDSenti [52]: A massive manually interpreted COVID sentiment data set called COVIDSenti was created. It included 90,000 tweets that were crawled between February and March of 2020. Each tweet is allotted one of the three sentiment classes either it is optimistic, undesirable, or unbiased.

# **CHAPTER 6**

# **RESULTS AND DISCUSSION**

The CORD-19 dataset, which includes the COVID-19 documents, is used in our study. We reduce the initial dataset of 3 lakh queries to 10,000 entries to ensure a thorough analysis.

Important factors like sensitivity, F1-score, precision, and accuracy are carefully evaluated. Our study compares these measures amongst various neural network models to identify the best strategy.

- 1. Precision: The percentage of genuine positive predictions to the total of false positives and true positives is measured by the precision performance metric. Precision = True Positives / (False Positives + True Positives)
- 2. Recall: Analysing a model's capacity to prevent false negatives is essential. A high recall score indicates that there is less chance of false negatives because the model is good at identifying a significant percentage of pertinent positive cases.

Recall = True Positives / (False Negatives + True Positives)

 F1 Score: The F1 score is an average of recall and accuracy that is balanced. It assesses how well an algorithm can identify positive circumstances while reducing false negatives and possible positives. F1 Score = 2 \* (Recall \* Precision) / (Recall + Precision)

MODEL	Accuracy	Recall	Precision	F1-Score
CNN	98.84	98.3	97.1	97.7
RNN	82.65	85.7	83.4	84.5
CRNN	98.93	99.1	95.6	99.1

Table 6.1: Performance of Neural models

The CNN model obtains an accuracy of 98.84% with precision, sensitivity, and F1-score values of 97.1%, 98.3%, and 97.70%, respectively. On the other hand, the RNN model yields metrics for precision, sensitivity, and F1-score of 83.4%, 85.7%, and 84.50%, respectively, and an accuracy of 82.65%. CRNN, the fusion model, outperforms the two independent models with an accuracy of 98.93% and metrics of 95.60%, 99.1%, and 99.10% for F1-score, precision, and sensitivity, respectively. These results illustrate the classification performance of the CRNN model.

The differences in model performance that have been found point to the special qualities and benefits of the CNN, RNN, and CRNN architectures. When it comes to extracting spatial features from image data, RNNs do better than CNNs at capturing temporal correlations in sequential data.

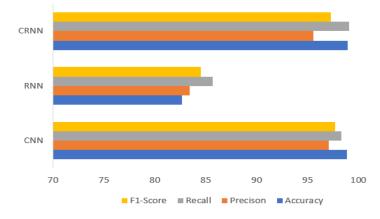


Fig.6.1 Comparison of Evaluation Measure

Improved classification accuracy and resilience are demonstrated by the CRNN model through the integration of geographical and temporal data. This emphasizes how important it is to use hybrid designs to take advantage of complimentary benefits and improve the model's overall performance.

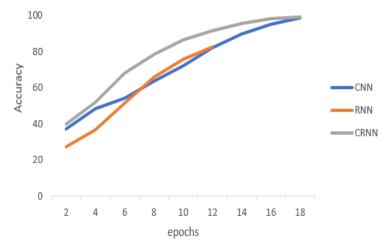


Fig.6.2 Accuracy of different models

## **CHAPTER 7**

## CONCLUSION, FUTURE WORK AND SOCIAL IMPACT

The following section explains in detail how deep learning models, particularly recurrent neural networks (RNNs), convolutional neural networks (CNNs), and their combinations, of convolutional recurrent neural networks (CRNNs), can help improve information retrieval from hospital patient documents. A systematic assessment of this research reveals that these models can track illness, particularly through a more comprehensive database of patient records. The fundamental distinction between RNN and CNN is the sequential usage of medical texts, with CNN determining local characteristics and spatial correlations. CRNNs stand out as an excellent approach to health care document categorization because they can capture the sequence of documents while maintaining location sensitivity at the same time, which can be done by the strong sequence processing of CNN combined with the sensitivity to the locality of RNN.

Researchers and practitioners can employ deep learning-based approaches to enhance document retrieval systems in healthcare through comparative analysis. It highlights the differences in these areas by demonstrating how specific healthcare information retrieval tasks are carried out. We can conclude from the study that CNNs, RNNs, and CRNNs are suitable for use in health document retrieval. As these documents become more widely available, patient outcomes may improve.

The comparison study will reveal how CNN, RNN, and CRNN models perform during the retrieval of relevant documents. CNN and RNN models perform well, but CRNN outperforms them all because of their greater resilience and accuracy. The next research effort, which will focus on architectural hybrid upgrades and optimization strategies, may push classification performance even higher. The CRNN model certainly has a higher potential for overall classifier performance. Future studies should assess the model's interpretability, scalability, and robustness against a variety of unexpected events and its actual utility for business purposes. Investigating these components may aid in the development of the model's broader application across other areas.

As important as it is to meet present project objectives, it is just as important to consider the project's future scope. Future advances are anticipated. The potential for overcoming these limitations is built into the advancement of innovation.

Below is a list of possible future scopes:

- 1. Multimodal Retrieval: Examine methods that have advanced beyond text extraction to data processing using pictures or audio information. Together with the visual audio data processing technology, we can modify document retrieval systems to be more suited for a wide range of distinct consumers
- 2. Enhanced Retrieval Algorithms: Create more complex, profound learning models for archive recovery that use cutting-edge tactics such as support learning, center systems, and BERT transformers.

- 3. Protecting privacy Retrieval: Use federated learning techniques or query encryption to effectively retrieve documents while leveraging technologies that protect user privacy.
- 4. Semantic Understanding: To increase conviction in the searched content, strengthen the deep learning model's capability to entirely realize the semantic background of the request.
- 5. Evaluation Metrics: Define new evaluation benchmarks that are improved at measuring the effectiveness of deep learning-based retrieval systems and account for the diversification of documents, user satisfaction, and results context.

Following are some social impacts of our project in the healthcare domain:

- 1. Better Patient Care: Medical workers will be able to access a variety of medical writing, treatment recommendations, and research publications online. With this system in place, medical workers may approach circumstances more thoughtfully, improving the patient's condition and, eventually, identifying answers to key health challenges.
- 2. Quicker Diagnosis and Treatment: In instances when time is crucial in healthcare, such as emergency and urgent care, deep learning-based retrieval systems allow medical professionals to retrieve data as rapidly as possible. This drastically lowers the time required for diagnosis, allowing for speedier treatment decisions.
- 3. Advancement of Medical study: Improvements in medical literature and research data enable scientists to conduct more quick and thorough research nowadays. Furthermore, new medical instruments are being developed, genetic engineering is being found, and medical research and innovation are moving more rapidly.
- 4. Patient Empowerment: Thanks to advancements in healthcare systems, patients may now obtain timely and reliable medical information, enabling them to take an active role in choosing their treatments and taking care of chronic illnesses.
- 5. Decreased Medical Errors: The attempt is anticipated to reduce clinical errors, incorrect diagnoses, and unpleasant situations while improving patient wellbeing and treatment quality. This aligns with the organization's mission of improving access to evidence-based medical knowledge and best practices.

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# LIST OF PUBLICATION(S)

1. Abhilasha Sharma, Vaibhav Kansal, "Comprehensive Evaluation of CNN, RNN, and CRNN for document retrieval in Healthcare Systems". The paper was Accepted at the 5th International Conference on Recent Trends in Computer Science and Technology (ICRTCST 2024) in April 2024. Indexed by Scopus. Paper ID: ICRTCST-2024/CSE129.

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Greetings from R.V. S. College of Engineering and Technology.

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Your manuscript entitled " Comprehensive Evaluation of CNN, RNN, and CRNN for document retrieval in Healthcare Systems " with paper ID ICRTCST-2024/CSE129 has been PEER REVIEWED and ACCEPTED for publication in the "5th International Conference on Recent Trends in Computer Science and Technology (ICRTCST)- 2024" to be held on 9-10 April 2024 at R.V. S. College of Engineering and Technology, Jamshedpur, Jharkhand, India.

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