# ESTIMATION OF STATOR RESISTANCE IN SENSOR-LESS INDUCTION MOTOR DRIVE USING MRAS 

DISSERTATION

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## CERTIFICATE

I, Avinash, Roll No. 2K14/C\&I/20 student of M. Tech. (Control and Instrumentation), hereby declare that the dissertation titled "Estimation of Stator Resistance in Sensor-less Induction Motor Drive Using MRAS " under the supervision of Dr. Mini Sreejeth, Assistant Professor, Department of Electrical Engineering, Delhi Technological University in partial fulfillment of the requirement for the award of the degree of Master of Technology has not been submitted elsewhere for the award of any Degree.

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#### Abstract

Industrial motor drive applications are usually complex and expensive. Most industries invest time and effort to implement drives which are low-cost, reliable, energy efficient and require low maintenance. In this field, the Induction motor (IM) is popular for its superior performance compared to its counterparts. There are two types of IM- squirrel cage IM and wound rotor IM both widely used. The popularity of the squirrel cage induction machine is attributed to its ruggedness (high output power to size ratio) and simplicity of construction. Recent advances in power electronics such as the Voltage Source Inverter (VSI) based drives have enabled variable speed control of IM's. VSI based drive converts DC voltage into three phase voltage of variable magnitude and frequency based on requirements for motor control. This thesis has its foundation on a certain form of control called Indirect Vector control based on frame transformations, estimators and error based controllers.

This thesis work presents a new sensor less vector control scheme consisting on the one hand of a speed estimation algorithm which overcomes the necessity of the speed sensor and on the other hand of a stator resistance estimation algorithm.

In this work, the design includes stator resistance estimation and rotor speed estimation from measured stator terminal voltages and currents. The estimated stator resistance is given to the speed estimation block. The rotor speed is used as feedback in an indirect vector control system achieving the speed control without the use of shaft mounted transducers. The scheme is simulated using a $1.5 \mathrm{HP}, 460 \mathrm{~V}, 50 \mathrm{~Hz}$ induction motor.


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## LEGENDS

| $d^{s}-q^{e}$ | Synchronously rotating reference frame (or rotating frame) direct and quadrature axes |
| :---: | :---: |
| $d^{s}-q^{s}$ | Stationary reference frame direct and quadrature axes. |
| f | Frequency (Hz) |
| $\mathrm{I}_{\text {d }}$ | Dc current (Ampere) |
| $\mathrm{I}_{\mathrm{L}}$ | Rms load current |
| $I_{m}$ | Rms magnetizing current |
| $I_{f}$ | Machine field current |
| $i_{d r}^{s}$ | $d^{s}$ - axis rotor current |
| $i_{d s}^{s}$ | $d^{s}$ - axis stator current |
| $i_{\text {qr }}^{s}$ | $q^{s}$ - axis rotor current. |
| $i_{\text {qs }}^{s}$ | $q^{s}$ - axis stator current |
| $i_{q r}$ | $q^{e}$ - axis rotor current |
| $i_{d r}$ | $d^{e}$ - axis rotor current |
| $i_{d s}$ | $d^{e}$ - axis stator current |
| $i_{\text {qs }}$ | $q^{\bullet}$ - axis stator current |
| $i_{a b c}^{*}$ | Command current |
| $i_{a b c}$ | Actual current |
| J | Moment of inertia ( $\mathrm{Kg}-\mathrm{m}^{2}$ ) |
| $X_{r}$ | Rotor Reactance (Ohm) |
| $X_{s}$ | Synchronous reactance |
| $X_{d s}$ | $d^{e}$ - axis synchronous reactance |
| $X_{l r}$ | Rotor leakage reactance |
| $X_{l s}$ | Stator leakage reactance |
| $X_{q s}$ | $q^{\boldsymbol{e}}$ - axis synchronous reactance |
| K | Constant gain |


| $K_{T}$ | Torque constant |
| :---: | :---: |
| $\theta_{e}$ | Angle of Synchronously rotating frame |
| $\theta_{r}$ | Rotor angle |
| $\theta_{s l}$ | Slip angle |
| $L_{m}$ | Magnetizing inductance |
| $L_{r}$ | Rotor inductance |
| $L_{s}$ | Stator inductance |
| $L_{l r}$ | Rotor leakage inductance |
| $L_{l s}$ | Stator leakage inductance |
| p | Differential operator |
| P | Number of poles |
| $R_{r}$ | Rotor resistance |
| $R_{s}$ | Stator resistance |
| $T_{s}$ | Developed torque |
| $T_{s m}$ | Electromagnetic torque |
| $T_{r}$ | Rotor time constant |
| $v_{d r}^{s}$ | $d^{s}$-axis rotor voltage |
| $v_{q r}^{s}$ | $q^{s}$ - axis rotor voltage |
| $v_{d s}^{s}$ | $d^{s}$ - axis stator voltage |
| $v_{q s}^{s}$ | $q^{s}$ - axis stator voltage |
| $v_{q r}$ | $q^{s}$ - axis rotor voltage |
| $v_{d r}$ | $q^{e}$ - axis rotor voltage |
| $v_{q s}$ | $q^{s}$ - axis stator voltage |
| $v_{d s}$ | $d^{e}$ - axis stator voltage |
| $\Psi_{a}$ | Armature reaction flux linkage |
| $\Psi_{f}$ | Field flux linkage |
| $\Psi$ | Airgap flux linkage |
| $\Psi_{r}$ | Rotor flux linkage |
| $\Psi_{d r}^{s}$ | $d^{s}$ - axis rotor flux linkage |
| $\Psi_{q r}^{s}$ | $q^{s}$ - axis rotor flux linkage |
| $\Psi_{d s}^{s}$ | $d^{s}$ - axis stator flux linkage |
| $\Psi_{q}{ }^{s}$ | $q^{s}$ - axis stator flux linkage |


| $\Psi_{q r}$ | $q^{s}$ - axis rotor flux linkage |
| :--- | :--- |
| $\Psi_{d r}$ | $d^{e}$-axis rotor flux linkage |
| $\Psi_{q s}$ | $q^{e}$-axis stator flux linkage |
| $\Psi_{d s}$ | $d^{e}$ - axis stator flux linkage |
| $\omega_{s}$ | Stator frequency |
| $\omega_{m}$ | Rotor mechanical speed |
| $\omega_{r}$ | Rotor electrical speed |
| $\omega_{s l}$ | Slip frequency |
| $\sigma$ | Motor leakage coefficient |

## Superscript

| $*$ | Command signal |
| :--- | :--- |
| $\sim$ | Estimated quantity |
| r | Rotor reference frame <br> s |

## ABBREVIATION AND ACRONYMS

| AC | Alternating Current |
| :---: | :---: |
| EMF | Electromotive Force |
| DC | Direct Current |
| DSP | Digital Signal Processing |
| EKF | Extended Kalman Filter |
| ELO | Extended Luenberger Observer |
| FOP | Field Oriented Principle |
| EMF | Electro-motive Force |
| FL | Fuzzy Logic |
| FLC | Fuzzy Logic Control |
| GA | Genetic Algorithm |
| HPF | High Pass Filter |
| LPF | Low-Pass Filters |
| NN | Neural Network |
| MMF | Magneto-motive Force |
| IGBT | Insulated Gate Bipolar Transistor |
| IM | Induction Motor |
| MRAC | Model Reference Adaptive Control |
| MRAS | Model Reference Adaptive System |
| PWM | Pulse Width Modulation |
| SVPWM | Space Vector Pulse Width Modulation |
| SMC | Sliding Mode Control |

## CHAPTER 1 <br> INTRODUCTION

### 1.1 INTRODUCTION

Over the past decades DC machines were used extensively for variable speed applications due to the decoupled control of torque and flux that can be achieved by armature and field current control respectively. DC drives are advantageous in many aspects as in delivering high starting torque, ease of control and nonlinear performance. But due to the major drawbacks of DC machine such as presence of mechanical commutator and brush assembly, DC machine drives have become obsolete today in industrial applications.

The robustness, low cost, the better performance and the ease of maintenance make the asynchronous motor advantageous in many industrial applications or general applications. Squirrel cage induction motors (SCIM) are more widely used than all the rest of the electric motors as they have all the advantages of AC motors and are cheaper in cost as compared to Slip Ring Induction motors; require less maintenance and rugged construction [1]. Because of the absence of slip rings, brushes maintenance duration and cost associated with the wear and tear of brushes are minimized. Due to these advantages, the induction motors have been the execution element of most of the electrical drive system for all related aspects: starting, braking, speed change and speed reversal etc.

### 1.2 INDUCTION MOTOR DRIVES

Power semiconductor devices constitute the heart of modern power electronics equipment's. They are used in converters in the form of a matrix of on off switches, and are helping in conversion of power from ac to dc , dc to dc and ac to ac at the same or at different frequencies. The switching mode power conversion gives high efficiency , the disadvantage is due to the nonlinearity of the switches, harmonics are generated at both the supply and load sides .Converters are widely used in many application such as heating, lighting controls, ac and dc power supplies, electrochemical processes, ac and dc motor drives, active harmonic filtering and static var generation etc. Due to the
use of power semiconductor devices in power electronic equipment may hardly increase 25-35 percent cost. The total cost of equipment and performance may highly influence by the characteristics of the devices. An engineer designs the equipments according to the characteristics of the devices so that reliable, and cost effective system with optimum performances can be achieved. The advancement of microelectronics greatly contribute the knowledge of power device material, processing, fabrication, modeling and simulation [1]. In recent years, dc motor was used mostly in areas where we require variable operation was required, since there flux and torque could be easily controlled by the field and armature current. The separately excited dc motor has been used in many applications, where there was a requirement of fast response and four quadrant operation with near zero and high speed. However the dc motor have some disadvantage, which are mainly due to the existence of the commutator and the brushes and because of this they require regular maintenance, therefore they cannot be used in corrosive or explosives environment and they have limited commutator capability under high speed, high voltage conditions. These problems can be overcome by using alternating current motors, which can be rugged and simple in structure.

Variable speed ac drives have been used in past to perform relatively undemanding roles in application which preclude the use of dc motor, because of the commutator limits and working environment. The progress in the field of power electronics, the trend is towards cheaper and more effective power converters, and a single phase motor ac drives completely favorably on a purely economic basis with a dc drives.

The various ac drive systems, which contain the squirrel cage induction motor is simple and rugged and is one of the cheapest machines which is available in all power ratings. With the rapid developments in the field of microelectronics, torque control of various types of ac machines will become a commonly used technique, even though high dynamic performance is not required. It is possible to contribute the energy saving by the application of intelligent control of the torque and flux producing components of stator currents.

Adjustable speed AC drives have the preferred choice in many industrial application where control speed is required. The availability of fast and efficient solid state power semiconductor switches (IGBTs) has resulted in voltage sources, PWM controlled inverters become a standard configurations in the power range to 500 kW .

The control and estimation of ac drives in general are more complex than those of dc drives, and this complexity increases if high performances are demanded. The main reasons for complexity are the need of variable frequency converter power supplies, the complex dynamics of ac machines, machine parameter variation.

The vector control theory has been receiving much attention because of better steady and dynamic performance over conventional control methods in controlling motors torque and speed. The vector control technique has been widely used in several electric drive applications. By providing decoupling of flux and torque control commands, the vector control navigate an AC motor drive similar to separately excited DC motor drive without sacrificing the quality of the dynamic performance. Within this scheme, a rotational transducer like a tachogenerator, an encoder or a resolver, is mounted to establish the speed feedback. However, this speed sensor may lower the system reliability, increase device investment and complicate the implementation. Therefore, a speed sensor less drive has been used in modern industrial application. In various vector control schemes, the speed sensorless vector control has been a relevant area of interest for many researchers due to its low drive cost, easy maintenance and high reliability. There are two parameters which are required in speed sensorless vector control of induction motor, the motor flux and speed estimation. These parameters are required for establishing the outer speed loop feedback and also in the flux and torque control algorithms. . In order to get better performance of sensorless vector control, different methods of speed estimation have been proposed. Such as, model reference adaptive system (MRAS), direct calculation method, luenberger Observers, extended Kalman filter (EKF) and Estimators using artificial intelligence etc [1]. Out of all the speed estimation methods, MRAS-based speed sensorless estimation has been commonly used in AC speed regulation systems due to its good performance and ease of implementation. In order to design MRAS for sensorless speed estimation, first we have to model the induction motor dynamic model. In induction motor, inputs to the motor are stator currents and voltages and output is rotor speed. That's why, while choosing reference model for MRAS, we have to form a rotor flux equation in the form of stator side parameters.

With advancements in the power electronics and intelligent control techniques, the torque and speed control of an induction motor are easily realizable, which was earlier thought to be very difficult and complex. There are different methods for controlling the speed of an induction motor, some are open loop and closed loop v/f
control techniques, Vector or Field Oriented Control, Direct Torque Control (DTC) and Sensor-Less Control, these control methods are used for speed control of IM. Brief explanation of all these methods is given in the following sections.

### 1.3 SCALAR CONTROL

Scalar control, as the name implies, deals only with magnitude variation of the control variables like frequency and voltage. For example, the flux is controlled by the variation of voltage of a machine, whereas torque control is achieved through variation in slip or frequency [1]. However torque and flux being a functions of voltage and frequency respectively, there is an inherent coupling effect in the torque and flux producing components. Due to that scalar controlled has slow transient response and therefore not suitable for high performance applications. As compared to the scalar control technique, in vector control or field oriented control, both magnitude and the phase is controlled, Scalar controlled drives give somewhat inferior performances, but they are easy implemented. Scalar controlled drives have been widely used in the industry. But their importance has to be diminished day by day, because of the superior performance of vector controlled drives.

### 1.4 VECTOR OR FIELD-ORIENTED CONTROL

Scalar control is easy to implement, but due to inherent coupling effect of both flux and the torque producing components it gives sluggish response. As a result, system became unstable because of high order system harmonics. Let us consider a example, if the torque is increased by increasing the slip, the flux tends to decrease. This flux variation then compensated by sluggish flux control loop feeding additional voltage. This temporary dipping of the flux reduces the torque sensitivity with slip and increase the response time of the system. These problems can be solved by vector control or field oriented control. The invention of the vector control in 1970s, and induction motor can be controlled like a separately excited dc motor, Because of the performance similar to a dc machine, vector control is known as orthogonal, decoupling, or trans vector control. Vector control is applicable to both the synchronous motor drives and induction motor drives. Vector control method, particularly for the modern sensor-less vector control is very complex and the use of

DSP is mandatory. Depending on the method of determination of the flux angle, vector control is divided into direct and indirect vector controls.

### 1.4.1 Dc Drive Analogy

Ideally, the operation of a vector controlled induction motor drive is similar to a separately excited dc motor drive in Fig 2.1. After neglecting the armature reaction effect and field saturation effect in case of dc machine, the developed torque is given by

$$
\begin{equation*}
\mathrm{T}_{\mathrm{e}}=\mathrm{K}_{\mathrm{t}} \Psi_{f} \Psi_{a}=K_{t}^{\prime} I_{f} I_{a} \tag{1.1}
\end{equation*}
$$

Where, $I_{a}=$ armature current
And $I_{f}=$ field current


Fig 1.1 Separately excited dc motor
DC machine is constructed in such a way that the field flux $\widehat{\Psi}_{\mathrm{f}, \text { which }}$ is produced by the field current $I_{f}$ is perpendicular to the armature flux $\Psi_{a}$, which is produced by the armature current $\mathrm{I}_{\mathrm{a}}$. These space vectors are orthogonal and decoupled in nature and stationary in space. This means that, when the torque is controlled by the armature current $I_{a}$, the field flux $\Psi_{f}$ is not affected and we get the fast transient response and high torque ampere ratio [1]. Similarly in the same manner, because of decoupling, when the field current $l_{f}$ is controlled, it affects only the field flux $\Psi_{f}$, but there are no effects on the armature flux $\Psi_{f}$ flux. The induction motor due to inherent coupling problems cannot give such a fast response. The performance of an induction motor can
be extended, if the machine is considered in a synchronously rotating reference frame $\left(d^{e}-q^{e}\right)$. In synchronously rotating reference frame, the sinusoidal variables appear as a dc quantity in steady. The Figure 1.2 shows that an induction motor with a inverter and a vector control in front end, which receives a signal $i_{d s}^{*}$ and $i_{q s}^{*}$. In a synchronously rotating reference frame these currents $i_{d s}^{*}$ and $i_{q s}^{*}$ are the direct axis component and the quadrature axis component of the stator current, respectively.


Fig 1.2 Vector controlled induction motor
In vector control the direct axis current $i_{d s}$ is analogous to field current $I_{f}$ and the quadrature axis current $i_{q s}$ is analogous to armature current $I_{a}$ of dc machine. Therefore, the torque in terms of $i_{d s}$ and $i_{q s}$ is given as,

$$
\begin{equation*}
T_{e}=K_{t} \widehat{\Psi}_{\mathrm{r}} i_{q s}=K_{t} i_{q s} i_{d s} \tag{1.2}
\end{equation*}
$$

Where $\widehat{\Psi}_{\mathrm{r}}=$ absolute peak value of sinusoidal space vector.
This performance of an induction motor similar to a dc machines is only possible if $i_{d s}$ is in the direction of $\widehat{\Psi}_{\mathrm{r}}$ and $i_{q s}$ is perpendicular to it, which results that when $i_{q s}^{*}$ is controlled, it affects the $i_{q s}$ current only, but not affect the flux $\widehat{\Psi}_{\mathrm{r}}$.

Similarly in the same way, when $i_{d s}^{*}$ is controlled, it controls the flux only and not the $i_{q s}$ component of current. In all operating condition this orientation of current is essential in a vector control drive.

### 1.4.2 Principle of Vector Control

The implementation of vector control is discussed in the Fig 1.3. In the Figure the machine model is in synchronously rotating reference frame. Assumed a unity
current gain of the inverter which is not shown in the Figure 1.3. The internal conversions of a machine model are shown on the right. The machine terminal phase currents $i_{a}, i_{b}$ and $i_{c}$ are converted into $i_{d s}^{s}$ and $i_{q s}^{s}$ components by $3 \phi$ to $2 \phi$ transformation.


Fig 1.3 Vector control implementation principle with machine $\left(d^{e}-q^{e b}\right)$ model

Then we convert these into a synchronously rotating frame with the help of unit vector components $\cos \theta_{e}$ and $\sin \theta_{\varepsilon}$, before applying them to $d^{s}-q^{e}$ machine model, as shown in Fig 1.3. The inverse transformation of two stage made by controller as shown, so that the control currents $i_{d s}^{*}$ and $i_{q s}^{*}$ corresponds to machine currents $i_{d s}$ and $i_{q s}$, respectively [1]. The response to $i_{d s}$ and $i_{q s}$ is instantaneous because the inverse transformation and transformation including the inverter do not incorporate any dynamics.

### 1.4.3 Dynamic d-q Model

The stator of an induction motor consists of a three phase balanced distributed windings with each phase separated by other two windings by 120 degrees in space [1]. When the current flows through these windings, a three phase rotating magnetic field is produced. The dynamic behavior of an induction machine is taken into account, in an adjustable speed drive system, using a power electronics converter. The study of a
dynamic performance of the machine is complex due to the coupling effect of the stator and rotor windings, also the coupling coefficient varies with a rotor position. So, a set of differential equations with the time varying coefficients is describing the machine model [1]. To derive the dynamic model of the machine, we have to take some assumptions:

- No saliency effects i.e. machine inductance is independent of the rotor position.
- Stator windings are arranged, so as to produce sinusoidal mmf distributions.
- The effects of stator slots may be neglected.
- There is no fringing of the magnetic circuit.
- There is negligible eddy current and the hysteresis effects.

A three phase balanced supply is given to the induction motor from the power converter. For dynamic modeling of the motor, two axes theory is used [1]. According to this theory, the time varying parameters can be expressed in the mutually perpendicular direct $(d)$ and the quadrature $(q)$ axis. For the representation of the $d-q$ dynamic model of machine a stationary or rotating reference frame is assumed.

In steady state conditions, only the per-phase equivalent circuit of the machine is valid. Whereas the high performance drive control, such as vector control or field oriented control is based on the dynamic d-q model of the machine. It is similar to the transformer with moving secondary, where as the coupling coefficients between the stator and rotor phases changes with change of rotor position $\theta_{r}$. The model of the machine tends to be very complex, which is described by the differential equations, with time varying mutual inductances. A three phase machine is represented by an equivalent two phase's machine, where $d^{s}-q^{s}$ ) corresponding to the stator direct and quadrature axes, and $\left(d^{r}-q^{r}\right)$ correspond to the rotor direct and quadrature axes. Although it is simple, but the problem related to time varying parameters remain there.

In 1920 a new theory of electric machine, proposed by R. H Park and his analysis is to solve this problem. He formulated a change of variables, which associated with stator windings of synchronous machine like the voltage, current and flux linkage with variables associated with fictitious windings rotating with the rotor at a synchronous speed. He referred, the stator variables to a synchronously rotating reference frame fixed in the rotor. With such transformations called the Park's transformation, showed that all the time varying inductances was eliminated that occur due to an electric circuit in relative motion and electric circuit with varying magnetic reluctance is eliminated.


Fig 1.4 Dynamic $d^{e}-q^{e}$ ) equivalent circuit of machine $\left(q^{e}-\right.$ axis $)$


Fig 1.5 Dynamic $d^{e}-q^{e}$ ) equivalent circuit of machine ( $d^{e}-$ axis)

The above Figure shows the $\mathrm{d}^{e}-\mathrm{q}^{e}$ dynamic model equivalent circuit. A special advantage of $\mathrm{d}^{\mathrm{e}}-\mathrm{q}^{\mathrm{e}}$ dynamic model of the machine is that all the sinusoidal variables in stationary frame appear as a dc quantity in synchronous frame. From above model, the electrical transient model in terms of the voltage and currents can be given in matrix form

$$
\left[\begin{array}{c}
v_{q s}  \tag{1.3}\\
v_{d s} \\
v_{q r} \\
v_{d r}
\end{array}\right]=\left[\begin{array}{cccc}
\mathrm{R}_{\mathrm{s}+} \mathrm{SL}_{\mathrm{s}} & \omega_{\mathrm{s}} L_{s} & \mathrm{~S} L_{m} & \omega_{s} L_{m} \\
-\omega_{\mathrm{e}} L_{s} & \mathrm{R}_{\mathrm{s}} \mathrm{~S} \mathrm{~L}_{\mathrm{s}} & -\omega_{\mathrm{e}} L_{m} & \mathrm{~S} L_{m} \\
\mathrm{~S} L_{m} & \left(\omega_{\mathrm{s}}-\omega_{r}\right) L_{m} & R_{r}+S L_{r} & \left(\omega_{s}-\omega_{r}\right) L_{m} \\
-\left(\omega_{s}-\omega_{r}\right) L_{m} & \mathrm{~S} L_{m} & -\left(\omega_{s}-\omega_{r}\right) L_{r} & R_{r}+S L_{r}
\end{array}\right]\left[\begin{array}{c}
i_{q s} \\
i_{d s} \\
i_{q r} \\
i_{d r}
\end{array}\right]
$$

There are basically two different types of vector control technique: direct and indirect vector control techniques. The direct implementation relies on the direct
measurement or estimation of rotor stator or magnetizing-flux-linkage vector position and amplitude.

The indirect vector control method uses a machine model. For example, for the rotor flux oriented control, it utilizes the inherent slip relation. In contrast to direct methods, the indirect methods are highly dependent on machine parameters.

Traditionally, the direct vector control schemes use search coils, tapped stator windings or Hall Effect sensors for flux sensing. This introduces a limitation due to the machine structural and thermal requirements. Many applications, uses indirect schemes, since these have relatively simpler hardware and better overall performance at low frequencies, but since these contain various parameters, which may vary with temperature, saturation level and frequency. Various parameter adaptation schemes, has been developed. These include model reference adaptive system (MRAS) applications, self tuning control application, applications of observers, and applications of intelligent controllers etc. to obtain solutions. It is sometimes assumed that, mechanical time constant is greater than the electrical time constants, but this becomes an invalid assumption, if the machine inertia is low. If incorrect modulus and angle of the flux linkage space vector are used in a vector control scheme, then the flux and the torque decoupling is lost and the transient and steady state responses are degraded. Low frequency response, speed oscillations, and loss of input output torque linearity is a major consequence of detuned operation, together with decreased drive efficiency.

### 1.4.4 dq-abc Transformation

The relation between stationary reference frame and synchronously rotating reference frame is derived by reverse Park's transformation.

$$
\left[\begin{array}{l}
\mathrm{i}_{\mathrm{a}}  \tag{1.4}\\
\mathrm{i}_{\mathrm{b}} \\
\mathrm{i}_{\mathrm{c}}
\end{array}\right]=\left[\begin{array}{ll}
\cos \theta & -\cos \theta \\
\cos \left(\theta_{e}-\frac{2 \pi}{3}\right) & -\sin \left(\theta_{e}-\frac{2 \pi}{3}\right) \\
\cos \left(\theta_{e}+\frac{2 \pi}{3}\right) & -\sin \left(\theta_{e}+\frac{2 \pi}{3}\right)
\end{array}\right]\left[\begin{array}{l}
\mathrm{i}_{\mathrm{d}} \\
\mathrm{i}_{\mathrm{q}}
\end{array}\right]
$$

Where, $\theta_{e}$ denotes the position of an angle between $d$-axis and $a$-axis of the synchronously rotating reference frame and stationary reference frame respectively [1]. The advantage of this state transformation in this new reference frame is that, the electromagnetic torque is directly an image of the quadrature ("q") component of stator current.

### 1.4.5 Direct or Feedback Vector Control

Let us consider synchronously rotating reference frame, where the principal vector control parameters, $i_{d s}^{*}$ and $i_{q s}^{*}$ are the dc values are converted into a stationary reference frame. The phase current commands for the inverter which are produced from the stationary reference frame signals. With the help of a voltage model estimator the flux signals $\Psi_{d r}^{s}$ and $\Psi_{q r}^{s}$ are generated from the machine terminal voltages and the currents. The flux control loop is added for a precision control of $i_{d s}^{*}$ and the torque component of current $i_{q s^{*}}^{*}$ is generated from the speed control loop with a bipolar limiter. Therefore, the torque produced is proportional to $i_{q s}$, can be a bipolar.

The correct alignment of current $i_{d s}$ in the direction of the flux, $\widehat{\Psi}_{\mathrm{r}}$ and the current $i_{q s}$ is perpendicular to it, are explained with the help of a rotor flux vectors $\Psi_{d r}^{s}$ and $\Psi_{q r}^{s}$ in the stationary reference frame as shown in Fig 1.4. In Figure, we see that, the $d^{s}-q^{\theta}$ frame is rotating with synchronous speed $\omega_{B}$, with respect to the stationary reference frame $d^{s}-q^{s}$, and at any instant, the angular position of $d^{s}-q^{\varepsilon}$ to $d^{s}-q^{s}$ axis is $\theta_{\varepsilon}$.


Fig 1.6 $d^{S}-q^{S}$ and $d^{E}-q^{E}$ phasors with correct rotor flux orientation

From Fig 1.6 we can write the following equations:

$$
\begin{align*}
& \Psi_{d r}^{s}=\widehat{\Psi}_{\mathrm{r}} \cos \theta_{s}  \tag{1.5}\\
& \Psi_{q r}^{s}=\widehat{\Psi}_{\mathrm{r}} \sin \theta_{s}  \tag{1.6}\\
& \cos \theta_{s}=\frac{\Psi_{\mathrm{g} r}^{s}}{\widehat{\Psi}_{\mathrm{r}}}  \tag{1.7}\\
& \sin \theta_{s}=\frac{\Psi_{q r}^{s}}{\widehat{\Psi}_{\mathrm{r}}^{s}}  \tag{1.8}\\
& \widehat{\Psi r}=\sqrt{\Psi_{d r}^{s}+\Psi_{q r}^{s^{2}}} \tag{1.9}
\end{align*}
$$



Fig 1.7 Plot of unit vector signals in correct phase positions

Let us now summarize some salient features of vector control

- In case of vector control the frequency $\omega_{e}$ of the drive is not directly controlled as in scalar control. The machine said to be a self-controlled, where the phase, as well as the frequency is indirectly controlled by a unit vector.
- In vector control there is no problem of instability, in crossing the operating point beyond the breakdown torque $\mathrm{T}_{\mathrm{em}}$, which is in a scalar control.
- As in vector control, the torque is control by the $i_{q s}$ current and it does not affect the flux. Due to that, we get fast transient response, like a dc machine. The ideal vector control is not possible, Because of signal processing, parameter variations effect and delays in converter.
- Speed control is possible in all four quadrants without any control elements like reversing of phase sequence. In forward motoring mode, if torque $T_{e}$ becomes negative, initially the drive goes to regenerative braking mode, which slows down the speed. The phase sequence of the unit vector automatically reverses at zero speed, and giving a reverse motoring operation.

The direct or feedback method of vector control is difficult to operate at low frequency including zero speed, due to the following problems:

- The voltage signals $v_{d s}^{s}$ and $v_{q s}^{s}$ are very low, at a low frequency; therefore the integration becomes difficult because of the dc offset trends build up at the integrator output.
- The effect of parameter variation of resistance $\mathrm{R}_{\mathrm{s}}$ and inductance $L_{l s}, L_{l r}$ and $L_{m}$ reduce the accuracy of the estimated signals. The variation of resistance with temperature becomes more dominant and at higher voltages the effect of parameters variations can be neglected.

Direct vector control method with voltage signal estimation not used in industrial applications, because vector control drives operating from zero speed (including zero speed start up).

### 1.4.6 Indirect (Feed Forward) Vector Control

The indirect vector control method is same as the direct vector control, the only difference is the unit vector signals are generated in a feed forward manner in indirect vector control. In industrial applications Indirect vector control is much popular as compared to direct vector control. The fundamental principle of the indirect vector control is explained with the help of given phasor diagram as shown in Fig 1.8.


Fig 1.8 Phasor diagram explaining indirect vector control

The $d^{r}-q^{r}$ axes which are fixed on rotor, are moving with speed $\omega_{r}$, where as $d^{s}-q^{s}$ axes are fixed on stator, as shown in the Fig 1.8. The synchronously rotating axes $d^{e}-q^{e}$ are rotating ahead of the $d^{r}-q^{r}$ axes by positive slip angle $\theta_{s l}$ corresponding to slip frequency $\omega_{s l}$.

$$
\begin{equation*}
\omega_{s}=\omega_{r}+\omega_{s l} \tag{1.10}
\end{equation*}
$$

We can write,

$$
\begin{equation*}
\theta_{s}=\int \omega_{s} \mathrm{dt}=\int\left(\omega_{r}+\omega_{s l}\right) \mathrm{dt}=\theta_{r}+\theta_{s l} \tag{1.11}
\end{equation*}
$$

The position of rotor pole is slipping with respect to the rotor at frequency of $\omega_{\text {sl }}$. The stator flux component of current $i_{d s}$ should be aligned on the $\mathrm{d}^{e}$ axis, and the torque component of current $i_{q s}$ on the $q^{\boldsymbol{E}}$ axes as shown in the Fig 1.8.

From the voltage model of the flux vector estimation, the machine terminal voltage and the current is sensed and fluxes are computed from stationary reference frame $d^{s}-q^{s}$ equivalent circuits. The flux equations are given as:

$$
\begin{align*}
& \Psi_{d s}^{s}=\int\left(v_{d s}^{s}-R_{s} i_{d s}^{s}\right) \mathrm{dt}  \tag{1.12}\\
& \Psi_{q s}^{s}=\int\left(v_{d s}^{s}-R_{s} i_{d s}^{s}\right) \mathrm{dt} \tag{1.13}
\end{align*}
$$

$$
\begin{align*}
& \Psi_{r}^{s}=\Psi_{d r}^{s}{ }^{2}+\Psi_{q r}^{s}{ }^{2}  \tag{1.14}\\
& \Psi_{d m}^{s}=\Psi_{d s}^{s}-L_{l s} i_{d s}^{s}=L_{m}\left(i_{d s}^{2}+i_{d r}^{2}\right)  \tag{1.15}\\
& \Psi_{q m}^{s}=\Psi_{q s}^{s}-L_{l s} i_{q s}^{s}=L_{m}\left(i_{q s}{ }^{2}+i_{q r}^{2}\right)  \tag{1.16}\\
& \Psi_{d r}^{s}=L_{m} i_{d s}^{s}+L_{r} i_{d r}^{s}  \tag{1.17}\\
& \Psi_{q r}^{s}=L_{m} i_{q s}^{s}+L_{r} i_{q r}^{s} \tag{1.18}
\end{align*}
$$

Eliminating $i_{d r}^{s}$ and $i_{q r}^{s}$ from equations 1.17 and 1.18 with the help of the equations 1.15 and 1.16 respectively,

Therefore we get,

$$
\begin{align*}
& \Psi_{d r}^{s}=\frac{\mathrm{Lr}}{\mathrm{Lm}} \Psi_{d m}^{s}-L_{l r} i_{d s}^{s}  \tag{1.19}\\
& \Psi_{q r}^{s}=\frac{\mathrm{Lr}}{\mathrm{Lm}} \Psi_{q m}^{s}-L_{l r} i_{q s}^{s} \tag{1.20}
\end{align*}
$$

For decoupling control, we make a derivation for the control equations of indirect vector control using equivalent circuits. The rotor circuit equations written as.

$$
\begin{align*}
& \frac{d \Psi \mathrm{dr} r}{d t}+R_{r} i_{d r}-\left(\omega_{e}-\omega_{r}\right) \Psi_{q r}=0  \tag{1.21}\\
& \frac{d \Psi \mathrm{qr}}{d t}+R_{r} i_{q r}+\left(\omega_{e}-\omega_{r}\right) \Psi_{d r}=0 \tag{1.22}
\end{align*}
$$

The equations for rotor flux linkage can be given as

$$
\begin{align*}
& \Psi_{d r}=L_{r} i_{d r}+L_{m} i_{d s}  \tag{1.23}\\
& \Psi_{q r}=L_{r} i_{q r}+L_{m} i_{q s} \tag{1.24}
\end{align*}
$$

With the help of above equations we can write as

$$
\begin{align*}
& i_{d r}=\frac{1}{\mathrm{Lr} \Psi \mathrm{dr}}-\frac{\mathrm{Lm}}{\mathrm{Lr} \mathrm{ids}}  \tag{1.25}\\
& i_{q r}=\frac{1}{\mathrm{Lr} \Psi \mathrm{qr}}-\frac{\mathrm{Lm}}{\mathrm{Lriqs}} \tag{1.26}
\end{align*}
$$

With the help of equations 1.25 and 1.26 , the equations 1.21 and 1.22 can be written as

$$
\begin{align*}
& \frac{d \Psi d r}{d t}+\frac{\mathrm{Rr}}{\mathrm{Lr}} \Psi_{d r}-\frac{\mathrm{Lm}}{\mathrm{Lr}} R_{r} i_{d s}-\omega_{s l} \Psi_{q r}=0  \tag{1.27}\\
& \frac{d \Psi \mathrm{qr}}{d t}+\frac{\mathrm{Rr}}{\mathrm{Lr}} \Psi_{q r}-\frac{\mathrm{Lm}}{\mathrm{Lr}} R_{r} i_{q s}-\omega_{s l} \Psi_{q r}=0 \tag{1.28}
\end{align*}
$$

Where,

$$
\omega_{s l}=\omega_{s}-\omega_{r} \text { is substituted, for decoupled control, therefore it is desirable }
$$ that

$$
\begin{gather*}
\Psi_{q r}=0  \tag{1.29}\\
\frac{d \Psi q r}{d t}=0 \tag{1.30}
\end{gather*}
$$

So that, total rotor flux $\widehat{\Psi}_{r}$ is directed on the $d^{e}$ axis.

Substituting above conditions in equations 1.29 and 1.30 , we get
$\frac{\mathrm{Lm}}{\mathrm{Lr}} \frac{d \widehat{\Psi}_{\mathrm{r}}}{d t}+\widehat{\Psi}_{\mathrm{r}}=\mathrm{L}_{\mathrm{m}} \mathrm{i}_{\mathrm{ds}}$
$\omega_{s l}=\frac{\mathrm{LmRr}}{\hat{\mathrm{T}}_{\mathrm{r} \mathrm{Lr}}} i_{q s}$

Where,
$\widehat{\Psi}_{\mathrm{r}=} \Psi_{d r}$ is substituted
If the rotor flux $\widehat{\Psi}_{\mathrm{r}}=$ constants, then from equation 1.30
$\widehat{\Psi}_{\mathrm{r}}=\mathrm{L}_{\mathrm{m}} \mathrm{i}_{\mathrm{ds}}$
Or we can say that, the current $i_{d s}$ in steady state are directly proportional to rotor flux. If we implement the indirect vector control scheme, then the equations 1.11, 1.31 and 1.32 should be taken into considerations. The range of speed control in indirect vector control is extended from zero speed or stand still to field weakening region. In field weakening region, the flux is programmed, in such way so that the
inverter is operated in PWM mode. The instantaneous current control of the inverter is necessary in both the direct and indirect vector control methods [1].

### 1.5 DIRECT TORQUE CONTROL

Direct Torque Control was first introduced by Takahashi in 1984 in Japan and by Dopenbrock in 1985 in Germany [3] after that this control scheme becomes the world's most advanced AC Drives control technology. DTC does not require any coordinate transformation, PI regulators, and Pulse width modulator and position encoders and because of that, it is called a simple control technique. In DTC there is a direct and independent controlled of motor torque and flux by selecting optimum inverter switching modes. The electromagnetic torque and stator flux are calculated from the motor inputs e.g. stator voltages and currents [16]. The voltage vector selection for the inverter is such that the torque and flux errors are within the hysteresis bands limits. The main advantages of DTC technique are quick torque response in transient condition and efficiency improvement in steady state. The Direct torque control (DTC) technique is one of the most actively researched control techniques where we can effectively control the torque and flux.

### 1.6 SENSORLESS CONTROL OF INDUCTION MOTOR DRIVES

Sensorless vector controlled IM drives are developed for better performance industrial drive systems. This type of control techniques decrease the cost drives, size and the maintenance requirements and increasing the reliability of the system, robustness and immunity to noise $[4,6]$. The using of a speed sensor is not practical in a hostile environment. Sensorless control has some disadvantages like Parameter sensitivity, high computational effort and instability at low and zero speed [7]. Therefore sensorless drives applied in medium and high speed regions. The main focus of the researchers is to extend the operating region of sensorless drives at near zero stator frequency $[7,8]$.There has been several methods for the rotor speed estimation in sensorless IM drives $[4,5]$. Generally, these methods divided into two main categories: fundamental excitation and spectral analysis techniques [4]. Among the several methods proposed for sensorless IM drives, MRAS are the most popular technique
employed for IM because of their smaller computational effort and simple implementation.

### 1.7 ORGANIZATION OF THESIS

Apart from the introduction chapter 1 contains introduction of IMD, types of control scheme, dynamic modeling of the induction motor such as scalar control, vector control and its principle, dynamic d-q model of the machine and the dc drive analogy to the induction machine and sensorless control of IM and estimation of stator resistance using MRAS. Chapter 2 contains the literature survey of the thesis. Chapter 3 contains voltage source inverter (VSI) its working and switching modes. Chapter 4 contains space vector pulse width modulation fed induction motor drives SVPWM theory and Pulse pattern generation. Chapter 5 describes the estimation techniques to estimate the speed without any sensor so called sensorless control and estimate the stator resistance using MRAS. Chapter 6 explains simulation model of sensorless IM drive and contains graphs of speed, torque, currents and stator resistance at different load conditions Chapter 7 contains finally the achievements, future work, research and conclusion is discussed.

### 1.8 CONCLUSION

In this chapter the complete introduction of an induction machine theory has been presented. The different types of control scheme have been discussed like Scalar control, Vector control, direct torque control and Sensor-less control. Accordingly, representation of a two-axis state space of an IM in the stator frame reference also developed. In order to explain the principles of vector control strategy the equations of an induction machine, are expressed in the synchronous frame of reference has been used. This chapter also presented the some salient features of vector control. The different estimation technique used for the estimation of Rotor speed of an IM using MRAS approach has been presented. The space phasor notation is used to formulate the model two axis theory, as space phasor notation is compact and easier to work with. Finally, the design of an appropriate adaptation mechanism using hyperstability criterion has been demonstrated.

## CHAPTER 2

## LITERATURE REVIEW

### 2.1 INTRODUCTION

In the past, IM have been used mainly in constant speed applications because conventional methods are either expensive or highly inefficient. For variable speed applications dc drives were dominated. The main drawback of dc motors is the presence of commutator and brushes, because they require frequent maintenance and not suitable for dirty environments [1]. These shortcomings are overcome by induction motors, particularly squirrel-cage induction motor, as they require low maintenance, cheaper, lighter and can operate in dirty and explosive environments. Availability of power electronics devices, thyristors, IGBT and GTO results in the developments of variable speed induction motor drives. The variable induction motor drives are costly then dc drives and used in fans, blowers, cranes, conveyers, traction etc. because of advantages of IM. Due to this advancement in electronics during last decades has a result in large growth in automation of industrial processes.

### 2.2 DYNAMIC MODELING AND VECTOR CONTROL OF IM DRIVE

The idea of vector control of IM first came in the 1970's and has ever since revolutionized control of ac drives. Though, the common methods of control satisfied the objectives like v/f control, but gives poor performance to fast changing signals. Better performance of vector control of IM achieved by employing the transformation techniques. The transformation techniques enable the IM to be controlled by using the independent signals that controls the control variables. In vector control, the induction machine operates like a dc machine in the rotating dq frame or we can say that the IM quantities appear as dc quantities. The stator $\mathrm{d}-\mathrm{q}$ axis components, $\mathrm{i}_{\mathrm{ds}}$ and $\mathrm{i}_{\mathrm{qs}}$ are used to control the rotor flux and the torque respectively and there is independent control of torque and flux in the machine [10]. The dc signal in the rotating d-q frame is easy to
control with the help of proportional integral (PI) controllers. For better accuracy, it should be a accurate control of $\mathrm{i}_{\mathrm{ds}}$ and $\mathrm{i}_{\mathrm{qs}}$, and accurate estimation of rotor flux position. The position of rotor flux allows the transformation of quantities from rotating reference frame to stationary reference frame. On the basis of method used for estimation of rotor flux position, vector control is classified into direct vector control and indirect vector control as per [11]. Earl C. Barnes [12] reported the performance and characterstics of IM motors with solid - state variables frequency drives. Trevor L. Grant et al. [13] reported the operation PWM based drives in three distinct modes, viz pure PWM at the lower speeds pure six - step at the higher speeds, and quassi- PWM at the intermediate speeds, with insights into the Bessel approximation to the PWM waveform. Comparison of different PWM-VSI fed $3 \Phi$ IM based on modulation index and switching frequency are investigated by Bikram Das et.al. [14]. Development and Comparative Analysis of a Pulse-Width Modulation Strategy is reported by Marlen Varnovitsky [15]. Jie Zhang [16] reported the primary current vector control of a CSI fed IMD with an improved estimation of slip angular frequency. A high performance control of squirrel cage IM drive fed by a three - level IGBT inverter operating at a low switching frequency is reported by Jie Zhang [17].

Power electronic devices like ASDs include power converters, control elements and electric machines made from linear and non linear elements and semiconductor devices. Such systems require some software applications for the simulation and modelling. For that there are some simulation tools which categorized into simulation programs like EMTP, SPICE-based simulation programs etc. and simulation software like Matlab/simulink etc. Adel Aktaibi et al. [18] presented the dynamic simulation model of a three phase IM step by step using dq0 axis transformations of the stator and rotor variables in arbitrary reference frame. Leonhard, W and Vas.P [19, 20] presented the induction motor model in stationary reference frame. Modelling analysis and simulation of induction motor drive using conventional, PI, Fuzzy Controller in open loop and closed loop have been presented by P.M Menghal et.al. [21]. Raad S.Fayath et.al. [22]. presented the methodology for modeling of IFOC induction motor drive system. In which the differential equation are used to represent the numerical model of squirrel cage IM. Scott Wade et al. [23, 24] presented the simulation model of vector controlled induction machine system using a linear supply and a PWM supply, where an Extended Kalman based parameter identification algorithm is used for rotor resistance estimation. K. L. Shi et. al. [25] presented a paper that explained the model
of a 3-phase IM and its simulation using Matlab/Simulink including constructional details of various sub-models Simulink implementation of an IM model is presented by Burak Ozpineci in 2003 [26]. Saffet Ayasun et al. [27] presented MATLAB/Simulink implementation of no-load test and a blocked rotor test performed to identify equivalent circuit parameters of IM. The simulation of induction motor frames is presented in this paper. The voltage, torque and current waveforms are included in the comparison of the induction motor frames presented by K.S. Graeid et.al. [28]. O. D. Momoh et. al. [29] presented somewhat different induction machine model equations in which flux linkage equations are expressed in terms of reactance rather than inductances is used.

### 2.3 SPEED AND RESISTANCE ESTIMATION TECHNIQUES

In the last three decades control of high performance IM drive has been an active area of research and there has many control techniques have been evolved for the torque and speed control of induction motor drives. The major areas of research in control schemes include open loop and closed loop v/f control, vector or field oriented control, direct torque control, sensor-less control. Model reference adaptive system (MRAS) is an adaptive control technique used for speed estimation and resistance estimation of sensor-less IM drive.

Various MRAS observers have been introduced in the literature, depending upon the output states that form the error function presented by Shady Mostafa Gadoue. For speed control of IM an accurate estimation of rotor flux is required, which can be estimated by the rotor or the stator variables. A scheme is presented by L.Umanand et.al. [30] for online estimation of stator resistance for various speed control applications under steady state operating conditions. A.M .El-Sawy et.al presented a parallel estimation of speed and stator resistance based on MRAS scheme of IM drives along with an online estimation of magnetizing inductance has been used within the speed estimator. Digital simulations also carried out to evaluate the effectiveness of proposed sensor-less drive system [31]. Cherifi Djamila et.al [32] has a continuing research on the elimination of the problem of sensitivity. They presented a simple method for the simultaneous estimation of stator resistance and rotor speed in sensorless IFOC of IM drive using a luenberger observer and stability of this observer is proved by lyapunov's theorem. The feasibility of luenberger observer is verified by
simulation. Ahmad Razani et.al [33] represents the MRAS scheme to estimate the speed of an IM by two different approach, RF-MRAS and BEMF-MRAS

The online estimation of stator resistance in vector control of IM drives directly from decoupled stator variables via reactive power measurements is presented by B. Mouli Chandra et.al [34]. C. Chakraborty et.al. [35] presented a new V x I Adaptive Speed Sensor less four quadrant vector controlled induction motor drive. The speed estimator utilizes instantaneous and steady state values of voltages and current for estimation of speed and stator resistance in the reference and adjustable models of the MRAS respectively at low speed or zero speed. V. Vasic et.al [36] reported a stator resistance estimation technique for speed sensor-less rotor flux oriented IMD. A.V Ravi Teja.et.al [37] describes a new model reference adaptive system for four quadrant vector control induction motor drive. Aswathy Vijay et.al. [38] presented a MRAC estimation technique for the estimation of stator resistance of a sensorless IMD. Colin Schauder [39] presented a model-reference adaptive system (MRAS) for the estimation of IM speed from terminal voltages and currents implemented on a 30 hp drive. Geng Yang et.al. [40] described a MRAS technique for speed control of the vector controlled sensor-less IM drive. The paper presented by Min-Huei Kim et.al.[42] reported a vector control system based on MRAS for a sensor-less IM operating at very low speed. [43] On-line estimation of rotor resistance in a vector controlled IM is presented by Scott Wade et.al. Induction motor (IM) speed sensorless control, allowing operation at low speed and zero speed, optimizing torque response and efficiency, will be presented in G. Edelbaher et.al. [44]. Paul C. Krause et.al. [45] presents classical techniques used to establish the voltage and torque equations for asymmetrical induction machine expressed in terms of machine variables and the modification of the transformation to arbitrary reference frame to accommodate rotating circuits. This paper described an artificial neural network (ANN) based adaptive estimator for the estimation of rotor speed in a sensorless vector- controlled induction motor (IM) drive. The model reference adaptive system (MRAS) is formed with instantaneous and steady state reactive power. A stable MRAS speed estimator based on current estimation is proposed in this paper. The MRAS based on flux estimation scheme suffers from the problems of using pure integration. On the other hand, those based on back-EMF or on current estimation become unstable in the generating mode of operation due to incomplete satisfaction of stability conditions, especially at low speeds presented by M. Rashed et.al. [48]. R. J. Kerkman et.al. [49] presented a new technique-the back
electromagnetic force (BEMF) detector-for reducing the adverse effects of stator resistance on field oriented control is presented and evaluated through simulation and experimental results. I. J. Ha et.al. described an online identification method for both stator and rotor resistance of induction motors without rotational transducers [51]. M. Cirrincione [53] presented a paper of Sensor-less control of induction machines by a new neural algorithm. M. Tsuji et.al [54] presents a sensorless vector control system for general-purpose induction motors, based on the observer theory and the adaptive control theories. The proposed system includes a rotor speed estimator using a q-axis flux and stator resistance identifier using the d -axis flux. [55] K. Akatsu et.al. described a Sensorless very low-speed and zero speed estimations with online rotor resistance estimation of induction motor without signal injection. R. Marino et.al. represent an On-line stator and rotor resistance estimation for induction motor [57]. S. Maitiand [58] presented a new instantaneous reactive power based MRAS for sensor less induction motor drive. [61] Ashutosh Mishra et.al. explained the Speed Control of An IM by using indirect vector control method. G. Pydiraju et.al, described the Sensorless Speed Control of Induction Motor Using MRAS [62]. Zhifeng Zhang, Renyuan Tang, discuused a novel Direct Torque Control Based on Space Vector With Modulation Adaptive Stator Flux Observer for Induction Motors [66].

### 2.5 CONCLUSION

This chapter has provided a detailed review of different model based techniques applied to speed sensorless IM drives with most emphasis given to MRAS. Different problems affecting the low speed operation of MRAS observers have been illustrated. Various methods employed in the literature for the estimation of speed and stator resistance. It appears that, despite much attempt and progress, operation at very low speed for MRAS-based sensorless IM drives is still challenging and needs more investigation.

## CHAPTER 3

## VOLTAGE SOURCE INVERTER FED INDUCTION MOTOR DRIVES

### 3.1 INTRODUCTION

For providing adjustable-frequency power to industrial applications, three phase inverters are commonly used. Three phase inverters like single phase inverters, take their dc supply from a battery or usually from a rectifier. On the basis of the type of an ac output waveform, the power converter topologies are considered to be voltage source inverters (VSIs) where the ac output is a voltage waveform. and similarly for the current source inverters (CSIs) the output is a current waveform.

These structures are widely used because they are required by many industrial applications because of their naturally behaviour as a voltage sources, such as adjustable speed drives (ASDs). The three phase induction motor is driven by voltage source inverter (VSI), which is further connected to the load of the drive system.

### 3.2 AXES TRANSFORMATION

Two axis theory has been used for dynamic modeling of the motor [1]. According to this theory the time varying parameters can be expressed in direct (d-axis) and quadrature ( q -axis) axis, which are mutually perpendicular to each other. For the representation of the $\mathrm{d}-\mathrm{q}$ model of the machine a stationary or rotating reference frame is assumed.

### 3.2.1 Three phase to two phase transformation

Let us considered a symmetrical three phase machine with stationary as$b s-c s$ axes which is at 120 degree apart as shown in Fig.3.1


Fig.3.1 Stationary frame a-b-c to ds-qs axes transformation

The voltages $v_{a s} \cdot v_{b s} \cdot v_{c s}$ are the voltages of as, bs, cs phases respectively. Now assuming that the stationary $d^{\mathrm{s}}-\mathrm{q}^{\mathrm{s}}$ axes are oriented at $\theta$ angle as shown and the voltages along $\mathrm{d}^{\mathrm{s}}-\mathrm{q}$ 点 axes to be $v_{d s}^{s}, v_{q s}^{s}$ respectively, the stationary two phase voltages can be transformed to three phase voltages according to the following equation:
$v_{a s}=v_{q s}^{s} \cos \theta+v_{d s}^{s} \sin \theta$
$v_{b s}=v_{q s}^{s} \cos (\theta-120)+v_{d s}^{s} \sin (\theta-120)$
$v_{c s}=v_{q s}^{s} \cos (\theta+120)+v_{d s}^{s} \sin (\theta+120)$

The phase voltages in matrix form can be written as:

$$
\left[\begin{array}{c}
\mathrm{v}_{\mathrm{as}}  \tag{3.4}\\
\mathrm{v}_{\mathrm{bs}} \\
\mathrm{v}_{\mathrm{cs}}
\end{array}\right]=\left[\begin{array}{ccc}
\cos \theta & \sin \theta & 1 \\
\cos (\theta-120) & \sin (\theta-120) & 1 \\
\cos (\theta+120) & \sin (\theta+120) & 1
\end{array}\right]\left[\begin{array}{c}
\mathrm{v}_{\mathrm{qg}}^{\mathrm{s}} \\
\mathrm{v}_{\mathrm{d}}^{\mathrm{s}} \\
\mathrm{v}_{0 \mathrm{~s}}^{\mathrm{s}}
\end{array}\right]
$$

By inverse transformation, $v_{d s}^{8}$ and $v_{q_{s}^{s}}^{s}$ can be written in terms of three phase voltages in matrix form as follows:

$$
\left[\begin{array}{c}
\mathrm{v}_{\mathrm{qs}}^{\mathrm{s}}  \tag{3.5}\\
\mathrm{v}_{\mathrm{ds}}^{\mathrm{s}} \\
\mathrm{v}_{0 \mathrm{~s}}^{\mathrm{s}}
\end{array}\right]=\left[\begin{array}{ccc}
\cos \theta & \cos (\theta-120) & \cos (\theta+120) \\
\sin \theta & \sin (\theta-120) & \sin (\theta+120) \\
0.5 & 0.5 & 0.5
\end{array}\right]\left[\begin{array}{c}
\mathrm{v}_{\mathrm{as}} \\
\mathrm{v}_{\mathrm{bs}} \\
\mathrm{v}_{\mathrm{cs}}
\end{array}\right]
$$

Where $v_{0 \mathrm{~s}}^{\mathrm{g}}$ is a zero sequence component which may or may not be present. If $\mathrm{q}^{\mathrm{s}}$-axis
aligned with the as-axis i.e. $\theta=0$ then the zero sequence component is neglected and the transformation relations are reduced to:

$$
\begin{align*}
& v_{a s}=v_{q s}^{s}  \tag{3.6}\\
& v_{b s}=-\frac{1}{2} v_{q s}^{s}-\frac{\sqrt{3}}{2} v_{d s}^{s}  \tag{3.7}\\
& v_{c s}=-\frac{1}{2} v_{q s}^{s}+\frac{\sqrt{s}}{2} v_{d s}^{s}  \tag{3.8}\\
& v_{q s}^{s}=v_{a s}  \tag{3.9}\\
& v_{d s}^{s}=-\frac{1}{\sqrt{s}}\left(v_{b s}-v_{c s}\right) \tag{3.10}
\end{align*}
$$

### 3.2.2 Two phase stationary to two phase synchronously rotating frame transformation

The transformation of stationary $d^{s}-q^{s}$ axes to synchronously rotating $d^{e}-q^{e}$ reference frame, rotating at speed of $\omega_{e}$ with respect to $d^{s}-q^{s}$ axes as shown in Fig.3.2. The angle between the and $\mathrm{d}^{\mathrm{e}}$ axes is $\theta_{e}=\omega_{e} \mathrm{t}$. The voltages $v_{d s}^{s}$ and $v_{q}^{s} s$ are converted to voltages on $d^{\varepsilon}-q^{\varepsilon}$ axis as shown below:

$$
\begin{align*}
& v_{d s}=v_{q s}^{s} \cos \theta_{s}-v_{d s}^{s} \sin \theta_{s}  \tag{3.11}\\
& v_{q s}=v_{q s}^{s} \sin \theta_{s}+v_{d s}^{s} \cos \theta_{s} \tag{3.12}
\end{align*}
$$



Fig.3.2 Stationary $d^{\text {s}}-q^{s}$ frame to synchronously rotating frame $d^{e}-q^{e}$ transformation

The transformation of parameters from rotating reference frame to stationary reference frame can be done according to the following relations:

$$
\begin{align*}
& v_{q s}^{s}=v_{q s} \cos \theta_{s}+v_{d s} \sin \theta_{s}  \tag{3.13}\\
& v_{d s}^{s}=-v_{q s} \sin \theta_{s}+v_{d s} \cos \theta_{s} \tag{3.14}
\end{align*}
$$

Assumed that the three phase voltages are balanced and sinusoidal and given as:

$$
\begin{align*}
& \mathrm{vas}_{\mathrm{as}}=\mathrm{V}_{\mathrm{m}} \cos \left(\omega_{\mathrm{e}} \mathrm{t}+\varphi\right)  \tag{3.15}\\
& \mathrm{v}_{\mathrm{bs}}=\mathrm{V}_{\mathrm{m}} \cos \left(\omega_{\mathrm{e}}+\varphi-\frac{2 \mathrm{~m}}{3}\right)  \tag{3.16}\\
& \mathrm{v}_{\mathrm{cs}}=\mathrm{V}_{m} \cos \left(\omega_{\mathrm{e}} \mathrm{t}+\varphi+\frac{2 \mathrm{~m}}{3}\right) \tag{3.17}
\end{align*}
$$

Substitute the equations (3.15)-(3.17) in equations (3.10) and (3.11) and we get

$$
\begin{align*}
& v_{q s}^{s}=V_{\mathrm{m}} \cos \left(\omega_{\mathrm{e}} \mathrm{t}+\varphi\right)  \tag{3.18}\\
& v_{d s}^{s}=-V_{\mathrm{m}} \sin \left(\omega_{\mathrm{e}} \mathrm{t}+\varphi\right) \tag{3.19}
\end{align*}
$$

Substituting (3.18) - (3.19) in equation (3.11)-(3.12)

$$
\begin{align*}
& \mathrm{v}_{\mathrm{qs}}=\mathrm{V}_{\mathrm{m}} \cos \varphi  \tag{3.20}\\
& \mathrm{v}_{\mathrm{ds}}=-\mathrm{V}_{\mathrm{m}} \sin \varphi \tag{3.21}
\end{align*}
$$

From the equations (3.20) and (3.21) we see that the sinusoidal quantities in a stationary reference frame appear as dc quantities in a synchronously rotating reference frame.

### 3.3 VOLTAGE SOURCE INVERTER

In a voltage source inverter (VSI), the input voltage is set to be a constant and the amplitude of the output voltage does not depend on the nature of the load. Whereas the output waveform of the current and the magnitude is dependent on the nature of the load impedance. As compared to the single phase inverters the, three phase VSIs are commonly used in providing the adjustable frequency power to the industrial applications.

A basic three phase VSI is a six step bridge inverter with minimum six power electronics switches (i.e. IGBTs, Thyristors) and a six feedback diodes. A step is related to the firing angle and can be defined as, the change in firing from one switch to the next switch in proper sequence. In a six step inverter the step is of $60^{\circ}$ interval for the complete cycle of $360^{\circ}$, means the switches would be operated at regular intervals of $60^{\circ}$ in a proper sequence to get the three phase ac output voltage. The power circuit diagram of a three phase VSI as shown in Fig. 2.5 which is mainly consist of six diodes
and six IGBTs, where the diodes are connected in anti-parallel to the IGBTs.In order to maintain the input dc voltage constant, the capacitor is connected at the terminals. If there is any harmonics in the circuit this capacitor also suppresses them.


Fig. 3.3 Three phase VSI using IGBTs

For proper operation of VSIs the six switches are divided into two parts; both parts contains three switches each. The upper part has three switches called as positive group (i.e. $S_{1}, S_{3}, S_{5}$ ) and lower part also has three switches as negative group of switches (i.e. $\mathrm{S}_{4}, \mathrm{~S}_{6}, \mathrm{~S}_{2}$ ).. There are two conduction modes:

1. $180^{\circ}$ conduction mode
2. $120^{\circ}$ conduction mode.

### 3.3.1 Three phase 180 Degree Mode and 120 Degree Mode VSI

There are two gating patterns and in each pattern the gating signals are applied and removed at an interval of $60^{\circ}$ of the output voltage waveform. In $180^{\circ}$ conduction mode there are three switches, which are on at a time, two switches from a positive group and one switch from a negative group or vice versa as shown in Figure 3.4. The conduction period for each switch is $180^{\circ}$ of a cycle. In $120^{\circ}$ conduction mode each switch conduct for $120^{\circ}$ in one cycle and rest of the two switches are turned on at a time i.e one from positive group and one from the negative group.

The two switches of the same leg cannot be turned on simultaneously in both case because it would short circuit the dc source as shown in Figure 3.5.

In $120^{\circ}$ conduction mode there is no chances of short circuit of the dc link voltage source because each switch is conduct for $120^{\circ}$ in one cycle and there is an interval of $60^{\circ}$ in each cycle when no one switch is conducted and the output voltage is zero at this time interval. In other words we can say that there is a $60^{\circ}$ interval gap between the turning off one switch and turning on of complimentary switch in the same leg. This interval is sufficient to regain its forward blocking capability of the outgoing switch.

### 3.3.2 Merits and Demerits of 120 degree mode over 180 degree mode inverter

In 180 degree mode inverter, when gate signal $i_{g 1}$ is cut-off to turn off $\mathrm{S}_{1}$ at $\omega t=180^{\circ}$, signal $i_{g 4}$ is simultaneously applied to turn on $\mathrm{S}_{4}$ in the same leg. There is a commutation interval must exist between the removal of $i_{g 1}$ and application of $i_{g 4}$, because otherwise dc source would experience a direct short circuit through $S_{1}$ and $S_{4}$ in the same leg.

This difficulty is overcome in 120 degree mode inverter, because there is a 60 degree phase difference between the turning off of $S_{1}$ and turning on of $S_{4}$. During this 60 degree interval, $S_{1}$ can be commutated safely.


Fig. 3.4 Voltage waveform for $180^{\circ}$ mode 3 - phase VSI


Fig. 3.5 Voltage waveform for $120^{\circ}$ mode six-step 3-phase VSI

### 3.3.3 Switching States

A three-phase bridge inverter, as shown in the Figure 3.3, has $2^{3}=8$ switching states. The Table-I gives a detail of the switching states and the corresponding phase to neutral voltage of a machine. Consider, for example, state 1 , when switches $Q_{1}, Q_{6}$ and $Q_{2}$ are closed. In this state, phase a is connected to the positive terminal and phases b and c are connected to the negative terminal. The solution of the circuit indicates that $v_{a n}=\frac{2}{3} V_{d}, v_{b n}=\frac{-1}{3} V_{d}$ and $v_{c n}=\frac{-1}{3} V_{d}$.The inverter has 6 active states (1-6) when voltage is impressed across the load, and two zero states ( 0 and 7) when the
machine terminal are shorted through the lower devices or upper devices, respectively as shown in Table 3.1

Table 3.1 Switching states of a three phase VSI

| State | On devices | $V_{\text {an }}$ | $V_{b n}$ | $V_{\text {cn }}$ | Vector |
| :---: | :--- | :---: | :---: | :---: | :---: |
| 0 | $Q_{4} Q_{6} Q_{2}$ | 0 | 0 | 0 | $V_{0}(000)$ |
| 1 | $Q_{1} Q_{6} Q_{2}$ | $\frac{2 V_{d}}{3}$ | $\frac{-V_{d}}{3}$ | $\frac{-V_{d}}{3}$ | $V_{1}(100)$ |
| 2 | $Q_{1} Q_{3} Q_{2}$ | $\frac{V_{d}}{3}$ | $\frac{V_{d}}{3}$ | $\frac{-2 V_{d}}{3}$ | $V_{2}(110)$ |
| 3 | $Q_{4} Q_{3} Q_{2}$ |  | $\cdot$ |  | $V_{3}(010)$ |
| 4 | $Q_{4} Q_{3} Q_{5}$ |  | $\cdot$ |  | $V_{4}(011)$ |
| 5 | $Q_{4} Q_{6} Q_{5}$ |  | $\cdot$ |  | $V_{5}(001)$ |
| 6 | $Q_{1} Q_{6} Q_{5}$ |  |  |  | $V_{6}(101)$ |
| 7 | $Q_{1} Q_{3} Q_{5}$ | 0 | 0 | 0 | $V_{7}(111)$ |

### 3.4 CONCLUSION

In this chapter the detail dynamic model of an IM is discussed in the synchronously rotating reference frame. To understand and design the vector controlled of an induction motor drives, the dynamic model of the machine to be controlled must be known which could be a good approximation of the real plant. To compute the model, the two axis theory and space phasor have been used. It has been proved that space phasor notation is compact and easier to work with. The supply to IM drive is given with the help of VSI. The complete working of VSI is explained in this chapter.

## CHAPTER-4

## SPACE VECTOR PULSE WIDTH MODULATION FED INDUCTION MOTOR DRIVES

### 4.1 INTRODUCTION

The use of a PWM is an advantageous to machine drives in many ways like it has the ability to run the motor with a sinusoidal waveform of a current and very helpful in obtaining a dc input through uncontrolled rectification of AC mains and it has a very high efficiency, a good power factor and relatively free from regulation problems. In case of a open loop control system, the conventional PWM techniques are very suitable. Whereas space vector PWM (SVPWM) technique is very suitable for the implementation of closed loop controlled AC drive system. The switching patterns of the bridge inverter in case of SVPWM technique are generated from the stator voltage space phasor. A reference voltage vector is generated by utilizing different switching states of the three phase bridge inverter in order to generate the field which is synchronous with the rotating voltage vector.

### 4.2 THEORY OF SPACE VECTOR PULSE WIDTH MODULATION

When a three phase input supply is given to the stator of an induction motor, a rotating magnetic field is produced which is also in three phase. As a result, a field flux of three phase rotating voltage vector is generated, which lags the flux by $90^{\circ}$. This field can also be realized by a logical combination of the inverter switching which is the basic concept of SVPWM.

### 4.2.1 Realization of voltage space phasor

There are total of eight switching states are possible in the three phase bridge inverter in which two states are zero states and the six states are active states and has a well-defined ON or OFF state in each configurations. The switching is done in such a way that only one switch is operate (ON) in each of the three legs at a particular instant. Corresponding to each state of inverter, there is only one voltage space vector. It can be explained as, let us assume that, for a zero state it has voltage space vector $\mathrm{V}_{0}$, and for state 1 it has $\mathrm{V}_{1}$ voltage space vector and so on. The magnitude of these voltage switching state vectors is equal, but $60^{\circ}$ apart from each other. These state
vectors can be written as :

$$
\begin{align*}
V_{k} & =V_{d c} \mathrm{e}^{\mathrm{j}}\left(\frac{k-1}{3}\right)^{\pi} & & \mathrm{k}=1,2, \ldots \ldots \ldots .6 \\
& =0 & & \mathrm{k} 0,7 \tag{4.1}
\end{align*}
$$

Where, $\mathrm{k}=$ inverter state number.
$V_{d c}=$ dc link voltage of the inverter
The Fig 4.1 shows the inverter state vectors.


Fig. 4.1 Inverter switching state vectors

The above Fig 4.1 shows the plane which is divided into six equal sectors with each sector is of $60^{\circ}$ apart and the space bounded by two inverter space vectors is called a sector. These voltage vectors are $120^{\circ}$ apart in space are represented by the rotating vectors, in case of balanced three phase system. The projections of these vectors are sinusoidal on the fixed three phase axes and they can be represented by a voltage reference space vector $V_{r e f}^{*}$ or $V_{s}^{*}$ by the three sinusoidal references.

The rotation of the reference vector is assumed to rotated through the six sectors in anti-clockwise direction with respect to the $d^{s}$-axis as shown in Fig.4.2. At switching instant $t_{s}$ the combination of eight state vectors can synthesized the reference space vector having a constant magnitude. In this case the output frequency is much lower than the switching frequency. These states are valid only for certain
amount of time.

$$
\begin{equation*}
\mathrm{V}_{\mathrm{s}}^{*}=V_{k} t_{k}+V_{k+1} t_{k+1} \quad \mathrm{k}=0,1,2 \ldots \ldots, 7 \tag{4.2}
\end{equation*}
$$

The space phasor of stator voltage $\mathrm{V}_{\mathrm{s}}{ }^{*}$, in SVPWM, is assumed to be moving in a circular path in a $d^{s}-q^{s}$ plane with constant angular velocity. The basis of SVPWM scheme is to sample the stator voltage $\mathrm{V}_{\mathrm{s}}{ }^{*}$ at higher rate, between the sampling instants, and the vector is assumed to be constant in magnitude which is as shown in Fig. 4.2.


Fig.4.2 Reference vector in sector 1

The Fig 4.2 shows that in sector 1 , the space voltage vector $V_{1}$ is lie along $\alpha$-axis, makes an angle $60^{\circ}$ with $V_{2}$ and making an angle $\gamma$ at a particular instant with $\mathrm{V}_{\mathrm{s}}{ }^{*}$. In order to generate the reference space vector in sector 1, the switching state vector $V_{1}$ is applied for an interval $t_{1}, V_{2}$ for $t_{2}$ and the two zero vectors $V_{0}, V_{7}$ for interval $t_{0}, t_{7}$ [66] respectively. Therefore, the total sampling interval $t_{s}$ can be written as:

$$
\begin{equation*}
t_{s}=\mathrm{t}_{1}+\mathrm{t}_{2}+\mathrm{t}_{0}+\mathrm{t}_{7} \tag{4.3}
\end{equation*}
$$

Resolving $\mathrm{V}_{\mathrm{s}}^{*}$ and $\mathrm{V}_{1}, \mathrm{~V}_{2}$ along the $\alpha-\beta$ axis, and by equating voltage-time integrals we get:

$$
\begin{gather*}
\left|V_{s}^{*}\right| t_{s} \cos \gamma=\left|V_{1}\right| t_{1}+\left|V_{2}\right| t_{2} \cos \frac{\pi}{s}  \tag{4.4}\\
\left|V_{s}^{*}\right| t_{s} \sin \gamma=\left|V_{2}\right| t_{2} \sin \frac{\pi}{s} \tag{4.5}
\end{gather*}
$$

Dividing by $V_{d c}$ on both sides of equation (4.4) and (4.5) and substitute $\mathrm{a}=\mathrm{abs}\left(\frac{\mathrm{V}_{\mathrm{S}}^{*}}{V_{d c}}\right)$ and we get:

$$
\begin{array}{cc} 
& \mathrm{a} t_{s} \sin \gamma=\frac{\sqrt{3}}{2} \mathrm{t}_{2} \\
\text { Or, } & \mathrm{t}_{2}=\left(\frac{2 \mathrm{a} t_{S} \sin \gamma}{\sqrt{3}}\right) \\
\text { and } & \mathrm{a} t_{s} \cos \gamma=t_{1}+\frac{1}{2} \mathrm{t}_{2}
\end{array}
$$

put the value of $t_{2}$ from equation (4.6) in (4.7) and multiplying both sides by $\frac{\sqrt{3}}{2}$ of resulting equation and we obtain,

$$
\begin{align*}
& \mathrm{t}_{1}=\frac{2 \mathrm{a} t_{S}}{\sqrt{3}} \sin \left(\frac{\pi}{3}-3\right)  \tag{4.8}\\
& \mathrm{t}_{0}=\mathrm{t}_{7}=\mathrm{t}_{6}-\left(\mathrm{t}_{1}+\mathrm{t}_{2}\right) \tag{4.9}
\end{align*}
$$

If the vector is in sector 1 , then the switching pattern can be determined with the help of $t_{0}, t_{1}, t_{2}$, and $t_{7}$. These four time intervals are change simultaneously when $V_{s} *$ goes from one sector to another for a particular modulation index a. The six sectors with label $1,2, \ldots, 6$ are used to complete the full cycle. When $\mathrm{Vs}^{*}$ is move over to sector 2 , the inverter will remains in the switching state vector $V_{2}$ for the time interval $t_{1}$ and in $V_{3}$ for the time $t_{2}$. Similarly in case of sector $3: V_{3}$ is for $t_{1}$ and $V_{4}$ is for $t_{2}$ and so on.

### 4.2.2 Pulse Pattern Generation

The generation of the PWM pattern means, generating the gating pulses in correct interval for the six switches of the inverter, so that the switching state vectors are active for the suitable time intervals as the reference space vector moves over a complete full cycle. In order to get the minimum switching frequency, the state of only one phase of the inverter has to be changed from $+\frac{V_{d c}}{2}$ to $-\frac{V_{d c}}{2}$ while changing the switching vectors. Fig. 4.3 shows the inverter phase to dc center tap voltages and the switching frequency of the inverter is half of the sampling frequency.


Fig.4.3 Leg voltages and space vector disposition in sector 1

The average values of the phase to center tap voltages ( $V_{A 0}, V_{B 0}$ and $V_{C 0}$ ) can be evaluated, by taking the mean over one sampling period $t_{s}$ as follows:

$$
\begin{align*}
& \bar{V}_{\mathrm{A} 0}=\frac{V_{d c}}{2 t_{s}}\left(-\frac{t_{0}}{2}+t_{1}+t_{2}+\frac{t_{0}}{2}\right)  \tag{4.10}\\
& \bar{V}_{\mathrm{B} 0}=\frac{V_{d c}}{2 t_{s}}\left(-\frac{t_{0}}{2}-t_{1}+t_{2}+\frac{t_{0}}{2}\right)  \tag{4.11}\\
& \bar{V}_{\mathrm{C} 0}=\frac{V_{d c}}{2 t_{s}}\left(-\frac{t_{0}}{2}-t_{1}-t_{2}+\frac{t_{0}}{2}\right) \tag{4.12}
\end{align*}
$$

Put the value of $t_{1}, t_{2}$ in the above equations $4.10,4.11$ and 4.12 and we get:

$$
\begin{align*}
& \bar{V}_{\mathrm{A} 0}=\frac{a V_{d c}}{\sqrt{5}} \sin \left(\gamma+\frac{\pi}{s}\right)  \tag{4.13}\\
& \bar{V}_{\mathrm{B} 0}=a V_{d c} \sin \left(\gamma-\frac{\pi}{6}\right)  \tag{4.14}\\
& \bar{V}_{\mathrm{C} 0}=-\bar{V}_{\mathrm{A} 0} \tag{4.15}
\end{align*}
$$

### 4.3 CONCLUSION

In this chapter it has been observed that PWM generation gives better utilization of dc bus voltage for inverter. The triple harmonics which are present in the mean value of phase voltages obtained by SVPWM technique are eliminated in the line voltage and at maximum modulation index the peak value of line voltage is $15 \%$ more than that in PWM.

## CHAPTER-5

## MRAS ESTIMATION TECHNIQUES

### 5.1 INTRODUCTION

Induction motors have been widely used in industries for variable and fixed speed applications due to advancements in power semiconductor devices, control techniques and microelectronic. These motors have advantages related to size, weight, maintenance, reliability and cost and provide fast dynamic response due to the decoupled control of flux and torque components of stator current.

Over the last two decades, IM drives have investigated sensorless control techniques and the great advantages offered by sensorless control technique was compactness and its robustness make it very much attractive for many industrial applications, specially for those drives which was operating in the hostile environments. There are many methods or ideas or schemes proposed for the sensorless IM drives, but MRAS is the most popular schemes used in the industries because of their simple implementation and less computational effort. These schemes do not usually provide a better response at low stator frequency. In order to improve the performance of MRAS-based sensorless schemes, lots of research have been devoted in this region of operation.

### 5.2 MODEL-BASED SENSORLESS STRATEGIES

There are many methods or schemes have been developed for the estimation of rotor speed of an IM. In these methods, mainly stator voltage and current information are used to estimate the rotor speed from the machine equations. Speed estimators can be implemented either in open loop system or in closed loop system[5, 6]. The only difference between the two schemes is the absence of a correction term in the open loop estimator [5]. Open-loop estimators do not require any form of feedback and they are directly based on the dynamic model of the machine. There are mainly two problems that can affect the estimation accuracy of these schemes especially at low speed i.e a
pure integration problems and the voltage measurement noise. In addition to this the open loop estimators are very sensitive to the parameter variations and significantly affected their performance in both the transient and the steady state [5,6]. Whereas, the closed loop estimators, are usually referred to as an observers [5], an error signal between the measured quantities and the estimated quantities is used to adjust their response $[4,5]$ and due to this there is increase in the dynamics performance and robustness [6]. On the basis of plant representation, the observers are classified as deterministic and stochastic. The most commonly used non linear estimators are Luenberger and Kalman, the extended Luenberger observer (ELO) are applied to the nonlinear, time-varying deterministic system, whereas extended Kalman filter (EKF) is applied to the nonlinear, time-varying stochastic systems [5]. In both the observers ELO and EKF, the rotor speed acts as a state variable, whereas in case of full-order adaptive state observer the speed is considered as a parameter [5]. The main advantage of the ELO and EKF is that they can combine both the parameter and the state estimation. The EKF schemes are applied to the rotor speed estimation of sensorless IM drives. Whereas ELO is applied for the joint estimation of rotor flux and rotor speed estimation. Due to simplicity and effectiveness, MRAS schemes are applied for sensorless control applications.

### 5.3 MRAS FOR SENSORLESS CONTROL

Adaptive control is defined as a control system that can change the output of the system in response to changes in the dynamics process and the character of the disturbances. It can be realized by different strategies such as: gain scheduling, model reference adaptive control, self-tuning regulators and dual control.
Various control techniques are available for the estimation of speed for IM drive. These are classified as following:

- Rotor flux based
- Frequency signal injection method
- Model Reference Adaptive System (MRAS)
- Observer based
- Artificial Intelligence (AI) based

The best scheme among all is the MRAS are the best one because of its simplicity, less computational time, and has a good stability. There are different types of MRAS scheme are available in the literature, which are given as follows.

- Flux based method
- Back EMF based
- Reactive power based

The flux based MRAC estimate the rotor speed in all the four quadrants operations but has some problems related to integrator at a low speed and zero speed. Back emf based MRAS are also less efficient at low speed because at low speed back-emf is very low and the last one, the reactive power based MRAS has no problem related to integration and using instantaneous reactive power in reference model and steady state field oriented power in the adjustable model. Therefore this method is suitable for low speed region and even at zero speed. This technique does not depend on the stator resistance and it is unstable in regenerative mode of operation.

The MRAC which uses cross product of voltage and current called as X-MRAC in which instantaneous value of voltage and current are used in the reference model and steady state flux oriented value are used in the adjustable model. This technique is stable in all the four quadrants operations and at low speed and zero speed and is discussed below.

### 5.3.1 Speed Estimation Based on X-MRAC

The cross product of voltage and current in synchronously rotating reference frame are used for the construction of MRAC. In which the instantaneous value of voltage and current i.e. $\vec{v} \times \vec{i}$ is used in reference model, whereas steady - state fluxoriented value of voltage and current are used in adjustable model. The value of $\vec{v} \times \vec{i}$ is adjusted such that the proposed system is stable in all the four quadrants of operation. For the construction of X-MRAC for IM, we consider the stator voltage in the synchronously rotating reference frame which can be expressed as follows:

$$
\begin{align*}
& v_{q s}=R_{s} i_{q s}+\omega_{s} \sigma L_{s} i_{d s}+p \sigma L_{s} i_{q s}+\frac{L_{m}}{L_{r}}\left(\omega_{s} \varphi_{d r}+p \varphi_{q r}\right)  \tag{5.1}\\
& v_{d s}=R_{s} i_{d s}-\omega_{s} \sigma L_{s} i_{q s}+p \sigma L_{s} i_{d s}-\frac{L_{m}}{L_{r}}\left(\omega_{s} \varphi_{q r}-p \varphi_{d r}\right) \tag{5.2}
\end{align*}
$$

Where, p is the derivative operator. The instantaneous value of the fictitious quantity $\mathrm{X}=\boldsymbol{v} \times \mathrm{i}$ is defined as:

$$
\begin{equation*}
\mathrm{X}_{1}=\mathrm{v}_{q s} \mathrm{i}_{d s}+\mathrm{v}_{d s} \mathrm{i}_{\mathrm{qs}} \tag{5.3}
\end{equation*}
$$

Substituting eqns (5.1) and (5.2) in (5.3) yields:

$$
\begin{align*}
X_{2}= & \left\{R_{s} i_{q s}+\omega_{\varepsilon} \sigma L_{s} i_{d s}+p \sigma L_{s} i_{q s}+\frac{L_{m}}{L_{r}}\left(\omega_{e} \varphi_{d r}+p \varphi_{q r}\right)\right\} i_{d s}+ \\
& \left\{R_{s} i_{d s}-\omega_{e} \sigma L_{s} i_{q s}+p \sigma L_{s} i_{d s}-\frac{L_{m}}{L_{r}}\left(\omega_{e} \varphi_{q r}-p \varphi_{d r}\right)\right\} i_{q s} \tag{5.4}
\end{align*}
$$

At steady state,
$X_{3}=\left\{R_{s} i_{q s}+\omega_{B} \sigma L_{s} i_{d s}+\frac{L_{m}}{L_{r}}\left(\omega_{B} \varphi_{d r}+p \varphi_{q r}\right)\right\} i_{d s}+\left\{R_{s} i_{d s}-\omega_{B} \sigma L_{s} i_{q s}-\right.$ $\left.\frac{L_{m}}{L_{r}}\left(\omega_{\boldsymbol{e}} \varphi_{q r}-p \varphi_{d r}\right)\right\} i_{q s}$

However, $\varphi_{d r}=i_{d s} L_{m}$ in rotor flux oriented drive hence
$X_{4}=\omega_{s}\left[L_{s} i_{d s}{ }^{2}-\sigma L_{s} i_{q s}{ }^{2}\right]+2 R_{s} i_{d s} i_{q s}$

The expression of $X_{1}$ is independent of rotor speed. Hence, it is selected for the reference model. $X_{2}, X_{3}$ or $X_{4}$ can be chosen as the adjustable model since they are dependent on the rotor speed $\left(\omega_{r}\right)$.However, $X_{4}$ is selected in the adjustable model, as it does not require any flux estimation and any derivative operations.

The block diagram of X-MRAC for speed estimation is shown in the Fig. 5.1, where the cross product of voltage and current are selected as a functional candidate of the MRAC. This cross product is denoted by " X " and $\vec{v} \times \vec{i}$ is neither reactive power nor active power. Here $X_{1}$ denotes the instantaneous value of X and $X_{4}$ denotes the steady state flux oriented value of X . The error of the two signals (i.e. $\varepsilon=X_{1}-X_{4}$ ) is given to the adaptation mechanism, which results in the estimated rotor speed $\omega_{\text {rest }}$. This estimated speed is fed back to adaptive model so that error converges to zero. The X-MRAC for the estimation of speed as shown in Figure 5.1.


Fig.5.1 MRAC based speed estimation technique

But the adjustable model of this MRAS is dependent on stator resistance which may changes during low speed operation. So the stator resistance has to be updated if there is any stator resistance variation.

### 5.4 STATOR RESISTANCE ESTIMATION TECHNIQUE

For the estimation of stator resistance, the same technique has been used as above for the speed estimation i.e. X-MRAC. In case of stator resistance estimation, the induction motor stator voltages in the synchronously rotating reference frame are given by the equations (5.1) and (5.2) and for a field oriented drive, the expression of voltages at steady state (i.e., derivative terms, $\mathrm{p}=0$ ) becomes:
$v_{q s}=R_{s} i_{q s}+\left(\frac{L_{m}{ }^{2}}{L_{r}}+\sigma L_{s}\right) \omega_{s} i_{d s}$
$v_{d s}=R_{s} i_{d s}-\omega_{s} \sigma L_{s} i_{q s}$
Using equation (5.7) and (5.8), stator resistance is derived as:
$R_{s}=\frac{v_{d s}{ }^{i} d s+\sigma v_{q s} i_{q s}}{\sigma i_{q s} s_{d s}^{2}+i_{d s}^{2}}$

The expression for $\mathrm{R}_{\mathrm{s}}$ is independent of speed, and is estimated using voltage and current in synchronously rotating reference frame as shown in Fig 5.2.


Fig.5.2. MRAC based stator resistance estimation technique

In the above Fig. 5.2 the stator currents are sensed and then transformed from abc to synchronously rotating frame quantities $i_{d s}$ and $i_{q s}$. Stator voltages are also sensed and transformed to synchronously rotating frame quantities (d-q) $v_{d s}$ and $v_{q s}$. Using these voltages and currents in synchronously rotating reference frame, the rotor speed and stator resistance, $R_{s}$ is estimated.

### 5.5 CONCLUSION

This chapter has provided a detailed review of different model based techniques applied to speed sensorless IM drives with most emphasis is given to the MRAS. Model Referencing Adaptive Controller (MRAC) based on speed and stator resistance estimation of vector controlled induction motor drive is explained. Both the MRACs required voltages and currents in synchronously rotating reference frame for estimation of rotor speed and stator resistance. The speed estimation algorithm (i.e. speed estimating MRAS) depends on stator resistance and $R_{s}$ estimation algorithm is independent of speed. The proposed method is simulated in MATLAB/SIMULINK and simulation results are obtained.

## CHAPTER-6

## SIMULATION MODEL RESULTS AND DISCUSSION

### 6.1 INTRODUCTION

Induction motors have been the area of research due to its ruggedness and longevity. There is an implementation of new control techniques, due to advancement in the power electronics. Numerical simulation tools are an important tool to verify the operation of new controllers. In this study, MATLAB/ Simulink simulation software, a mathematical tool developed by the Mathworks, has been used to verify the results. Vector Control of IM using PI controller and MRAS technique has been simulated and the results are presented.

### 6.2. Block diagram overview of vector controlled induction motor

The complete block diagram of three phase induction motor is shown in the Figure 6.1 which is used for mathematical modeling and simulation studies estimation. It can be seen that the block diagram consist of PI controllers, d-q to abc transformation blocks, the IM models and various controllers. To validate the developed X-MRAS rotor speed and stator resistance estimator on a sensor-less vector control, simulation studies are carried out of a 1.5 HP induction motor drive using Matlab/Simulink with parameters are given in Table 6.1.The performance of the induction motor is studied under various operating conditions.


Fig.6.1 Block diagram of vector controlled induction motor with MRAS based stator resistance estimation

### 6.3. RESULTS

To validate the developed X-MRAS stator resistance estimator on a sensor-less vector control, simulation studies are carried out on a 1.5 HP IM using Matlab/ Simulink with parameters given in Table 6.1.

TABLE 6.1 - PARAMETERS OF $1.5 \mathrm{HP}, 415 \mathrm{~V}, 1430$ RPM IM

| Parameter | Value |
| :--- | :--- |
| Pair of Pole | 2 |
| $L_{s}, L_{r}, L_{m}$ | $0.5192 \mathrm{H}, 0.5192 \mathrm{H}$ <br> , 0.4893 H |

The performance of the IM drive is studied under various operating conditions. The developed algorithm is tested for step change in speed, ramp variation in speed, lowspeed operation etc. The results obtained as given at Figs. 6.2 to 6.7 are discussed in the succeeding paragraphs.

### 6.3.1 Performance of IM for Step Speed change under no - load condition

The no-load step speed response of the IM for four quadrants of operation is shown in Fig. 6.2(a). The speed reference is set to zero from $t=0$ to $t=5$ secs. At $t=5$ secs a step change of $20 \mathrm{rad} / \mathrm{s}$ is given for the next 5 secs. At $\mathrm{t}=10 \mathrm{secs}$, a speed reversal from 20 $\mathrm{rad} / \mathrm{sec}$ to $-20 \mathrm{rad} / \mathrm{sec}$ is applied for 5 seconds. This cycle is repeated over a period of 30 seconds. The estimated speed tracks the actual speed very closely. It is also observed that there is no effect on the rotor flux during the aforesaid operation, as depicted in Fig.6.2(b) and Fig. 6.2 (c) shows three phase current and zoom view of Fig. 6.2(c) as shown in Fig. 6.2 (d).


Fig. 6.2 (a) No-load speed response of IM


Fig.6.2 (b) d and q-axes Rotor flux response for no-load operation of IM


Fig.6.2 (c) Iabc response for no-load operation of IM


Fig.6.2 (d) Iabc response for no-load operation of IM

### 6.3.2 Performance of IM for Step Speed Change Under Loaded Condition

The speed response of the IM at a load of 5 Nm for four quadrants of operation is shown in Fig. 6.3 (a). After 5 secs of operation of IM at zero speed, a step change in speed of $15 \mathrm{rad} / \mathrm{sec}$ is applied for 10 secs, followed by a speed reversal at $\mathrm{t}=15 \mathrm{secs}$. The IM is operated at $-15 \mathrm{rad} / \mathrm{sec}$ for the next 10 secs and this cycle is repeated. It is observed that the estimated speed tracks the reference speed and there is no torque variation corresponding to change in speed as shown in Fig. 6.3(b) and Iabc current in Fig. 6.3 (c) and zoom view of Fig. 6.3(c) as shown in Fig. 6.3(d).


Fig. 6.3 (a) Speed response for operation of IM under loaded condition


Fig. 6.3(b) Torque response for operation of IM under loaded conditions


Fig.6.3 (c) Iabc response for load torque 5 Nm


Fig.6.3 (d) Iabc response for load torque 5 Nm

### 6.3.3 RAMP SPEED RESPONSE OF IM

The low speed, four quadrant operation of the IM is validated by the application of a ramp signal as shown in Fig. 6.4(a). The estimated speed tracks the actual motor speed, which matches the applied input ramp signal. In the above operations, the flux orientation is maintained as shown in Fig. 6.4(b). Stable operation of the IM in forward and reverse-motoring modes is thus validated.


Fig. 6.4 (a) Speed response of IM for ramp signal


Fig. 6.4 (b) d and q-axes Rotor flux response for ramp signal

### 6.3.4 LOW SPEED OPERATION OF IM UNDER LOADED CONDITION

The IM drive is operated at a low speed of $5 \mathrm{rad} / \mathrm{s}$ with a load torque of 3 Nm with the developed model. The corresponding speed response is shown in Fig.6.5 (a). The flux orientation is maintained, as shown in Fig.6.5 (b) and the torque response and current response is given in Fig.6.5(c) and Fig. 6.5(d). The zoom view of Fig. 6.5(d) is shown in Fig. 6.5(e). Stable operation of the IM in forward motoring mode under low speed operation is thus validated.


Fig. 6.5 (a) Speed response for Low Speed Operation of IM under loaded condition.


Fig. 6.5 (b) d and q-axes Rotor flux response for Low Speed Operation of IM under loaded condition


Fig. 6.5 (c) Torque response for Low Speed Operation of IM under loaded condition.


Fig. 6.5 (d) Iabc current response for Low Speed Operation of IM


Fig. 6.5 (e) Iabc current response for Low Speed Operation of IM

### 6.3.5 HIGH SPEED PERFORMANCE OF IM UNDER LOAD AND $R_{s}$ VARIATION

The model is tested for high speed operation considering load and resistance variation for motoring and regenerating modes of operation. The developed algorithm is tested for high speed operation considering variation in $R_{s}$ under variable torque condition. The speed, torque, and resistance variation of the IM and Iabc current response are shown in Figs. 6.6(a), 6.6(b), 6.6(c) and 6.6(d) respectively. The zoom view of Fig. 6.6(d) is shown in Fig. 6.6(e).

At $t=5$ secs a step change of $50 \mathrm{rad} / \mathrm{sec}$ is applied to the IM at rest under no-load condition. At $t=7.5$ secs a load of 3 Nm is applied to the IM followed by an increase in speed to $100 \mathrm{rad} / \mathrm{sec}$ at $\mathrm{t}=15$ secs. The motoring mode of operation of the IM is validated along with a step change in stator resistance to double it's value from $\mathrm{t}=5$ secs to $t=15$ secs. During this motoring operation, the IM is subjected to a load change from 3 Nm to 2.5 Nm at $\mathrm{t}=18 \mathrm{sec}$. At $\mathrm{t}=25$ secs a step change of speed from $100 \mathrm{rad} / \mathrm{sec}$ to rest is applied to the IM. It is observed that the rotor speed closely tracks the reference speed.


Fig. 6.6 (a) Speed response of IM for High Speed Operation


Fig. 6.6 (b) Torque response of IM for High Speed Operation


Fig. 6.6 (c) Variation of $R_{s}$ in IM for High Speed Operation


Fig. 6.6 (d) Iabc response of IM for High Speed Operation


Fig. 6.6 (e) Iabc response of IM for High Speed Operation

### 6.3.6 Low Speed Performance of IM under Load and Rs Variation

The model is tested for low speed operation at $4 \mathrm{rad} / \mathrm{sec}$, as shown in Fig. 6.7(a), under variation of load torque in the form of step input from 2 Nm to 4 Nm , as shown in Fig. 6.7(b). Rs is varied in the form of a ramp from its initial value of $6.03 \Omega$ at $t=10 \mathrm{sec}$, to twice its value at $t=23 \mathrm{sec}$, as shown in Fig. 6.7(c). It is observed that the rotor speed closely tracks the reference speed for a ramp change in Rs and a step change in load torque for low speed operation of IM. The Iabc current is shown in Fig. 6.7(d).


Fig. 6.7 (a) Speed response of IM for Low Speed Operation


Fig. 6.7 (b) Torque response of IM for Low Speed Operation


Fig. 6.7 (c) Variation of $R_{s}$ in IM for Low Speed Operation


Fig. 6.7 (d) Iabc current response of IM for Low Speed Operation


Fig. 6.7 (e) Iabc current response of IM for Low Speed Operation

### 6.4 CONCLUSION

The speed and stator resistance estimator is capable of tracking the stator resistance variations very well. The transient performance of the proposed sensorless drive is presented, when the load torque is given to the IM drive. The speed and $\mathrm{R}_{\mathrm{s}}$ is estimated very well, which is not affected by the load torque disturbance. The operation of the IM drive is very good and stable during field weakening regions. Thus by using sensor less control IM drive, we can get the same results as that of vector control without using shaft encoder. The complete response at different conditions are obtained and explained in this chapter.

## CHAPTER 7

## CONCLUSION AND FUTURE SCOPE

### 7.1 INTRODUCTION

The main focus of the thesis on the estimation techniques for speed and stator resistance sensorless vector control IM drives. The main objective was to estimate the stator resistance and to improve the performance of sensor-less drives which are based on MRAS observers. The focus was given to the critical low speed and zero speed regions of operation. Various MRAS based schemes have been developed and tested as suitable means of producing a satisfactory performance at and around zero speed. The aim of this chapter is to summaries the investigations and findings of this research, present conclusions and recommend various possibilities for future studies.

### 7.2 MAIN CONCLUSIONS

The presence of a speed sensor in an IM drive may affect the reliability and the cost of the drive system. Therefore the sensor-less control methods offer great advantages. Particular attention was given to MRAS speed observers due to their simple structure and low computational effort.

A state space representation of the IM in the stator reference frame, with the stator currents and the rotor flux linkages components as state variables, has been developed based on the $d-q$ axes theory. Principles of vector control were also illustrated based on the motor model expressed in the synchronous reference frame. The machine dynamic equations have been used to formulate the MRAS for speed and stator resistance estimation. This scheme is the most common MRAS strategy extensively employed for sensorless control. X-MRAS based model for the estimation of stator resistance is developed and presented in this thesis. The developed algorithm for estimation of $\mathrm{R}_{\mathrm{s}}$ is independent of the rotor speed and the estimated $\mathrm{R}_{\mathrm{s}}$ is used for the computation of rotor speed, which is observed to be closely tracking the actual speed and is found to be stable for all the four quadrants, even at low speed and zero speed response. Simulations results are used to explain the steady state operation and high dynamic
performance of the drive system. The main conclusions that can be drawn from the results are summarized as follow:

- The speed and stator resistance estimator is capable of tracking the stator resistance variations very well. Then the proposed drive scheme can be operated in very low speed range.
- The transient performance of the proposed sensorless drive is presented, when the load torque is given to the IM drive. The speed and $\mathrm{R}_{\mathrm{s}}$ is estimated very well, which is not affected by the load torque disturbance.

Thus by using sensor less control IM drive, we can get the same results as that of vector control without using shaft encoder. Hence by using this technique, we can reduce the cost of drive and we can also increase the ruggedness of the drive as well as and its dynamic performance. The usefulness of the proposed algorithm has been confirmed by MATLAB/SIMULINK based simulation.

### 7.3 FUTURE SCOPE

The work developed in this thesis is based on MRAS techniques which applied to speed sensorless IM vector control drives. Different adaptation mechanisms have been proposed to replace the classical PI controller. The tuning of different parameters has been carried out online by trial and error. A systematic method could be considered for parameter tuning such as use of a GA. Moreover, other optimization algorithms may be considered for minimizing the speed tuning signal.

## APPENDIX-A



Figure A. 1 Simulation Model of IM Drive

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## LIST OF PUBLICATIONS

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