SHEAR BEHAVIOUR OF ROCK JOINTS

A DISSERTATION
PRESENTED TO
THE FACULTY OF
DEPARTMENT OF CIVIL ENGINEERING
DELHI TECHNOLOGICAL UNIVERSITY



IN PARTIAL FULFILMENT
OF THE REQUIREMENTS FOR THE
DEGREE OF
MASTER OF TECHNOLOGY
(GEOTECHNICAL ENGINEERING)

By KAMAL BAKHATYAPURI 2K11/GTE/12

UNDER THE GUIDANCE OF

Dr. A.K. SHRIVASTAVA ASSOCIATE PROFESSOR

CERTIFICATE

This is to certify that the thesis work entitled "SHEAR BEHAVIOUR OF ROCK JOINTS" being submitted by me, is a bonafide record of my own work carried by me under the guidance and supervision of Associate Professor Dr. A.K. Shrivastava in partial fulfilment of requirements for the award of the Degree of Master of Technology (Geotechnical Engineering) in Civil Engineering.

The matter embodied in this project has not been submitted for the award of any other degree.

KAMAL BAKHATYAPURI 2K11/GTE/12 M.Tech (GTE)

This is to certify that the above statement made by the candidate is correct to the best of my knowledge.

Dr. A.K. SHRIVASTAVAASSOCIATE PROFESSOR
DEPARTMENT OF CIVIL ENGINEERING
DELHI TECHNOLOGICAL UNIVERSITY

DECLARATION

I Certify that

- a. The work contained in this thesis is original and has been done by me under the guidance of my supervisor.
- b. The work has not been submitted to any other Institute for any degree or diploma.
- c. I have followed the guidelines provided by the University in preparing the thesis.
- d. I have conformed to the norms and guidelines given in the Ethical Code of Conduct of the Institute.
- e. Whenever I have used materials (data, theoretical analysis, figures, and text) from other sources, I have given due credit to them by citing them in the text of the thesis and giving their details in the references.

KAMAL BAKHATYAPURI 2K11/GTE/12 M.Tech (GTE)

ACKNOWLEDGEMENT

I would like to give sincerely and whole heartedly thank to my guide Dr. A.K. Shrivastava for his close guidance, kindness, encouragements, patience, and supervision throughout various stages of the dissertation. Without his help and encouragement, this dissertation would not be possible.

I wish to convey my sincere gratitude to Prof. A.K. Gupta (former H.O.D) and Prof. A. Trivedi (H.O.D.), and all the faculties of Civil Engineering Department, Delhi Technological University who have enlightened me during my project.

Most importantly, I would like to thank my family for their unconditional support, love, and affection. Their encouragement and endless love made everything easier to achieve.

KAMAL BAKHATYAPURI 2K11/GTE/12 M.Tech (GTE)

TABLE OF CONTENT

Certificate	i
Declaration	ii
Acknowledgement	iii
Table of Contents	iv
List of Figures	vii
List of Tables	ix
Abstract	Х
Notation	xii
Chapter 1: Introduction	1-3
1.1: Introduction	1
1.2:Organisation of Report	3
Chapter 2: Literature Review	4-25
2.1: Behaviour of Rock Joint in Shear	5
2.1.1: Patton's Shear Strength Criteria.	5
2.1.2: Ladanyi and Archambault Shear Strength Criteria(1970)	5
2.1.3: Barton's Shear Strength Criteria(1973)	6
2.1.4: Shear Strength Behaviour Proposed by Shrivastava and Rao(2012)	6
2.1.5: Shear Behaviour of Rock Joint under Cyclic Loading (2012)	7
2.1.6: Rock Dilation on Modeling Failure and Deformation of Hard Rocks	8
2.2: Dilation Behaviour of Rock	8
2.2.1: Intoduction.	8
2.2.2: Model of Ladayani and Archambault(1970)	9
2.2.3: Barton & Choubey(1977)	10
2.3: Peak Shear Displacement in Rocks	11
2.4: Numerical Methods in Rock Engineering.	12
2.4.1 The FDM and Related Methods	14
2.4.2: The FEM and Related Methods.	15
2.4.3: The BEM and Related Methods	16
2.4.4: The DEM and Related Methods	18
2.4.5: Methods Related with Hybrid Model	20

2.5: Uniaxial Compressive Strength (UCS) on Rock	20
2.6: Joint Wall Compressive Strength (JCS) of Rock	21
2.7: Joint Roughness Coefficient (JRC) of Rock	22
2.8: Effect of Scale on JRC & JCS values of Rock	24
.2.9: Joint Stiffness Characterstics.	24
2.9.1: Joint Shear Stiffness (K _s)	24
2.9.2: Joint Normal Stiffness (K _n)	25
Chapter 3: Experimental Work	26-64
3.1: Experimental Work	27
3.1.1: Materials Used	27
3.1.2: Prepration of Specimen.	27
3.1.2.1: For UCS Tests.	28
3.1.2.2: For Direct Shear Tests	28
3.2: Characterization of Material Used for Testing	29
3.2.1: Determination of Specific Gravity [IS-2720 Part-3]	29
3.2.2: Determination of Initial and Final Setting Time of POP	30
3.2.3:UCS Test [7 Days & 14 Days]	30
3.3: Direct Shear Test at 14 days [IS-27270- Part 13-1986]	33
3.3.1: Standard of Material	34
3.3.2:Prepration of Sample	34
3.3.3: Result of Direct Shear Tests	36
3.3.3.1: For 15°-30° Asperity Sample	36
3.3.3.2: For 15°-45° Asperity Sample	43
3.3.3.3: For 45°-15° Asperity Sample	50
3.4: Development of Equation	57
3.4.1: For 15°-30° Asperity Sample	57
3.4.2: For 15°-45° Asperity Sample.	58
3.4.3: For 45°-15° Asperity Sample	59
3.4.4: Generelized equation for satisfying all cases	61
3.4.5: Analysis of Values of Actual stress According to Equation and error involve	63

Chapter 4: Comparison of Results	65-75
4.1: Comparison of Peak Shear Stress	66
4.1.1: Comparision of Peak Shear Stress for 15°-30° Asperity Sample	66
4.1.2: Comparison of Peak Shear Stress for 15°-45° Asperity Sample	67
4.1.3: Comparison of Peak Shear Stress for 45°-15° Asperity Sample	68
4.2: Comparison of Peak Shear Displacement.	70
4.2.1: Comparision of Peak Shear Displacement for 15°-30° Asperity Sample	70
4.2.2: Comparison of Peak Shear Displacement for 15°-45° Asperity Sample	71
4.2.3: Comparison of Peak Shear Displacement for 45°-15° Asperity Sample	72
4.3: Comparison of Peak Dilation Angle.	73
4.3.1: Comparision of Peak Dilation Angle for 15°-30° Asperity Sample	73
4.3.2: Comparison of Peak Dilation Angle for 15°-45° Asperity Sample	74
4.3.3: Comparison of Peak Dilation Angle for 45°-15° Asperity Sample	75
Chapter 5: Conclusion & Future Work	76-79
5.1: Conclusion.	77
5.2: Future Work	78
Refrences	80-84

LIST OF FIGURES

Fig 2.1: Shows variation of shear displacement- dilation curve
Fig 2.2: Shows the suitability of different methods of Excavation in a Rock mass
Fig 2.3: Shows the Fractured Rock by FEM method
Fig 2.4: Representation of Fractured rock by BEM
Fig 2.5: Representation of a fractured rock mass by DEM
Fig 2.6: Shows JRC values based on Roughness Profile
Fig 3.1: Shows the Sample of Direct shear test made up of Plaster of Paris
Fig 3.2: Shows Stress-Strain Relation for 30% water content
Fig 3.3: Shows Stress-Strain Relation for 40% water content
Fig 3.4: Shows Stress-Strain Relation for 50% water content
Fig 3.5: Shows Stress-Strain Relation for 50% water content for 14 days curing
Fig 3.6: Shows the mould of size 60 x 60 mm used for preparation of sample
Fig 3.7: Shows the direct shear test sample of 15°-30° asperity
Fig 3.8: Shows the direct shear test sample of 15°-45° asperity
Fig 3.9: Shows shear and dilation behaviour of $15^{\circ}-30^{\circ}$ asperity sample for $\sigma_n = 0.05$ MPa37
Fig 3.10: Shows shear and dilation behaviour of $15^{\circ}-30^{\circ}$ asperity sample for $\sigma_n = 0.1$ MPa38
Fig 3.11: Shows shear and dilation behaviour of $15^{\circ}-30^{\circ}$ asperity sample for $\sigma_n = 0.2$ MPa39
Fig 3.12: Shows shear and dilation behaviour of $15^{\circ}-30^{\circ}$ asperity sample for $\sigma_n = 0.3$ MPa40
Fig 3.13: Shows shear and dilation behaviour of $15^{\circ}-30^{\circ}$ asperity sample for $\sigma_n = 0.4$ MPa41
Fig 3.14: Shows shear and dilation behaviour of $15^{\circ}-30^{\circ}$ asperity sample for $\sigma_n = 0.5$ MPa42
Fig 3.15: Shows shear and dilation behaviour of 15° - 45° asperity sample for $\sigma_n = 0.05$ MPa44
Fig 3.16: Shows shear and dilation behaviour of 15° - 45° asperity sample for $\sigma_n = 0.1$ MPa45
Fig 3.17: Shows shear and dilation behaviour of 15° - 45° asperity sample for $\sigma_n = 0.2$ MPa46
Fig 3.18: Shows shear and dilation behaviour of 15° - 45° asperity sample for $\sigma_n = 0.3$ MPa47
Fig 3.19: Shows shear and dilation behaviour of 15° - 45° asperity sample for $\sigma_n = 0.4$ MPa48
Fig 3.20: Shows shear and dilation behaviour of 15° - 45° asperity sample for $\sigma_n = 0.5$ MPa49
Fig. 3.21: Shows shear and dilation behaviour of $45^{\circ}-15^{\circ}$ asperity sample for $\sigma_n = 0.05$ MPa51

Fig 3.22: Shows shear and dilation behaviour of 45° - 15° asperity sample for $\sigma_n = 0.1 MPa$	52
Fig 3.23: Shows shear and dilation behaviour of 45° - 15° asperity sample for $\sigma_n = 0.2$ MPa	53
Fig 3.24: Shows shear and dilation behaviour of 45° - 15° asperity sample for $\sigma_n = 0.3$ MPa	54
Fig 3.25: Shows shear and dilation behaviour of 45° - 15° asperity sample for $\sigma_n = 0.4$ MPa	55
Fig 3.26: Shows shear and dilation behaviour of 45° - 15° asperity sample for $\sigma_n = 0.5$ MPa	56
Fig 3.27: Shows the Variation of Shear Stress vs. σ_n/σ_c and Equation of curve	
Fig. 3.28: Shows the Variation of Shear Stress vs. σ_n/σ_c and Equation of curve	58
Fig 3.29: Shows the Variation of Shear Stress vs. σ_n/σ_c and Equation of curve	59
Fig 3.30: Shows variation of a and b with the Facing Angle (i)	61
Fig 3.31 Shows variation of a and b with the Facing Angle (i)	62
Fig 4.1: Shows the Comparison of Peak Shear Stress for 15°-30° asperity sample	67
Fig 4.2: Shows the Comparison of Peak Shear Stress for 15°-45° asperity sample	68
Fig 4.3: Shows the Comparison of Peak Shear Stress for 45°-15° asperity sample	69
Fig 4.4: Shows the Comparison of Peak Shear Displacement Values for 15°-30° sample	70
Fig 4.5: Shows the Comparison of Peak Shear Displacement Values for 15°-45° sample	71
Fig 4.6: Shows the Comparison of Peak Shear Displacement Values for 45°-15° sample	72
Fig 4.7: Shows the Comparison of Peak Dilation Angle Values for 15°-30° sample	73
Fig 4.8: Shows the Comparison of Peak Dilation Angle Values for 15°-45° sample	74
Fig 4.9: Shows the Comparison of Peak Dilation Angle Values for 45°-15° sample	75

LIST OF TABLES

Table No.1: Shows the value of c and d
Table No.2: Values of Initial/Final Setting Time of POP. 30
Table No.3: Values of UCS of POP. 33
Table No.4: Values of Shear Stress for corresponding values of σ_n/σ_c
Table No.5: Values of Shear Stress for corresponding values of σ_n/σ_c
Table No.6: Values of Shear Stress for corresponding values of σ_n/σ_c
Table No.7: Values of a & b61
Table No.8: Values of a & b.62
Table No.9: Values of Actual Stress, According to formula and error employed64
Table No.10: Values of Peak Shear stress for 15°-30° Asperity Sample66
Table No.11: Values of Peak Shear stress for 15°-45° Asperity Sample67
Table No.12: Values of Peak Shear Stress for 45°-15° Asperity Sample68
Table No.13: Values of Peak Shear Displacement for 15°-30° Asperity Sample70
Table No.14: Values of Peak Shear Displacement for 15°-45° Asperity Sample71
Table No.15: Values of Peak Shear Displacement for 45°-15° Asperity Sample72
Table No.16: Values of Peak Dilation Angle obtained for 15°-30° Asperity Sample73
Table No.17: Values of Peak Dilation Angle obtained for 15°-45° Asperity Sample74
Table No.18: Values of Peak Dilation Angle obtained for 45°-15° Asperity Angle75

ABSTRACT

Rocks in natural state always consist of joints and the presence of intact rock is very difficult because some weathering action is always taking place in nature. Compared to intact rocks the weathered rocks has very less crushing and shearing strength, thus this requires the study of strength parameter of rock masses. In jointed rocks, the shear displacement is accompanied with vertical movement or dilation and it is one of the most important parameter which should be considered while designing any structure in jointed rocky strata. It is very difficult to take actual sample of rock from field because i) during the extraction of sample, sample get disturbed due to vibration therefore actual sample will not be found ii) it is not possible to take out sample from inside core because process employed is difficult & expensive, therefore for such purposes the artificial rocks are prepared with the help of different material. The material for construction of sample should be such that i) It should be easily available in the local market ii) It should be cheaply available in local market iii) Sample prepared with material should represent best model of actual field condition. There are the various material can be used for sample preparation example Mortar, POP and other adhesive material. But we have taken POP for testing because POP is best suited for testing gives good strength and can also be used for simulating behaviour of hard as well as soft rock.

The joints can be prepared at any angle but in this analysis the joints are taken unequal with asperity geometry of 15°-30° and 15°-45° and 45°-15°. The geometry of 15°-30° represent that the facing angle is 30°. The sample are prepared with POP at the moulding water content of 50% by weight and direct shear test is conducted at 14 days of air dried curing and test is done in conventional direct shear test machine. The direct shear test can be conducted in CNL or CNS condition. In CNL condition, the normal stress is kept constant but in actual the normal stress does not remain constant, in field CNS condition occurs. In CNS condition stiffness remains constant, the result obtained from CNS condition gives higher value of shear stress but this condition of testing is not adopted because the equipment developed in the past for CNS boundary condition was either having difficulty in changing the boundary conditions or it was not useful for wide variety of rock joints. The orientation of rock during testing plays an important role in resisting shear stress.

Direct shear stress carrying capacity for $15^{\circ}-45^{\circ}$ asperity sample as compared with $15^{\circ}-30^{\circ}$ asperity sample will more and dilation will also more in case of $15^{\circ}-45^{\circ}$ asperity sample as compared with $15^{\circ}-30^{\circ}$ asperity sample.

In case of 15°-45° asperity sample and 15°-30° asperity sample, the peak shear displacement increases upto 0.4MPa but it drastically reduced at 0.5MPa because at this value the normal stress tries to prevent the horizontal displacement and finally the result obtained experimentally are compared with well known equation of Barton(1973), Bandis(1982) and Patton's(1966) and Shrivasta and Rao (2012) criteria.

NOTATIONS

- 1. d_{s.peak} -Peak secant dilation angle
- 2. d_{t.peak}- Peak secant dilation angle
- 3. d_{t.mobilized}- Mobilized dilation angle
- 4. JCS- Joint Compressive Strength
- 5. JRC-Joint Roughness Coefficient
- 6. L- Length of rock block in shearing direction in meters
- 7. M- Damage coefficient
- 8. δ_h Shear displacement
- 9. δ_{peak} Peak shear displacement (at which shear stress is maximum)
- 10. δ_v Dilation
- 11. $\delta_{v.peak}$ Dilation at peak shear displacement
- 12. φ_b- Base friction angle
- 13. ϕ_r Residual friction angle
- 14. σ_c Uniaxial compressive strength in MPa
- 15. σ_n Normal stress in MPa
- 16. τ_{peak} Peak shear strength of rock joints in MPa

<u>Dedication</u>

I dedicate this thesis to my Papa and my Teachers for Supporting me all the way & inspiring me at all the moments during my M. Tech.